

HISTORY 600--WORLD WAR II IN THE PACIFIC
University of Wisconsin-Madison
Department of History

Spring 2002

Mr. McCoy

I. COURSE DESCRIPTION:-

Course Description: Through reading and discussion, students will reflect upon the issues of colonialism and geopolitical power in the Asia-Pacific region during the era of the "Great Pacific War," 1931 to 1945. Rather than focusing narrowly on the conduct of war, the readings will try to place events in a broader context of causality and consequences. Aside from providing a basic fund of facts and interpretations, the course will develop the students' essential academic skills—searching for data, using primary documents, synthesizing information, and critical analysis. Moreover, the course will emphasize clarity in the written and oral expression of ideas.

Class Meetings: Tuesday, 4:00 to 6:00 pm, in the Curti Seminar Room, 5243 Humanities Building. Attendance is compulsory and is a factor in grading.

Office Hours: Thursday 4:00-6:00, Room 5131 Humanities, or by appointment. You can contact me by phone on 263-1855 (office) or 263-1800 (History office); place a message in my Humanities mail box (No. 5026); or send me an email at <awmccoy@facstaff.wisc.edu>

Readings: There is no single text or group of texts capable of meeting the broad agenda of the course. Instead, students are responsible for all of the readings marked with an asterisk, totaling some 100 to 200 pages per week.. The syllabus also lists similar supplementary readings—under "Required" and "Background" sections—for each topic to allow students a choice in case the main reading is not on the shelf. Students can also use the "Background Readings" as sources for their essays. The undergraduate library in Helen C. White will hold 50 of the main books in this course on three-hour reserve, but all journal articles will have to be searched from the Memorial Library stacks.

Grading: During the semester, students shall make short weekly oral presentations, one oral report, write one book review, and complete two longer essays. The final grade in the course shall be computed as follows:

--Oral presentations to seminar:	20%
--Write-up of oral presentation:	20%
--Book report:	10%
--Major research essay:	40%
--Final paper:	10%

Assignment Guidelines: The work required for completion of the course is:

Daily Oral Presentations: Every week at the start of class, each student shall deliver a one- to two-minute oral summary of two readings--synthesizing the core thesis of each work and reflecting upon their implications for the topic of the week.

Major Oral Presentation: At each class meeting, one student shall open the class with a 15-minute discussion of the readings, summarizing the main themes and suggesting questions for class discussion.

Presentation Write-up: Within a week after the oral presentation, the student who led the discussion shall submit a four-page written summary of the topic, with complete footnotes and bibliography sourced to the "required" readings and selections from the "background" section.

Book Report: By 10:00 am on Monday, January 28, students will place in my mailbox (Humanities 5026) a two-page, fully annotated analysis of two of the assigned readings for the week--summarizing the main argument and identifying the main questions they raise. After I return these reports in class on January 29, edited for style and grammar, students will revise their writing and return these corrected essays to my mailbox by 10:00 am, Monday, February 4 for a final mark.

Major Essay: Based on a reading of the Allied trials for war criminals, students will explore the guilt or innocence of one of Japan's wartime leaders—Yamashita Tomoyuki, Homma Masaharu, Tojo Hideki, Koki Hirota, or Togo Shigenori. By 10:00 a.m., Monday, March 18, students shall submit a two-page statement on their topic and major sources, placing it in my mailbox. By 10:00 am, Monday, April 22, students will submit a 5,000 word (twelve- to fifteen-page) final draft (see, Part IV for details), written according to the paper format outlined below (see, Part III).

Final Report: By 10:00 am, Monday, May 6, students shall submit a three- to four-page essay reflecting on the legacy of the Allied war crimes trials at the end of World War II and exploring whether the United States has complied with the standards of command responsibility under international law since the end of World War II.

Course Readings: Materials for the course can be found through several outlets:

College Library: Almost all of the required readings below are held in reserve in the College Library at H.C. White. Students are advised that there is only one copy of many books, so planning is essential.

Memorial Library: Other sources, particularly the journals and background readings, can be found in Memorial Library.

Textbooks: Students are advised to purchase the following texts from University Bookstore for general background and weekly reading:

Calvocoressi, Peter, et al., *Total War: Volume 2, The Greater East Asia and Pacific Conflict* (New York: Pantheon Books, 1989).

McCoy, Alfred W., ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980).

McEvedy, Colin, *The Penguin Historical Atlas of the Pacific* (New York: Penguin, 1998).

Background Reading: Those unfamiliar with the basic chronology of World War II in the Pacific are urged to read one of the general narratives published recently. Almost all provide a similar, and useful, overview of the military and political history of the war in the Pacific.

Calvocoressi, Peter, et al., *Total War: Volume 2, The Greater East Asia and Pacific Conflict* (New York: Pantheon Books, 1989).

Costello, John, *The Pacific War, 1941-1945* (New York: Rawson Wade, 1981).

Hoyt, Edwin P., *Japan's War: The Great Pacific Conflict* (New York: McGraw Hill, 1986).

Spector, Ronald H., *Eagle Against the Sun: The American War With Japan* (New York: Free Press, 1985).

Toland, John, *The Rising Sun: The Decline and Fall of the Japanese Empire, 1936-1945* (New York: Random House, 1970).

II. READING LIST [* Indicates the Main Readings For Each Week]:-

INTRODUCTION (January 22): Orientation

In this initial meeting, we will discuss the structure of the course and assign oral presentations.

[N.B.: Book Reports due, Monday, January 28, at 10:00 a.m.]

WEEK 1 (January 29): The Origins of Geopolitical Conflict in the Pacific

Required Reading:-

Calvocoressi, Peter, et al., *Total War: Volume 2, The Greater East Asia and Pacific Conflict* (New York: Pantheon Books, 1989), pp. 597-702.*

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 3-73.*

Fieldhouse, D.K., *The Colonial Empires* (London: Macmillan, 1982), pp. 207-41, 325-48.*

Iriye, Akira, "The Failure of Military Expansion," in, James Morley, ed., *Dilemmas of Growth in Prewar Japan* (Princeton, NJ: Princeton University Press, 1971), pp. 107-38.

Kolko, Gabriel, *The Politics of War: The World and United States Foreign Policy, 1943-1945* (New York: Vintage, 1968), pp. 3-9, 209-41.

Thorne, Christopher, *The Issue of War: States, Societies and the Far Eastern Conflict of 1941-1945* (New York: Oxford University Press, 1985), pp. 3-48.*

Background Reading:-

Iriye, Akira, "Imperialism in East Asia," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 122-50.

Iriye, Akira, *Across the Pacific: An Inner History of American-East Asian Relations* (New York: Harcourt Brace & World, 1967), pp. 201-49.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981).

[N.B.: Revised Book Reports due, Monday, February 4, at 10:00 a.m.]

