

# **HISTORY 574--WORLD WAR II IN THE PACIFIC**

University of Wisconsin-Madison  
Department of History

Spring 1994

Mr. McCoy

## **I. COURSE REQUIREMENTS:-**

**Course Description:** Through reading and discussion, students will reflect upon the issues of colonialism and geopolitical power in the Asia-Pacific region during the era of the Great Pacific War, 1931 to 1945. Rather than focusing narrowly on the wartime period, the readings will try to place the war in a broad context of causality and consequences. Aside from providing a basic fund of facts and interpretations, the course will develop the students' essential academic skills--searching for data, synthesizing sources, using primary documents, and critically analyzing information. Moreover, the course will emphasize clarity in the written and oral expression of ideas.

**Class Meetings:** Wednesday, 4:00 to 6:00 pm. Attendance is compulsory and is a factor in grading.

**Office Hours:** Mondays 4-6, Rm 5131 Humanities, or by appointment.

**Readings:** There is no single text or group of texts capable of meeting the broad agenda of the course. Instead, the syllabus lists a number of similar readings for each topic to allow students a choice in case the main reading is not on the shelf. In preparation for each meeting, students should read a selection from the "required readings," and use the "background readings" for alternative sources or for preparation of essays. The undergraduate library in Helen C. White will hold 50 of the main books in this course on three-hour reserve, but all journal articles will have to be searched from the stacks. Selecting and skimming as time and interest allow, students should finish at least four readings per week.

**Grading:** Students shall make one oral presentation and complete three pieces of written work. At each class meeting, one student shall open the class with a 20-minute discussion of the readings. Within a week after the oral presentation, the student who led the discussion shall submit a written summary of the topic, sourced to the "required" readings and selections from the "background" section. By 10:00 am, April 27, students shall submit a 5,000 word research essay, prepared according to the instructions in Part IV below. The final grade in the course shall be computed as follows:

- Oral presentations to seminar: 20%
- Write-up of oral presentation: 20%
- Book report: 10%
- Major research essay: 50%

**Assignment Guidelines:** The work required for completion of the course is:

**Oral Presentation:** Each student will be required to make two oral presentations, one major and the other minor. For the major presentation, the student shall give a 20-minute oral presentation of the topic. For the minor, the student shall make the first response to another student's major presentation and attempt to play a catalytic role in the subsequent discussion.

**Presentation Write-up:** Within one week of the major oral presentation, the student shall submit a five-page paper with footnotes and bibliography.

**Book Report:** By 10:00 am, March 2, students will submit a three- to five-page critique of an important book--summarizing the main argument, critically examining its contents, and assessing its contribution to the literature.

**Major Essay:** Selecting a topic based upon the Allied war crimes trials at the end of World War II, students shall define their own questions and submit a brief statement on their topic and major sources, placing it in my mail box by 10:00 a.m., March 23. By 10:00 am, April 27, students will submit a ten- to fifteen-page paper dealing with the Tokyo War Crimes Trial (see, Part IV for details).

**Course Readings:** Materials for the course can be found through several outlets:

**College Library:** Almost all of the required readings below are held in reserve in the College Library at H.C. White. Students are warned that there is only one copy of many books, so planning is essential. Other sources can be found in Memorial Library.

**Course Pack:** If students are interested, we can produce a xeroxed course pack of key readings. This matter will be discussed at the first meeting of the seminar.

## II. READING LIST [\* Indicates the Main Readings Discussed Each Week]:-

### ORGANIZATIONAL MEETING (January 26):

*Discuss the Course and Assign Seminar Topics.*

### WEEK 1 (February 2): The Politics of War in the Pacific

#### **Required Reading:-**

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 3-73.\*

Iriye, Akira, "The Failure of Military Expansion," in, James Morley, ed., *Dilemmas of Growth in Prewar Japan* (Princeton, NJ: Princeton University Press, 1971), pp. 107-38.