WEEK 2 (February 5): Versailles & the New Era in Asian Diplomacy

Required Reading:-

Crowley, James, "A New Deal for Japan and Asia: One Road to Pearl Harbor," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 235-64.*

Iriye, Akira, *The Origins of the Second World War in Asia and the Pacific* (New York: Longman, 1987), pp. 1-39, 41-81.*

Iriye, Akira, *Across the Pacific: An Inner History of American-East Asian Relations* (New York: Harcourt Brace & World, 1967), pp. 111-37.

Storry, Richard, *Japan and the Decline of the West in Asia, 1894-1943* (London: MacMillan, 1979), pp. 14-52; 100-37.*

Background Reading:-

Asada, Sadao, "The Japanese Navy and the United States," in, Dorothy Borg and

Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 225-60.

Crowley, James, *Japan's Quest for Autonomy: National Security and Foreign Policy, 1930-1938* (Princeton, NJ: Princeton University Press, 1966), pp. 3-81.

Iriye, Akira, *After Imperialism: The Search for a New Order in the Far East, 1921-1931* (Cambridge, MA: Harvard University Press, 1965), pp. 1-88.

Iriye, Akira, *Pacific Estrangement: Japanese and American Expansion 1897-1911* (Cambridge, MA: Harvard University Press, 1972).

Takeuchi, Tatsuji, *War and Diplomacy in the Japanese Empire* (New York: Russell & Russell, 1935), pp. 219-38.

WEEK 3 (February 12): American Power in the Pacific, 1898-1941

Required Reading:-

Hunt, Michael, *The Making of a Special Relationship: The United States and China to 1914* (New York: Columbia University Press, 1983), pp. 5-40, 143-83, 258-98.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981), pp. 36-95.

Marshall, Jonathan, *To Have and To Have Not: Southeast Asian Raw Materials and the Origins of the Pacific War* (Berkeley: University of California Press, 1995), pp. ix-xvi, 1-53.*

Muir, Malcolm, Jr., "The United States Navy in World War II: An Assessment," in, James J. Sadkovich, ed., *Reevaluating Major Naval Combatants of World War II* (New York: Greenwood, 1990), pp. 1-17.*

Thompson, James C., Peter W. Stanley & John Curtis Perry, *Sentimental Imperialists: The American Experience in East Asia* (New York: Harper & Row, 1981), pp. 4-19, 93-120, 134-61, 190-202.*

Thorne, Christopher, *Allies of A Kind: The United States, Britain and the War Against Japan, 1941-1945* (New York: Oxford University Press, 1978), pp. 3-45.*

Willmott, H.P., *Empires in the Balance: Japanese and Allied Pacific Strategies to April 1942* (Annapolis: Naval Institute Press, 1982), pp. 95-129.

Background Reading:-

Graebner, Norman A., "Hoover, Roosevelt, and the Japanese," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 25-52.

Heinrichs, Waldo H., "The Role of the United States Navy," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 197-224.

Esthus, Raymond A., *Theodore Roosevelt and Japan* (Seattle: University of Washington Press, 1967), pp. 128-195.

Pelz, Stephen E., *Race to Pearl Harbor: The Failure of the Second Naval Conference and the Onset of World War II* (Cambridge, MA: Harvard University Press, 1974), pp. 67-94.

WEEK 4 (February 19): The Japanese Empire, 1894-1941

Required Reading:-

Duus, Peter, "The Takeoff Point in Japanese Imperialism," in Harry Wray and Hilary Conroy, eds., *Japan Examined: Perspectives on Modern Japanese History* (Honolulu: University of Hawaii Press, 1983).

Hata Ikuhiko, "Continental Expansion, 1905-1941," in, *The Cambridge History of Japan, Volume 6, The Twentieth Century*, pp. 271-309.*

Jansen, Marius B., *The Japanese and Sun Yat-sen* (Cambridge, MA: Harvard University Press, 1954), pp. 13-81.*

Jansen, Marius B., *Japan and China: From War to Peace, 1894-1972* (Chicago: Rand McNally, 1975), pp. 103-175.*

Parillo, Mark P., "The Imperial Japanese Navy in World War II," in, James J. Sadkovich, ed., *Reevaluating Major Naval Combatants of World War II* (New York: Greenwood, 1990), pp. 61-73.*

Peattie, Mark R., "Introduction," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 3-52.*

Willmott, H.P., *Empires in the Balance: Japanese and Allied Pacific Strategies to April 1942* (Annapolis: Naval Institute Press, 1982), pp. 67-94.*

Background Reading:-

Beasley, W.G., *Japanese Imperialism 1884-1945* (Oxford: Oxford University Press, 1989).

Conroy, Hillary, *The Japanese Seizure of Korea, 1868-1910* (Philadelphia: University of Pennsylvania Press, 1960), pp. 17-77.

Duus, Peter, "Economic Dimensions of Meiji Imperialism: The Case of Korea, 1895-1910," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 128-171.

Jansen, Marius B., "Japanese Imperialism: Late Meiji Perspectives," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 61-79.

Kublin, Hyman, "The Evolution of Japanese Colonialism," *Comparative Studies in Society & History* 2 (1959), pp. 67-84.

Mayo, Marlene, "Attitudes Towards Asia and the Beginnings of Japanese Empire," in, Grant Goodman, ed., *Imperial Japan and Asia: A Reassessment* (New York, 1967), pp. 6-31.

Meyers, Ramon, "Japanese Imperialism in Manchuria: The South Manchurian Railway Company, 1906-1933," in, Peter Duus, et al., eds., *The Japanese Informal Empire in China* (Princeton, NJ: Princeton University Press, 1989).

Nish, Ian, *The Anglo-Japanese Alliance: The Diplomacy of Two Island Empires* (London: University of London, 1966), pp. 345-77.

Nish, Ian, *The Origins of the Russo-Japanese War* (London: Longman, 1985), pp. 1-18; 230-57.

Norman, E.H., "The Genyosha: A Study in the Origins of Japanese Imperialism," in, John Livingston, et al., eds., *The Japan Reader I* (New York: Random House, 1978), pp. 355-67.

Okamoto, Shumpei, *The Japanese Oligarchy and the Russo-Japanese War* (New York: Columbia University Press, 1970), pp. 167-223.

WEEK 5 (February 26): Ultra-nationalism in Japan

Required Reading:-

Bix, Herbert P., "The Showa Emperor's 'Monologue' and the Problem of War Responsibility," in *Journal of Japanese Studies* 18:2 (1992), pp. 295-363.*

Dore, Ronald P., and Tsutomu Ouchi, "Rural Origins of Japanese Fascism," in, James Morley, ed., *Dilemmas of Growth in Prewar Japan* (Princeton, NJ: Princeton University Press, 1971), pp. 181-210.

Duus, Peter, and Daniel I. Okimoto, "Fascism and the History of Prewar Japan," *Journal of Asian Studies* 39 (1979), pp. 65-76.*

Ienaga, Saburo, *Japan's Last War: World War II and the Japanese* (Canberra: Australian National University Press, 1979), pp. 13-54; 97-128.