Iriye, Akira, *The Origins of the Second World War in Asia and the Pacific* (New York: Longman, 1987), pp. 140-67.\*

Kolko, Gabriel, *The Politics of War: The World and United States Foreign Policy, 1943-1945* (New York: Vintage, 1968), pp. 3-9; 209-41.

Thorne, Christopher, *The Issue of War: States, Societies and the Far Eastern Conflict of 1941-1945* (New York: Oxford University Press, 1985), pp. 13-48.\*

#### **Background Reading:-**

Iriye, Akira, "Imperialism in East Asia," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 122-50.

Iriye, Akira, *Across the Pacific: An Inner History of American-East Asian Relations* (New York: Harcourt Brace & World, 1967), pp. 201-49.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981).

## WEEK 2 (February 9): Versailles & the New Era in Asian Diplomacy

### Required Reading:-

Crowley, James, "A New Deal for Japan and Asia: One Road to Pearl Harbor," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 235-64.\*

Iriye, Akira, *The Origins of the Second World War in Asia and the Pacific* (New York: Longman, 1987), pp. 1-39.\*

Iriye, Akira, *Across the Pacific: An Inner History of American-East Asian Relations* (New York: Harcourt Brace & World, 1967), pp. 111-37.

Storry, Richard, *Japan and the Decline of the West in Asia, 1894-1943* (London: MacMillan, 1979), pp. 14-52; 100-37.\*

### Background Reading:-

Asada, Sadao, "The Japanese Navy and the United States," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 225-60.

Crowley, James, *Japan's Quest for Autonomy: National Security and Foreign Policy, 1930-1938* (Princeton, NJ: Princeton University Press, 1966), pp. 3-81.

Iriye, Akira, *After Imperialism: The Search for a New Order in the Far East, 1921-1931* (Cambridge, MA: Harvard University Press, 1965), pp. 1-88.

Iriye, Akira, *Pacific Estrangement: Japanese and American Expansion 1897-1911* (Cambridge, MA: Harvard University Press, 1972).

Takeuchi, Tatsuji, *War and Diplomacy in the Japanese Empire* (New York: Russell & Russell, 1935), pp. 219-38.

## WEEK 3 (February 16): American Power in the Pacific, 1898-1940

### Required Reading:-

Hunt, Michael, *The Making of a Special Relationship: The United States and China to 1914* (New York: Columbia University Press, 1983), pp. 5-40; 143-83; 258-98.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981), pp. 36-95.

Thompson, James C., Peter W. Stanley & John Curtis Perry, *Sentimental Imperialists: The American Experience in East Asia* (New York: Harper & Row, 1981), pp. 4-19; 93-120; 134-61; 190-202.\*

Thorne, Christopher, *Allies of A Kind: The United States, Britain and the War Against Japan, 1941-1945* (New York: Oxford University Press, 1978), pp. 3-45.\*

#### **Background Reading:-**

Graebner, Norman A., "Hoover, Roosevelt, and the Japanese," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 25-52.

Heinrichs, Waldo H., "The Role of the United States Navy," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 197-224.

Esthus, Raymond A., *Theodore Roosevelt and Japan* (Seattle: University of Washington Press, 1967), pp. 128-195.

Pelz, Stephen E., *Race to Pearl Harbor: The Failure of the Second Naval Conference and the Onset of World War II* (Cambridge, MA: Harvard University Press, 1974), pp. 67-94.

#### **WEEK 4 (February 23): The Japanese Empire, 1894-1936**

#### **Required Reading:-**

Duus, Peter, "The Takeoff Point in Japanese Imperialism," in Harry Wray and Hilary Conroy, eds., *Japan Examined: Perspectives on Modern Japanese History* (Honolulu: University of Hawaii Press, 1983).