McCormack, Gavan, "Nineteen Thirties Japan: Fascism?," *Bulletin of Concerned Asian Scholars* 14, no. 2 (April-June 1982), pp. 20-32.*

Maruyama Masao, *Thought and Behaviour in Modern Japanese Politics* (London: Oxford University Press, 1963), pp. 25-83.*

Wilson, George, "A New Look at the Problem of 'Japanese Fascism'," in, Henry Turner, ed., *Reappraisals of Fascism* (New York: New Viewpoints, 1975).

Background Reading:-

Barshay, Andrew, *State and Intellectual in Japan: The Public Man in Crisis* (Berkeley: University of California Press, 1988).

Crowley, James, "Japanese Army Factionalism in the Early 1930s," *Journal of Asian Studies* 21 (1962), pp. 309-26.

Duus, Peter, "Ngai Ryutaro and the 'White Peril,' 1905-1944" *Journal of Asian Studies* 31 (1971), pp. 41-8.

Fletcher, William M., "Intellectuals and Fascism in Early Showa Japan," *Journal of Asian Studies* 39 (1979), pp. 39-63.

Fletcher, William M., *The Search for a New Order: Intellectuals and Fascism in Prewar Japan* (Chapel Hill, NC: University of North Carolina Press, 1982), pp. 71-87.

Havens, Thomas, *Farm and Nation in Modern Japan: Agrarian Nationalism, 1870-1940* (Princeton, NJ: Princeton University Press, 1974).

Ito Takashi, "The Role of Right-Wing Organizations in Japan," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 487-509.

Shillony, Ben-ami, *Revolt in Japan: The Young Officers and the February 26, 1936 Incident* (Princeton, NJ: Princeton University Press, 1973), pp. 135-97.

Tsurumi, Kazuko, *Social Change and the Individual: Japan Before and After Defeat in World War II* (Princeton, NJ: Princeton University Press, 1970).

Wilson, George M., "A New Look at the Problem of Japanese Fascism," *Comparative Studies in Society and History* 10 (1968), pp. 401-12.

WEEK 6 (March 5): Japan's China War, 1937-1945

Required Reading:-

Calvocoressi, Peter, et al., *Total War: Volume 2, The Greater East Asia and Pacific Conflict* (New York: Pantheon Books, 1989), pp. 703-66.*

Chang, Iris, *The Rape of Nanking: The Forgotten Holocaust of World War II* (New York: Basic Books, 1997), chapters 3, 4.*

Ienaga, Saburo, *Japan's Last War: World War II and the Japanese* (Canberra: Australian National University Press, 1979), pp. 57-96; 153-80.*

Jansen, Marius B., *Japan and China: From War to Peace, 1894-1972* (Chicago: Rand McNally, 1975), pp. 354-409.

Johnson, Chalmers, *Peasant Nationalism and Communist Power: The Emergence of Revolutionary China* (Stanford: Stanford University Press, 1962), pp. 1-70.*

Johnson, Chalmers, "Peasant Nationalism Revisited: The Biography of a Book," *China Quarterly* 72, pp. 767-85.

Maruyama, Masao, *Thought and Behaviour in Modern Japanese Politics* (London: Oxford University Press, 1963), pp. 84-131.

Selden, Mark, *The Yen'an Way in Revolutionary China* (Cambridge, MA: Harvard University Press, 1971), pp. 91-100; 177-80; 229-37.*

Background Reading:-

Bianco, L., *Origins of the Chinese Revolution, 1915-1949* (Stanford: Stanford University Press, 1971).

Boyle, John H., *China and Japan at War, 1937-1945: The Politics of Collaboration* (Stanford: Stanford University Press, 1972), pp. 1-60; 306-35.

Crowley, James, *Japan's Quest for Autonomy: National Security and Foreign Policy, 1930-1938* (Princeton, NJ: Princeton University Press, 1966), pp. 82-121; 301-78.

Eastman, Lloyd E., "Facets of an Ambivalent Relationship: Smuggling, Puppets and Atrocities During the War, 1937-1945," in Akira Iriye, ed., *The Chinese and the Japanese: Essays in Political and Cultural Interactions* (Princeton, NJ: Princeton University Press, 1980), pp. 275-303.

Gillin, D.G., "'Peasant Nationalism' in the History of Chinese Communism," *Journal of Asian Studies* 23:2, pp. 269-89.

Katsumi, Usui, "The Politics of War, 1937-1945," in, James A. Morley, ed., *The China Quagmire: Japan's Expansion on the Asian Continent, 1933-1941* (New York: Columbia University Press, 1983), pp. 309-436.

Kennedy, Malcolm D., *The Estrangement of Great Britain and Japan* (Berkeley: University of California Press, 1969), pp. 174-95; 306-15.

Lee, Chong-Sik, *Counterinsurgency in Manchuria: The Japanese Experience* (Santa Monica, CA: The Rand Corporation, 1967), pp. 1-78.

Li, Lincoln, *The Japanese Army in North China, 1937-1941: Problems of Political and Economic Control* (London: Oxford University Press, 1975), pp. 1-14, 187-213.

Oka Yoshitake, *Konoe Fumimaro: A Political Biography* (Tokyo: Toyko University Press, 1983).

Peattie, Mark R., *Ishiwara Kanji and Japan's Confrontation with the West* (Princeton, NJ: Princeton University Press, 1975).

Selden, Mark, "The Chinese Communist Party's Strategy for Galvanizing Popular Support, 1930-1945," in, E. Friedman and M. Selden, eds., *America's Asia* (New York: Pantheon, 1971), pp. 357-72.

Shum, K.K., *The Chinese Communists' Road to Power: The Anti-Japanese United Front, 1935-1945* (Hong Kong: Oxford University Press, 1988), pp. 184-230.

Thaxton, Ralph, "On Peasant Revolution and National Resistance," *World Politics* 30:1 (1977), pp. 24-57.

WEEK 7 (March 12): The Japanese Co-Prosperity Sphere

Required Reading:-

Anderson, B.R. O'G., "Japan: 'The Light of Asia,'" in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 13-50.*

Ansprenger, Franz, *The Dissolution of the Colonial Empires* (London: Routledge, 1989), pp. 145-58.*

Benda, Harry J., "The Japanese Interregnum in Southeast Asia," in, Grant Goodman, ed., *Imperial Japan and Asia: A Reassessment* (New York, 1967), pp. 65-79.*

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 262-90.*

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 258-87.

McCoy, Alfred W., "Introduction," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 1-13.

Shilloni, Ben-Ami, *Politics and Culture in Wartime Japan* (Oxford: Clarendon Press, 1981), pp. 134-51.*

Background Reading:-

Benda, Harry J., "The Structure of Southeast Asian History: Some Preliminary Observations," *Journal of Southeast Asian History* 3 (1962), pp. 106-38.

Elsbree, W.H., *Japan's Role in Southeast Asian Nationalist Movements, 1940-1945* (Cambridge, MA: Harvard University Press, 1953).

Hashikawa, Bunso, "Japanese Perspectives on Asia: From Dissociation to Coprosperity," in, Akira Iriye, ed., *The Chinese and the Japanese: Essays in Political and Cultural Interactions* (Princeton, NJ: Princeton University Press, 1980), pp. 328-55.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981), pp. 96-121.

Jones, F.C., *Japan's New Order in East Asia: Its Rise and Fall, 1937-45* (New York: Oxford University Press, 1954), pp. 330-400.

Lebra, Joyce, *Jungle Alliance: Japan and the Indian National Army* (Singapore: Asia-Pacific Press, 1971), pp. 1-58.

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hongkong: Heinemann, 1977), pp. 167-84.

Lebra, Joyce, ed., *Japan's Greater East Asia Co-Prosperity Sphere in World War II: Selected Readings and Documents* (New York: Oxford University Press, 1975).

Peattie, Mark R., *Nan'yo: The Rise and Fall of the Japanese in Micronesia, 1885-1945* (Honolulu: University of Hawaii Press, 1988), pp. 230-310.

Peattie, Mark R., "The Nan'yo: Japan in the South Pacific, 1885-1945," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 172-210.

Pelz, Stephen E., *Race to Pearl Harbor: The Failure of the Second Naval Conference and the Onset of World War II* (Cambridge, MA: Harvard University Press, 1974), pp. 167-78, 196-226.

Shillony, Ben-ami, *Politics and Culture in Wartime Japan* (Oxford: Oxford University Press, 1981), pp. 7-67.

[N.B.: At 10:00 a.m. Monday, March 18, Essay Outlines Are Due.]

WEEK 8 (March 19): Politics of Philippine Defense, 1935-1948**Required Reading:-**

Friend, Theodore, *Between Two Empires: The Ordeal of the Philippines, 1929-1946* (New Haven: Southeast Asia Studies, Yale University Press, 1965), pp. 151-245.*

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 121-38.

Ikehata Setsuho, "The Japanese Occupation Period in Philippine History," in, Ikehata Setsuho and Ricardo Trota Jose, eds., *The Philippines Under Japan: Occupation Policy and Reaction* (Quezon City: Ateneo de Manila University Press, 1999), pp. 1-20.*

McCoy, Alfred W., "Politics by Other Means: World War II in the Western Visayas, Philippines," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 191-245.

McCoy, Alfred W., *Closer Than Brothers: Manhood at the Philippine Military Academy* (New Haven: Yale University Press, 1999), Chapter 3.*

Terami-Wada, Motoe, "The Filipino Volunteer Armies," in, Ikehata Setsuho and Ricardo Trota Jose, eds., *The Philippines Under Japan: Occupation Policy and Reaction* (Quezon City: Ateneo de Manila University Press, 1999), pp. 59-98.

Nakano Satoshi, "Appeasement and Coercion," in, Ikehata Setsuho and Ricardo Trota Jose, eds., *The Philippines Under Japan: Occupation Policy and Reaction* (Quezon City: Ateneo de Manila University Press, 1999), pp. 21-58.*

Steinberg, David J., *Philippine Collaboration in World War II* (Ann Arbor: University of Michigan Press, 1967), chapters 2-3, 6-7, & 9.

Steinberg, David J., "The Philippine 'Collaborators': Survival of an Oligarchy," in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 67-86.*

Background Reading:-

Jose, Ricardo T., *Kasaysayan: The Japanese Occupation* (Manila: Asia Publishing 1998).

Jose, Ricardo T., *The Philippine Army, 1935-1942* (Quezon City: Ateneo de Manila University Press, 1992) pp. 30-49.

McCoy, Alfred W., "The Philippines--Independence Without Decolonisation," in, Robin Jeffrey, ed., *Asia--The Winning of Independence* (London: Macmillan, 1981), pp. 23-65.

McCoy, Alfred W., *Closer Than Brothers: Manhood at the Philippine Military Academy* (New Haven: Yale University Press, 1999), Chapter 2.

[SPRING RECESS: March 23--March 30]

WEEK 9 (April 2): Southeast Asia in WWII--Indonesia & Malaya***Required Reading:-***

Anderson, B.R. O'G., *Java in a Time of Revolution: Occupation and Resistance, 1944-46* (Ithaca: Cornell University Press, 1971), pp. 1-66.*

Benda, Harry J., *The Crescent and the Rising Sun* (The Hague: Van Hoeve, 1958), chapter 1.

Benda, Harry J., "The Beginnings of the Japanese Occupation of Java," *Journal of Asian Studies* 15 (1955-1956), pp. 541-60.

Cheah Boon Kheng, "The Social Impact of the Japanese Occupation of Malaya (1942-1945)," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 91-124.*

Frederick, William H., *Visions and Heat: The Making of the Indonesian Revolution* (Athens, OH: Ohio University Press, 1989), pp. 133-71; 230-67.

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 211-39.*

Reid, A.J.S., "Indonesia: From Briefcase to Samurai Sword," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 16-32.*

Sato, Shigeru, *War, Nationalism and Peasants: Java Under the Japanese Occupation, 1942-1945* (New York: M.E. Sharpe, 1994), pp. 154-200.

Background Reading--Indonesia :-

Anderson, B.R. O'G., "The Cultural Factors in the Indonesian Revolution," *Asia* 20 (1970-71), pp. 48-65.

Fay, Peter Ward, *The Forgotten Army: India's Struggle for Independence, 1942-1945* (Ann Arbor: University of Michigan Press, 1995).

Fujiwara, Iwaici, *F. Kikan: Japanese Army Intelligence Operations in Southeast Asia During World War II* (Hong Kong: Heinemann Asia, 1983).

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hong Kong: Heinemann, 1977), pp. 7-112.

Nugroho Notosusanto, "The PETA Army in Indonesia, 1943-1945," in, William Newell, ed., *Japan in Asia* (Singapore: Singapore University Press, 1981),

Reid, A.J.S., *The Indonesian National Revolution* (Hawthorn: Longman, 1974), pp. 1-76.

Reid, A.J.S., and Oki Akira, eds., *The Japanese Experience of Indonesia: Selected Memoirs of 1942-1945* (Athens, OH: Ohio University Press, 1986), pp. 9-77.

Reid, A.J.S., "The Japanese Occupation and Rival Indonesian Elites: Northern Sumatra in 1942," *Journal of Asian Studies* 25 (1975), pp. 49-61.

Background Reading--Malaya:-

Ahmad, Abu Talib, "The Impact of the Japanese Occupation on the Malay-Muslim Population, in, Paul H. Kratoska, ed., *Malaya and Singapore During the Japanese Occupation* (Singapore: National University of Singapore, 1995).

Akashi, Yoji, "Bureaucracy and the Japanese Military Administration, with Specific Reference to Malaya," in, William Newell, ed., *Japan in Asia* (Singapore: Singapore University Press, 1981), pp. 46-82.