Hata Ikuhiko, "Continental Expansion, 1905-1941," in, *The Cambridge History of Japan, Volume 6, The Twentieth Century*, pp. 271-309.\*

Jansen, Marius B., *The Japanese and Sun Yat-sen* (Cambridge, MA: Harvard University Press, 1954), pp. 13-81.\*

Jansen, Marius B., *Japan and China: From War to Peace, 1894-1972* (Chicago: Rand McNally, 1975), pp. 103-175.\*

Peattie, Mark R., "Introduction," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 3-52.\*

#### **Background Reading:-**

Beasley, W.G., *Japanese Imperialism 1884-1945* (Oxford: Oxford University Press, 1989).

Conroy, Hillary, *The Japanese Seizure of Korea, 1868-1910* (Philadelphia: University of Pennsylvania Press, 1960), pp. 17-77.

Duus, Peter, "Economic Dimensions of Meiji Imperialism: The Case of Korea, 1895-1910," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 128-171.

Jansen, Marius B., "Japanese Imperialism: Late Meiji Perspectives," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 61-79.

Kublin, Hyman, "The Evolution of Japanese Colonialism," *Comparative Studies in Society & History* 2 (1959), pp. 67-84.

Mayo, Marlene, "Attitudes Towards Asia and the Beginnings of Japanese Empire," in, Grant Goodman, ed., *Imperial Japan and Asia: A Reassessment* (New York, 1967), pp. 6-31.

Meyers, Ramon, "Japanese Imperialism in Manchuria: The South Manchurian Railway Company, 1906-1933," in, Peter Duus, et al., eds., *The Japanese Informal Empire in China* (Princeton, NJ: Princeton University Press, 1989).

Nish, Ian, *The Anglo-Japanese Alliance: The Diplomacy of Two Island Empires* (London: University of London, 1966), pp. 345-77.

Nish, Ian, *The Origins of the Russo-Japanese War* (London: Longman, 1985), pp. 1-18; 230-57.

Norman, E.H., "The Genyosha: A Study in the Origins of Japanese Imperialism," in, John Livingston, et al., eds., *The Japan Reader I* (New York: Random House, 1978), pp. 355-67.

Okamoto, Shumpei, *The Japanese Oligarchy and the Russo-Japanese War* (New York: Columbia University Press, 1970), pp. 167-223.

## WEEK 5 (March 2): Ultrationalism in Japan

### Required Reading:-

Dore, Ronald P., and Tsutomu Ouchi, "Rural Origins of Japanese Fascism," in, James Morley, ed., *Dilemmas of Growth in Prewar Japan* (Princeton, NJ: Princeton University Press, 1971), pp. 181-210.

Duus, Peter, and Daniel I. Okimoto, "Fascism and the History of Prewar Japan," *Journal of Asian Studies* 39 (1979), pp. 65-76.\*

Ienaga, Saburo, *Japan's Last War: World War II and the Japanese* (Canberra: Australian National University Press, 1979), pp. 13-54; 97-128.

McCormack, Gavan, "Nineteen Thirties Japan: Fascism?," *Bulletin of Concerned Asian Scholars* 14, no. 2 (April-June 1982), pp. 20-32.\*

Maruyama Masao, *Thought and Behaviour in Modern Japanese Politics* (London: Oxford University Press, 1963), pp. 25-83.\*

Wilson, George, "A New Look at the Problem of 'Japanese Fascism'," in, Henry Turner, ed., *Reappraisals of Fascism* (New York: New Viewpoints, 1975).

**Background Reading:-**

Barshay, Andrew, *State and Intellectual in Japan: The Public Man in Crisis* (Berkeley: University of California Press, 1988).

Crowley, James, "Japanese Army Factionalism in the Early 1930s," *Journal of Asian Studies* 21 (1962), pp. 309-26.

Duus, Peter, "Ngai Ryutaro and the 'White Peril,' 1905-1944" *Journal of Asian Studies* 31 (1971), pp. 41-8.