Akashi Yoji, "Japanese Policy Towards the Malayan Chinese, 1941-45," *Journal of Southeast Asian Studies*, 1: 2 (September 1970).

Akashi Yoji, *Nanyang Chinese Anti-Japanese National Salvation Movement, 1937-1941* (Lawrence: Center for East Asian Studies, University of Kansas, 1971).

Akashi Yoji, "The Anti-Japanese Movement in Perak during the Japanese Occupation," in, Paul H. Kratoska, ed., *Malaya and Singapore During the Japanese Occupation* (Singapore: National University of Singapore, 1995).

Cheah Boon Kheng, *The Masked Comrades: A Study of the Communist United Front in Malaya, 1945-48* (Singapore: Times Books International, 1979), pp. 1-52

Hara Fujiro, "The Japanese Occupation of Malaya and the Chinese Community," in, Paul H. Kratoska, ed., *Malaya and Singapore During the Japanese Occupation* (Singapore: National University of Singapore, 1995).

Kratoska, Paul H., *The Japanese Occupation of Malaya: A Social and Economic History* (Honolulu: University of Hawai'i Press, 1997), pp. 20-91.

Png Poh Seng, "The Kuomintang in Malaya," *Journal of Southeast Asian History* 2:1 (March 1961).

WEEK 10 (April 9): Southeast Asia in WWII--Burma, Thailand & Vietnam

Required Reading:-

Batson, Ben, "Siam and Japan: The Perils of Independence," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 267-302.*

Guyot, Dorothy, "The Burma Independence Army: A Political Movement in Military Garb," in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 51-65.*

Huynh Kim Khanh, "The Vietnamese August Revolution Reinterpreted," *Journal of Asian Studies* 30:4 (August 1971), pp. 761-82.*

Marr, David, "World War II and the Vietnamese Revolution," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 125-58.*

Marr, David, *Vietnam 1945: The Quest For Power* (Berkeley: University of California, 1995), pp. 13-69.

Taylor, Robert, "Burma in the Anti-Fascist War," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 159-90.*

Truong Buu Lam, "Japan and the Disruption of the Vietnamese Nationalist Movement," in, Walter E. Vella, ed., *Aspects of Vietnamese History* (Honolulu: University of Hawaii, 1973), pp. 237-70.

Background Reading--Burma:-

Allen, Louis, *Burma: The Longest War, 1941-45* (New York: St. Martin's Press, 1984).

Ba Maw, *Breakthrough in Burma* (New Haven: Yale University Press, 1968), pp. 103-217.

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hongkong: Heinemann, 1977), pp. 39-74.

U Khin, *U Hla Pe's Narrative of the Japanese Occupation of Burma* (Ithaca: Cornell University Press, 1961).

U Maung Maung, *Burmese Nationalist Movements 1940-1948* (Honolulu: University of Hawaii Press, 1989).

Background Reading--Thailand:-

Barmé, Scot, *Luang Wichit Wathakan and the Creation of a Thai identity* (Singapore: Institute for Southeast Asian Studies, 1993).

Batson, Benjamin A. and Shimizu Hajime, *"The Tragedy of Wanit": A Japanese Account of Wartime Thai Politics* (Singapore: Journal of Southeast Asian Studies, University of Singapore, 1990).

Foran, Songsri, *Thai-British-American Relations during World War II and the Immediate Postwar Period* (Bangkok: Thai Khadi Research Institute, 1981).

Gunn, Geoffrey C., *Political Struggles in Laos (1930-1954)* (Bangkok: Editions Duang Kamol, 1988), pp. 99-185.

Kesetsiri, Charnvit, "The First Phibun Government and its Involvement in World War II," *Journal of The Siam Society* 62:2 (1974), pp. 25-88.

Numnonda, Thamsook, "Pibul Songkram's Thai Nation-Building Programme During the Japanese Military Presence, 1941-1945," *Journal of Southeast Asian Studies* 9:2 (1978), pp. 234-47.

Pensrinokun, Kamon, "Adaptation and Appeasement: Thai Relations with Japan and the Allies in World War II," in, Chiwat Khamchoo and E. Bruce Reynolds, eds., *Thai-Japanese Relations in Historical Perspective* (Bangkok: Inomedia, 1988).

Reynolds, E. Bruce, *Thailand and Japan's Southern Advance, 1940-1945* (New York: St. Martin's Press, 1994), pp. 81-198.

Stowe, Judith A., *Siam becomes Thailand: A story of intrigue* (Honolulu: University of Hawaii Press, 1991).

Background Reading--Vietnam:-

Duiker, W.J., *The Communist Road to Power in Vietnam* (Boulder: Westview Press, 1981), pp. 57-125.

Hammer, Ellen J., *The Struggle for Indochina, 1940-1945* (Stanford: Stanford University Press, 1954), pp. 94-174.

Huynh Kim Khanh, *Vietnamese Communism, 1925-1945* (Ithaca: Cornell University Press, 1982), pp. 232-338.

McAlister, John, and Paul Mus, *The Vietnamese and Their Revolution* (New York: Harper & Row, 1970), pp. 55-69; 109-315.

Patti, Archimedes, *Why Vietnam?: Prelude to America's Albatross* (Berkeley: University of California Press, 1980).

Smith, R.B., "The Japanese Period in Indochina and the Coup of 9 March 1945," *Journal of Southeast Asian Studies* 9:2 (1978), pp. 268-301.

Smith, R.B., "The Work of the Provisional Government of Vietnam, August-December 1945," *Modern Asian Studies* 12:4 (1978), pp. 459-82.

Woodside, Alexander, *Community and Revolution in Modern Vietnam* (Boston: Houghton Mifflin, 1976), pp. 201-45.

WEEK 11 (April 16): Nuclear Strategy in the Pacific

Required Reading:-

Alperovitz, Gar, *Atomic Diplomacy: Hiroshima and Potsdam* (New York: Viking/Penguin, 1985), pp. 1-60.*

Bernstein, Barton J., "The Atomic Bomb and American Foreign Policy: The Route to Hiroshima," in, Barton Bernstein, ed., *The Atomic Bomb: The Critical Issues* (Boston: Little Brown, 1976), pp. 94-129.*

Kolko, Gabriel, *The Politics of War: The World and United States Foreign Policy, 1943-1945* (New York: Vintage, 1968), pp. 538-67.

Sherry, Michael S., *The Rise of American Air Power: The Creation of Armageddon* (New Haven: Yale University Press, 1987), pp. 177-218, 256-300, 301-63.*

Background Reading:-

Boyer, Paul, *By the Bomb's Early Light: American Thought and Culture at the Dawn of the Atomic Age* (New York: Pantheon, 1985), pp. 3-26; 181-210.

Feis, Herbert, *The Atomic Bomb and the End of World War II* (Princeton, NJ: Princeton University Press, 1966), pp. 28-59.