Fletcher, William M., "Intellectuals and Fascism in Early Showa Japan," *Journal of Asian Studies* 39 (1979), pp. 39-63.

Fletcher, William M., *The Search for a New Order: Intellectuals and Fascism in Prewar Japan* (Chapel Hill, NC: University of North Carolina Press, 1982), pp. 71-87.

Havens, Thomas, *Farm and Nation in Modern Japan: Agrarian Nationalism, 1870-1940* (Princeton, NJ: Princeton University Press, 1974).

Ito Takashi, "The Role of Right-Wing Organizations in Japan," in, Dorothy Borg and Shumpei Okamoto, eds., *Pearl Harbor as History: Japanese-American Relations, 1931-1941* (New York: Columbia University Press, 1973), pp. 487-509.

Shillony, Ben-ami, *Revolt in Japan: The Young Officers and the February 26, 1936 Incident* (Princeton, NJ: Princeton University Press, 1973), pp. 135-97.

Tsurumi, Kazuko, *Social Change and the Individual: Japan Before and After Defeat in World War II* (Princeton, NJ: Princeton University Press, 1970).

Wilson, George M., "A New Look at the Problem of Japanese Fascism," *Comparative Studies in Society and History* 10 (1968), pp. 401-12.

**WEEK 6 (March 9): Japan's China War, 1937-1945**

**Required Reading:-**

Ienaga, Saburo, *Japan's Last War: World War II and the Japanese* (Canberra: Australian National University Press, 1979), pp. 57-96; 153-80.\*

Jansen, Marius B., *Japan and China: From War to Peace, 1894-1972* (Chicago: Rand McNally, 1975), pp. 354-409.

Johnson, Chalmers, *Peasant Nationalism and Communist Power: The Emergence of Revolutionary China* (Stanford: Stanford University Press, 1962), pp. 1-70.\*

Johnson, Chalmers, "Peasant Nationalism Revisited: The Biography of a Book," *China Quarterly* 72, pp. 767-85.\*

Maruyama, Masao, *Thought and Behaviour in Modern Japanese Politics* (London: Oxford University Press, 1963), pp. 84-131.

Selden, Mark, *The Yen'an Way in Revolutionary China* (Cambridge, MA: Harvard University Press, 1971), pp. 91-100; 177-80; 229-37.\*

**Background Reading:-**

Bianco, L., *Origins of the Chinese Revolution, 1915-1949* (Stanford: Stanford University Press, 1971).

Boyle, John H., *China and Japan at War, 1937-1945: The Politics of Collaboration* (Stanford: Stanford University Press, 1972), pp. 1-60; 306-35.

Crowley, James, *Japan's Quest for Autonomy: National Security and Foreign Policy, 1930-1938* (Princeton, NJ: Princeton University Press, 1966), pp. 82-121; 301-78.

Eastman, Lloyd E., "Facets of an Ambivalent Relationship: Smuggling, Puppets and Atrocities During the War, 1937-1945," in, Akira Iriye, ed., *The Chinese and the Japanese: Essays in Political and Cultural Interactions* (Princeton, NJ: Princeton University Press, 1980), pp. 275-303.

Gillin, D.G., "'Peasant Nationalism' in the History of Chinese Communism," *Journal of Asian Studies* 23:2, pp. 269-89.

Katsumi, Usui, "The Politics of War, 1937-1945," in, James A. Morley, ed., *The China Quagmire: Japan's Expansion on the Asian Continent, 1933-1941* (New York: Columbia University Press, 1983), pp. 309-436.

Kennedy, Malcolm D., *The Estrangement of Great Britain and Japan* (Berkeley: University of California Press, 1969), pp. 174-95; 306-15.

Lee, Chong-Sik, *Counterinsurgency in Manchuria: The Japanese Experience* (Santa Monica, CA: The Rand Corporation, 1967), pp. 1-78.