Havens, Thomas R.H., *Valley of Darkness: The Japanese People and World II* (New York: W.W. Norton, 1979), pp. 133-93.

Hayes, Peter, et al., *American Lake: Nuclear Peril in the Pacific* (New York: Penguin Books, 1987), pp. 1-98.

Sherwin, M.J., "The Atomic Bomb and the Origins of the Cold War," *American Historical Review* 4:78 (1973).

[N.B.: At 10:00 a.m., Monday, April 22, Major Essays Are Due.]

WEEK 12 (April 23): U.S. Occupation of Japan

Required Reading:-

Dower, John, *Embracing Defeat: Japan in the Wake of World War II* (New York: W.W. Norton, 1999), pp. 203-224, 346-404, 525-46.*

Kolko, Joyce, and Gabriel Kolko, *The Limits of Power: The World and United States Foreign Policy, 1945-1954* (New York: Harper & Row, 1972), pp. 300-25.

Patrick, Hugh, "The Phoenix Risen from the Ashes: Postwar Japan," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 298-336.*

Schaller, Michael, *Douglas MacArthur: The Far Eastern General* (New York: Oxford University Press, 1989), pp. 106-57.*

Thompson, James C., Peter W. Stanley and John Curtis Perry, *Sentimental Imperialists: The American Experience in East Asia* (New York: Harper & Row, 1981), pp. 203-16.*

Background Reading:-

Bell, Roger, *Unequal Allies: Australian-American Relations and the Pacific War* (Melbourne: Melbourne University Press, 1977), p. 173-203.

Borton, Hugh, *American Presurrender Planning for Postwar Japan* (New York: Columbia University Press, 1967), pp. 3-31.

Bronfenbrenner, Martin, "The American Occupation of Japan: Economic Retrospect," in, Grand Goodman, ed., *The American Occupation of Japan: A Retrospective View* (Lawrence, KA: Center for East Asian Studies, University of Kansas, 1968), pp. 11-25.

Dore, R., *Land Reform in Japan* (London: Oxford University Press, 1959), pp.115-98.

Dower, John, *Empire and Aftermath: Yoshida Shigeru and the Japanese Experience, 1878-1954* (Cambridge, MA: Harvard University Press, 1979), pp. 273-368.

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 293-317.

Feis, Herbert, *Contest Over Japan* (New York: W.W. Norton, 1967), pp. 119-51.

Hadley, Eleanor M., *Antitrust in Japan* (Princeton: Princeton University Press, 1970), pp. 32-76.

Kazuo, Kawai, *Japan's American Interlude* (Chicago: Chicago University Press, 1967), pp. 16-33; 71-90; 225-48.

McNelly, Theodore H., "'Induced Revolution': The Policy and Process of Constitutional Reform in Occupied Japan," in, Robert E. Ward and Sakamoto Yoshikazu, eds., *Democratizing Japan: The Allied Occupation* (Honolulu: University of Hawaii Press, 1987), pp. 76-106.

Minear, Richard, *Victor's Justice: The Tokyo War Crimes Trial* (Princeton, NJ: Princeton University Press, 1971), pp. 125-59.

Passin, Herbert, *The Legacy of Occupation--Japan* (New York: East Asian Institute, Columbia University, 1968), pp. 3-43.

Steiner, Kurt, *Local Government in Japan* (Stanford: Stanford University Press, 1965), pp. 64-113.

Ward, Robert E., "Presurrender Planning: Treatment of the Emperor and Constitutional Changes," in, Robert E. Ward and Sakamoto Yoshikazu, eds., *Democratizing Japan: The Allied Occupation* (Honolulu: University of Hawaii Press, 1987), pp. 1-41.

WEEK 13 (April 30): Tokyo War Crimes Tribunal

Required Readings:-

Bix, Herbert P., *Hirohito and the Making of Modern Japan* (New York: HarperCollins, 2000), pp. 581-618.*

Dower, John W., *Embracing Defeat: Japan in the Wake of World War II* (New York: W.W. Norton, 1999), pp. 319-45, 443-84.*

Minear, Richard, *Victor's Justice: The Tokyo War Crimes Trial* (Princeton, NJ: Princeton University Press, 1971), pp. 3-73, 125-80.*

Piccigallo, Philip R., *The Japanese on Trial: Allied War Crimes Operations in the East, 1945-1951* (Austin, TX: University of Texas Press, 1979), pp. 49-67.*

Background Readings—Tokyo Trial:

Butow, Robert J.C., *Tojo and the Coming of the War* (Princeton, NJ: Princeton University Press, 1961), pp. 470-540.

Hosaya, C., N. Ando, Y. Onuma, R. Minear, eds., *The Tokyo War Crimes Trial: An International Symposium* (New York: Harper & Row, 1986).

Shigenori Togo, *The Cause of Japan* (New York: Simon & Schuster, 1956).

Shiroyama Saburo, *War Criminal: The Life and Death of Hirota Koki* (New York: Kodansha International, 1977).

Background Readings--Manila Trials:-

Lael, Richard L., *The Yamashita Precedent: War Crimes and Command Responsibility* (Wilmington, DE: Scholarly Resources, 1982).

Piccigallo, Philip R., *The Japanese on Trial: Allied War Crimes Operations in the East, 1945-1951* (Austin, TX: University of Texas Press, 1979), pp. 49-67.

Reel, Adolf Frank, *The Case of General Yamashita* (Chicago: University of Chicago Press, 1949).

Taylor, Lawrence, *A Trial of Generals: Homma, Yamashita, MacArthur* (South Bend, Icarus Press, 1981).

[N.B.: At 10:00 a.m., Monday, May 6, Final Papers Are Due in Box 5026]

WEEK 14 (May 7): The Legacy of "Victors' Justice"

Required Readings:-

Bantekas, Ilias, "The Contemporary Law of Superior Responsibility," *American Journal of International Law* 93, no. 2 (July 1999), pp. 573-95.

Branfman, Fred, *Voices from the Plain of Jars: Life Under an Air War* (New York: Harper & Row, 1972), pp. 3-29, 62-68.*

Falk, Richard A., et al., eds., *Crimes of War* (New York: Random House, 1971), pp. 3-27.*

Hart, Franklin A., "Yamashita, Nuremberg, and Vietnam: Command Responsibility Reappraised," *Naval War College Review* 25:1 (1972), pp. 19-36.*

Hersh, Seymour, "Annals of War: Overwhelming Force," *The New Yorker*, 22 May 2000, pp. 49-82.*

Lael, Richard L., *The Yamashita Precedent: War Crimes and Command Responsibility* (Wilmington, DE: Scholarly Resources, 1982).