Li, Lincoln, *The Japanese Army in North China, 1937-1941: Problems of Political and Economic Control* (London: Oxford University Press, 1975), pp. 1-14, 187-213.

Oka Yoshitake, *Konoe Fumimaro: A Political Biography* (Tokyo: Toyko University Press, 1983).

Peattie, Mark R., *Ishiwara Kanji and Japan's Confrontation with the West* (Princeton, NJ: Princeton University Press, 1975).

Selden, Mark, "The Chinese Communist Party's Strategy for Galvanizing Popular Support, 1930-1945," in, E. Friedman and M. Selden, eds., *America's Asia* (New York: Pantheon, 1971), pp. 357-72.

Shum, K.K., *The Chinese Communists' Road to Power: The Anti-Japanese United Front, 1935-1945* (Hongkong: Oxford University Press, 1988), pp. 184-230.

Thaxton, Ralph, "On Peasant Revolution and National Resistance," *World Politics* 30:1 (1977), pp. 24-57.

## WEEK 7 (March 16): The Japanese Co-Prosperity Sphere

### Required Reading:-

Anderson, B.R. O'G., "Japan: 'The Light of Asia,'" in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 13-50.\*

Benda, Harry J., "The Japanese Interregnum in Southeast Asia," in, Grant Goodman, ed., *Imperial Japan and Asia: A Reassessment* (New York, 1967), pp. 65-79.\*

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 262-90.\*

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 258-87.

McCoy, Alfred W., "Introduction," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 1-13.

### Background Reading:-

Benda, Harry J., "The Structure of Southeast Asian History: Some Preliminary Observations," *Journal of Southeast Asian History* 3 (1962), pp. 106-38.

Elsbree, W.H., *Japan's Role in Southeast Asian Nationalist Movements, 1940-1945* (Cambridge, MA: Harvard University Press, 1953).

Hashikawa, Bunso, "Japanese Perspectives on Asia: From Dissociation to Coprosperity," in, Akira Iriye, ed., *The Chinese and the Japanese: Essays in Political and Cultural Interactions* (Princeton, NJ: Princeton University Press, 1980), pp. 328-55.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981), pp. 96-121.

Jones, F.C., *Japan's New Order in East Asia: Its Rise and Fall, 1937-45* (New York: Oxford University Press, 1954), pp. 330-400.

Lebra, Joyce, *Jungle Alliance: Japan and the Indian National Army* (Singapore: Asia-Pacific Press, 1971), pp. 1-58.

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hongkong: Heinemann, 1977), pp. 167-84.

Lebra, Joyce, ed., *Japan's Greater East Asia Co-Prosperity Sphere in World War II: Selected Readings and Documents* (New York: Oxford University Press, 1975).

Peattie, Mark R., *Nan'yo: The Rise and Fall of the Japanese in Micronesia, 1885-1945* (Honolulu: University of Hawaii Press, 1988), pp. 230-310.

Peattie, Mark R., "The Nan'yo: Japan in the South Pacific, 1885-1945," in, Ramon Myers and Mark Peattie, eds., *The Japanese Colonial Empire, 1895-1945* (Princeton, NJ: Princeton University Press, 1984), pp. 172-210.

Pelz, Stephen E., *Race to Pearl Harbor: The Failure of the Second Naval Conference and the Onset of World War II* (Cambridge, MA: Harvard University Press, 1974), pp. 167-78; 196-226.

Shillony, Ben-ami, *Politics and Culture in Wartime Japan* (Oxford: Oxford University Press, 1981), pp. 7-67.

## WEEK 8 (March 23): Politics of Philippine Defense, 1935-1948

### Required Reading:-

Friend, Theodore, *Between Two Empires: The Ordeal of the Philippines, 1929-1946* (New Haven: Southeast Asia Studies, Yale University Press, 1965), pp. 151-245.\*

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 121-38.

McCoy, Alfred W., "Politics by Other Means': World War II in the Western Visayas, Philippines," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 191-245.