Littauer, Raphael and Norman Uphoff, eds., *The Air War in Indochina* (Boston: Beacon Press, 1972), pp. v-ix, 124-48.*

McCaffrey, Major-General Barry, "Return Fire: We Ignore the Lessons of the Last 30 Years at Our Peril," *Armed Forces Journal* (August 2000), pp. 14-16.*

Pritchard, John R., "The International Military Tribunal for the Far East and its contemporary resonances," *Military Law Review* 149 (Summer 1995), pp. 25-35.*

Prevost, Ann Marie, "Race and War Crimes: The 1945 War Crimes Trial of General Tomoyuki Yamashita," *Human Rights Quarterly* 14 (1992).

Roberts, Adam, and Richard Guelff, *Documents on the Laws of War* (Oxford: Clarendon Press, 2000), pp. 299-369.

Smidt, Michael L., "Yamashita, Medina, and Beyond: Command Responsibility in Contemporary Military Operations," *Military Law Review* 164 (2000).*

Steiner, Kurt, "War Crimes and Command Responsibility: From the Bataan Death March to the My Lai Massacre," *Pacific Affairs* 58:2 (1985), pp. 293-98.*

Taylor, Telford, *Nuremberg and Vietnam: An American Tragedy* (Chicago: Quadrangle Books, 1970), pp. 11-57, 78-94, 122-82.*

Background Readings--War Crimes:-

Associated Press, "Bush Aide Says Pact on Global Tribunal Faces New Review," *The New York Times*, 3 January 2001.

Barry, John and Evan Thomas, "Probing a Slaughter," *Newsweek*, 29 May 2000, p. 28.

Crossette, Barbara, "Parsing Degrees of Atrocity Within the Logic of Law," *The New York Times*, 8 July 2000.

Crossette, Barbara, "Clinton Weighing Options on World Criminal Court," *The New York Times*, 11 December 2000.

Crossette, Barbara, "U.S. Envoy Tackles Objections to Tribunal on War Crimes," *The New York Times*, 12 December 2000.

Editorials, "A Step Towards International Justice," *The New York Times*, 3 January 2001.

Forbes, Daniel, "Gulf War Crimes," *Salon*, 15 May 2000
[<<http://www.salon.com>>]*

Gasser, Hans-Peter, "An Appeal for Ratification by the United States," *American Journal of International Law* 81, no. 4 (October 1987), pp. 912-925.

Kopelman, Elizabeth S., "Ideology and International Law: The dissent of the Indian justice at the Tokyo war crimes trial," *New York University Journal of International Law and Politics* 23 (Winter 1991), pp. 373-444.

McCoy, Alfred W., "America's Secret War in Laos, 1955-1975," (draft ms.).

U.S. Senate, 100th Congress, 1st Session, *Protocol II Additional to the 1949 Geneva Conventions, and Relating to the Protection of Victims of Noninternational Armed Conflicts* (Washington, DC: U.S. Government Printing Office, 1987), in, *Senate Treaty Documents Nos. 1-10: United States Congressional Serial Set* (Washington, DC: U.S. Government Printing Office, 1989), pp. iii-15.

Wyman, Major Richard H., "The First Rules of Air Warfare," *Air Chronicles* (March-April 1984).
[<<http://www.airpower.au.af.mil/airchronicles/aureview>>]

III. FORMAT & PROCEDURES FOR RESEARCH ESSAY:

1.) Prose:

a.) Procedure:

- 1.) Write an outline of two pages for a ten-page essay. Each projected paragraph in the essay should be a line in your outline.
- 2.) Write a first draft. If using a personal computer, there is a very real possibility that it will read like a long, chatty letter home, not a major research essay.
- 3.) Reading aloud to yourself if necessary, edit the prose and produce a second draft.

b.) Sentences:

- 1.) Each sentence should be a complete sentence with subject, verb and direct object.
- 2.) Vary your sentences--short, periodic sentences; simple compound sentences; compound sentences with clauses in apposition; and longer sentences communicating detail.

c.) Paragraphs:

- 1.) Start your paragraph with a periodic or compound sentence stating the basic message of this particular paragraph.
- 2.) Varying your sentence structure, elaborate and expand this theme into a fully developed paragraph.
- 3.) Within the paragraph, try to link your sentences so that they flow from one to another.
- 4.) Paragraphs should not be too long. If you need a crude guide, have three paragraphs to a page, each about eight to ten typed lines each.

d.) Aspire to style:

- 1.) There is a music--with melody and rhythm--to prose. Sensitize your mind's ear to the music of prose and try to make your own word music. Try to make your writing an expression of your inner voice.
- 2.) As in all forms of social discourse, there is an appropriate style for an academic essay.

- a.) Use a formal voice--not ponderous, just formal.
 - b.) Avoid contractions (can't, didn't).
 - c.) Avoid colloquialisms (e.g., "Colonialism in Southeast Asia was totally oppressed.")
- 3.) In short, adopt a tone or voice somewhere between the chatty colloquial and the ponderous.

2.) Argument:

a.) *Overall structure:* Every scientific report, whether natural or social, has three basic elements:

- 1.) *The Problem:* In your introduction, state the problem clearly.
 - a.) If necessary, you should give your definition of any key terms that require a specific usage (e.g., "war crime," if the question asks, for example, "Was Tojo Hideki guilty of war crimes as charged by the Tokyo Tribunal ?")
 - b.) In stating your problem, refer to the literature in the syllabus, not something you saw on television news or read over the Internet.
 - c.) A standard and often effective device is to identify two differing schools of thought about a single problem.
 - d.) Make sure you are examining the main point, not some minor side issue.

2.) *The Evidence:* In the middle part of your essay, you must present evidence--in logical order--to deal with the problem posed at the beginning of your essay. Be specific. Give the reader brief narratives of an event, or provide some statistical evidence.

3.) *The Conclusion:* In the final page or two of your essay, reflect on the problem as stated in the introduction in light of the evidence you presented in the middle part of the essay. Stretch the data you present for clarity, but do not exaggerate or over-extend the usefulness of your data.

b.) *Level of Argument:* It is difficult to spell out in precise terms what I mean by "level of argument."

- 1.) To overstate the case, you should not deal with the question of "the impact of Dutch colonialism in Java" by probing the problem of whether "the Dutch made life on Java happier for the natives."
- 2.) How do you define an appropriate question and level of analysis? Simple. You can sensitize yourself to the question by reading several sources with diverse viewpoints and approaches.

c.) *The Nature of History Questions:* Though infinite in its variety, history is often the study of change in large-scale human communities, societies and nations, over time. Most history essays ask you to understand or explain two aspects of change--events and their causes. Simply put, history explores what happened and why it happened. Thus, most history questions ask you to explain elements of the following:

- 1.) In a limited time period, explain the factors underlying a given event. Why did that event happen?
- 2.) Explain the impact that an event, such as a war or revolution, had upon a human community in a period following the event itself.

- 3.) Over a longer period of time, explain how and why complex communities changed in a given way.