Steinberg, David J., *Philippine Collaboration in World War II* (Ann Arbor: University of Michigan Press, 1967), chapters 2-3, 6-7, & 9.

Steinberg, David J., "The Philippine 'Collaborators': Survival of an Oligarchy," in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 67-86.\*

### Background Reading:-

McCoy, Alfred W., "The Philippines--Independence Without Decolonisation," in, Robin Jeffrey, ed., *Asia--The Winning of Independence* (London: Macmillan, 1981), pp. 23-65.

## [ SPRING BREAK: March 26--April 4 ]

## WEEK 9 (April 6): Southeast Asia in WWII--Indonesia, Malaya & Thailand

### Required Reading:-

Anderson, B.R. O'G., *Java in a Time of Revolution: Occupation and Resistance, 1944-46* (Ithaca: Cornell University Press, 1971), pp. 1-66.\*

Benda, Harry J., *The Crescent and the Rising Sun* (The Hague: Van Hoeve, 1958), chapter 1.

Benda, Harry J., "The Beginnings of the Japanese Occupation of Java," *Journal of Asian Studies* 15 (1955-1956), pp. 541-60.

Cheah Boon Kheng, "The Social Impact of the Japanese Occupation of Malaya (1942-1945)," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 91-124.

Frederick, William H., *Visions and Heat: The Making of the Indonesian Revolution* (Athens, OH: Ohio University Press, 1989), pp. 133-71; 230-67.

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 211-39.\*

Reid, A.J.S., "Indonesia: From Briefcase to Samurai Sword," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 16-32.

#### **Background Reading--Indonesia & Malaya:-**

Anderson, B.R. O'G., "The Cultural Factors in the Indonesian Revolution," *Asia* 20 (1970-71), pp. 48-65.

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hongkong: Heinemann, 1977), pp. 7-112.

Reid, A.J.S., *The Indonesian National Revolution* (Hawthorn: Longman, 1974), pp. 1-76.

Reid, A.J.S., and Oki Akira, eds., *The Japanese Experience of Indonesia: Selected Memoirs of 1942-1945* (Athens, OH: Ohio University Press, 1986).

Reid, A.J.S., "The Japanese Occupation and Rival Indonesian Elites: Northern Sumatra in 1942," *Journal of Asian Studies* 25 (1975), pp. 49-61.

Yoji, Akashi, "Bureaucracy and the Japanese Military Administration, with Specific Reference to Malaya," in, William Newell, ed., *Japan in Asia* (Singapore: Singapore University Press, 1981), pp. 46-82.

#### **Background Reading--Thailand:-**

Batson, Ben, "Siam and Japan: The Perils of Independence," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 267-302.

Kesetsiri, Charnvit, "The First Phibun Government and its Involvement in World War II," *Journal of The Siam Society* 62:2 (1974), pp. 25-88.

Numnonda, Thamsook, "Pibul Songkram's Thai Nation-Building Programme During the Japanese Military Presence, 1941-1945," *Journal of Southeast Asian Studies* 9:2 (1978), pp. 234-47.

#### **WEEK 10 (April 13): Southeast Asia in WWII--Burma and Vietnam**

##### **Required Reading:-**

Guyot, Dorothy, "The Burma Independence Army: A Political Movement in Military Garb," in, Josef Silverstein, ed., *Southeast Asia in World War II: Four Essays* (New Haven: Southeast Asia Studies, Yale University, 1966), pp. 51-65.\*

Marr, David, "World War II and the Vietnamese Revolution," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 125-58.\*

Taylor, Robert, "Burma in the Anti-Fascist War," in, Alfred W. McCoy, ed., *Southeast Asia Under Japanese Occupation* (New Haven: Southeast Asia Studies, Yale University, 1980), pp. 159-90.\*

Truong Buu Lam, "Japan and the Disruption of the Vietnamese Nationalist Movement," in, Walter E. Vella, ed., *Aspects of Vietnamese History* (Honolulu: University of Hawaii, 1973), pp. 237-70.

#### **Background Reading--Vietnam:-**

Duiker, W.J., *The Communist Road to Power in Vietnam* (Boulder: Westview Press, 1981), pp. 57-125.

Hammer, Ellen J., *The Struggle for Indochina, 1940-1945* (Stanford: Stanford University Press, 1954), pp. 94-174.

Huynh Kim Khanh, *Vietnamese Communism, 1925-1945* (Ithaca: Cornell University Press, 1982), pp. 232-338.

Huynh Kim Khanh, "The Vietnamese August Revolution Reinterpreted," *Journal of Asian Studies* 30:4 (1971), pp. 761-82.

McAlister, John, and Paul Mus, *The Vietnamese and Their Revolution* (New York: Harper & Row, 1970), pp. 55-69; 109-315.

Patti, Archimedes, *Why Vietnam?: Prelude to America's Albatross* (Berkeley: University of California Press, 1980).

Smith, R.B., "The Japanese Period in Indochina and the Coup of 9 March 1945," *Journal of Southeast Asian Studies* 9:2 (1978), pp. 268-301.

Smith, R.B., "The Work of the Provisional Government of Vietnam, August-December 1945," *Modern Asian Studies* 12:4 (1978), pp. 459-82.

Woodside, Alexander, *Community and Revolution in Modern Vietnam* (Boston: Houghton Mifflin, 1976), pp. 201-45.

#### **Background Reading--Burma:-**

Ba Maw, *Breakthrough in Burma* (New Haven: Yale University Press, 1968), pp. 103-217.

Lebra, Joyce, *Japanese-Trained Armies in Southeast Asia: Independence and Volunteer Forces in World War II* (Hongkong: Heinemann, 1977), pp. 39-74.

U Khin, *U Hla Pe's Narrative of the Japanese Occupation of Burma* (Ithaca: Cornell University Press, 1961).

**WEEK 11 (April 20): Pacific Alliance****Required Reading:-**

Bell, Roger, *Unequal Allies: Australian-American Relations and the Pacific War* (Melbourne: Melbourne University Press, 1977), pp. 38-132.

Day, David, *The Great Betrayal: Britain, Australia and the Onset of the Pacific War* (London: Angus & Robertson, 1988), pp. 1-16; 286-340.\*

Day, David, *Reluctant Nation: Australia and the Allied Defeat of Japan, 1942-45* (Melbourne: Oxford University Press, 1992), pp. 176-93, 289-316.\*

Kolko, Gabriel, *The Politics of War: The World and the United States Foreign Policy, 1943-1945* (New York: Vintage, 1968), pp. 522-67; 594-617.\*

Thorne, Christopher, *The Issue of War: States, Societies, and the Far Eastern Conflict of 1941-1945* (New York: Oxford University Press, 1985), pp. 211-43.\*

Thorne, Christopher, *Allies of A Kind: The United States, Britain and the War Against Japan, 1941-1945* (New York: Oxford University Press, 1978), pp. 202-69; 333-54; 354-71; 450-73; 479-88; 587-639.

**Background Reading:-**

Horner, D.M., *High Command: Australia and Allied Strategy, 1939-1945* (Canberra: Australian War Memorial, 1982), pp. 141-67; 247-81; 302-49.

**WEEK 12 (April 27): Nuclear Strategy in the Pacific****Required Reading:-**

Alperovitz, Gar, *Atomic Diplomacy: Hiroshima and Potsdam* (New York: Viking/Penguin, 1985), pp. 1-60.\*

Bernstein, Barton J., "The Atomic Bomb and American Foreign Policy: The Route to Hiroshima," in, Barton Bernstein, ed., *The Atomic Bomb: The Critical Issues* (Boston: Little Brown, 1976), pp. 94-129.\*

Kolko, Gabriel, *The Politics of War: The World and United States Foreign Policy, 1943-1945* (New York: Vintage, 1968), pp. 538-67.