3.) Sources/Research:

a.) *Need to Read:*

- 1.) Like all data processing systems, the human mind operates on the GIGO principle: "garbage in, garbage out."
- 2.) If you do not read, then you cannot have anything of any substance to say on a subject.

b.) *Basic Format:*

- 1.) Assuming three paragraphs per page, you should have one source note per paragraph.
- 2.) Every idea that is not your own and every major body of data you use in your essay should be sourced. In particular, quotations must be sourced.
- 3.) You may use endnotes or footnotes in the following format:

Alfred W. McCoy, *Southeast Asia Since 1800* (Madison: University of Wisconsin Press, 1989), pp. 34-5.

- 4.) For details, see: The University of Chicago Press, *A Manual of Style*.

c.) *How to Read for an Essay:*

- 1.) Using the course syllabus, begin with a general text to get an overview of the problem.
- 2.) Using the syllabus or references in the general text, select more specific sources.
- 3.) As you read, begin forming ideas in your mind about:
 - (a) your overall hypothesis, and;
 - (b) the evidence you need or have found to support your argument.
- 4.) As you read, take notes, either on paper, or in the margin of a photocopy of the source. As you take notes, make sure you have the bibliographic information for your source: author, title, place of publication, publisher, etc.
- 5.) Towards the end of your reading, draw up an outline of the essay. If you are missing sources for the argument you would like to present, then do additional reading.

IV. MAJOR RESEARCH ESSAY--The Tokyo War Crimes Trial:

The Assignment: Drawing largely upon the transcripts, use the records of the International Military Tribunal of the Far East (IMTFE) and those of the separate trial of General Tomoyuki Yamashita to write a 5,000 word essay exploring the quality of justice rendered for one of five accused-- Koki Hirota, Shigenori Togo, Tojo Hideki, Yamashita Tomoyuki, and Homma Masaharu. Since the IMTFE transcript runs to 49,000 pages and is awkward to use even with indexes, students might find the assignment more manageable if they select the case of a single defendant and ask whether he was guilty as charged. Students should observe these guidelines in completing the essay:

--**Sources:** Students should use a mix of sources which will include the indictment and judgment, the transcript of proceedings, contemporary New York Times press reports, memoirs of participants and secondary sources.

--**Length:** The essay should run to about 5,000 words or 12-15 pages double-spaced on standard 8.5x11" paper.

--**Annotation:** Following the format in Part III above, students should provide a source for every quotation and significant aspect of their evidence.

--**Format:** See, Part III above.

--**Deadlines:** A single-page precis summarizing topic and sources is due in my mail box by 10:00 a.m. on March 18. The final essay is due by 10:00 am, April 22.

Primary Sources:

The International Military Tribunal of the Far East, 1946-1948, Proceedings (Washington, D.C.: Library of Congress, Microfilm, Reels 1-37).

--Available in Room 430, Memorial Library, Microfilm No. 5332.

--Reel 1, Indictment; Reel 37, Judgments.

--Indexed in Pritchard, R. John & Sonia Magbanua Zaide, eds., *The Tokyo War Crimes Trial: Index and Guide* (New York: Garland, 1981-87), Vol. 1-5.

U.S. Army Forces in the Western Pacific, Tomoyuki Yamashita, Defendant Before the Military Commission Convened by the Commanding General (Washington, D.C.: Library of Congress, Microfilm, Reels 1-4).

--Available in Room 430, Memorial Library, Microfilm No. 4178.

--Guide from Library of Congress on Reference Shelf, Microform Room.

Pal, Radhadinod, *Crimes in International Relations* (Calcutta: University of Calcutta, 1955).

Rolling, B.V.A. & Ruter, C.F., eds., *The Tokyo Judgment* (Amsterdam: APA-University Press Amsterdam, 1977), Vol. 1 & 2.

Secondary Sources:

Bantekas, Ilias, "The Contemporary Law of Superior Responsibility," *American Journal of International Law* 93, no. 2 (July 1999), pp. 573-95.

Butow, Robert J.C., *Japan's Decision to Surrender* (Stanford, CA: Stanford University Press, 1954).

Butow, Robert J.C., *Tojo and the Coming of the War* (Princeton, NJ: Princeton University Press, 1961).

D'Amato, Anthony, "Agora: Superior Orders: Command Responsibility," *American Journal of International Law* 80 (1986).

Dull, Paul S. & Michael Takaki Umemura, *The Tokyo Trials: A Functional Index to the Proceedings of the International Military Tribunal of the Far East* (Ann Arbor, MI: University of Michigan Press, 1957).

Guy, George F., "The Defense of General Yamashita," *Wyoming Law Journal* 4 (Spring 1950).

Hosaya, C., N. Ando, Y. Onuma, R. Minear, eds., *The Tokyo War Crimes Trial: An International Symposium* (New York: Harper & Row, 1986).

Ike, Nobutaka, ed., *Japan's Decision for War* (Stanford, CA: Stanford University Press, 1967).

Kuhn, Arthur, "International Law and National Legislation in the Trial of War Criminals--The Yamashita Case," *American Journal of International Law* (July 1950).

Lael, Richard L., *The Yamashita Precedent: War Crimes and Command Responsibility* (Wilmington, DE: Scholarly Resources, 1982).

Kopelman, Elizabeth S., "Ideology and International Law: The dissent of the Indian justice at the Tokyo war crimes trial," *New York University Journal of International Law and Politics* 23 (Winter 1991), pp. 373-444.

Lord Russell of Liverpool, *The Knights of Bushido: The Shocking History of Japanese War Atrocities* (New York: E.P. Dutton, 1958).

Minear, Richard, *Victor's Justice: The Tokyo War Crimes Trial* (Princeton, NJ: Princeton University Press, 1971).

Piccigallo, Philip R., *The Japanese on Trial: Allied War Crimes Operations in the East, 1945-1951* (Austin, TX: University of Texas Press, 1979).

Pritchard, John R., "The International Military Tribunal for the Far East and its contemporary resonances," *Military Law Review* 149 (Summer 1995), pp. 25-35.

Pritchard, John R. & Sonia Magbanua Zaide, eds., *The Tokyo War Crimes Trial: Index and Guide* (New York: Garland, 1981-87), Vol. 1-5.

--Available in Room 430, Memorial Library.

Prevost, Ann Marie, "Race and War Crimes: The 1945 War Crimes Trial of General Tomoyuki Yamashita," *Human Rights Quarterly* 14 (1992).

Reel, Adolf Frank, *The Case of General Yamashita* (Chicago: University of Chicago Press, 1949).

Shigenori Togo, *The Cause of Japan* (New York: Simon & Schuster, 1956).

Shiroyama Saburo, *War Criminal: The Life and Death of Hirota Koki* (New York: Kodansha International, 1977).

Steiner, Kurt, "War Crimes and Command Responsibility: From the Bataan Death March to the My Lai Massacre," *Pacific Affairs* 58:2 (1985), pp. 293-98.

Taylor, Lawrence, *A Trial of Generals: Hotta, Yamashita, MacArthur* (South Bend, IN: Icarus Press, 1981).