Sherry, Michael S., *The Rise of American Air Power: The Creation of Armageddon* (New Haven: Yale University Press, 1987), pp. 177-218, 256-300, 301-63.\*

**Background Reading:-**

Boyer, Paul, *By the Bomb's Early Light: American Thought and Culture at the Dawn of the Atomic Age* (New York: Pantheon, 1985), pp. 3-26; 181-210.

Feis, Herbert, *The Atomic Bomb and the End of World War II* (Princeton, NJ: Princeton University Press, 1966), pp. 28-59.

Havens, Thomas R.H., *Valley of Darkness: The Japanese People and World II* (New York: W.W. Norton, 1979), pp. 133-93.

Hayes, Peter, et al., *American Lake: Nuclear Peril in the Pacific* (New York: Penguin Books, 1987), pp. 1-98.

Sherwin, M.J., "The Atomic Bomb and the Origins of the Cold War," *American Historical Review* 4:78 (1973).

### WEEK 13 (May 4): U.S. Occupation of Japan

#### Required Reading:-

Dore, R., *Land Reform in Japan* (London: Oxford University Press, 1959), pp. 115-98.

Dower, John, *Empire and Aftermath: Yoshida Shigeru and the Japanese Experience, 1878-1954* (Cambridge, MA: Harvard University Press, 1979), pp. 273-368.

Kolko, Joyce, and Gabriel Kolko, *The Limits of Power: The World and United States Foreign Policy, 1945-1954* (New York: Harper & Row, 1972), pp. 300-25.

Patrick, Hugh, "The Phoenix Risen from the Ashes: Postwar Japan," in, James Crowley, ed., *Modern East Asia: Essays in Interpretation* (New York: Harcourt Brace & World, 1970), pp. 298-336.\*

Schaller, Michael, *Douglas MacArthur: The Far Eastern General* (New York: Oxford University Press, 1989), pp. 106-57.\*

Thompson, James C., Peter W. Stanley and John Curtis Perry, *Sentimental Imperialists: The American Experience in East Asia* (New York: Harper & Row, 1981), pp. 203-16.\*

#### Background Reading:-

Bell, Roger, *Unequal Allies: Australian-American Relations and the Pacific War* (Melbourne: Melbourne University Press, 1977), p. 173-203.

Borton, Hugh, *American Presurrender Planning for Postwar Japan* (New York: Columbia University Press, 1967), pp. 3-31.

Bronfenbrenner, Martin, "The American Occupation of Japan: Economic Retrospect," in, Grand Goodman, ed., *The American Occupation of Japan: A Retrospective View* (Lawrence, KA: Center for East Asian Studies, University of Kansas, 1968), pp. 11-25.

Dower, John, *War Without Mercy: Race and Power in the Pacific War* (New York: Pantheon, 1986), pp. 293-317.

Feis, Herbert, *Contest Over Japan* (New York: W.W. Norton, 1967), pp. 119-51.

Hadley, Eleanor M., *Antitrust in Japan* (Princeton: Princeton University Press, 1970), pp. 32-76.

Kazuo, Kawai, *Japan's American Interlude* (Chicago: Chicago University Press, 1967), pp. 16-33; 71-90; 225-48.

McNelly, Theodore H., "'Induced Revolution': The Policy and Process of Constitutional Reform in Occupied Japan," in, Robert E. Ward and Sakamoto Yoshikazu, eds., *Democratizing Japan: The Allied Occupation* (Honolulu: University of Hawaii Press, 1987), pp. 76-106.

Minear, Richard, *Victor's Justice: The Tokyo War Crimes Trial* (Princeton, NJ: Princeton University Press, 1971), pp. 125-59.

Passin, Herbert, *The Legacy of Occupation--Japan* (New York: East Asian Institute, Columbia University, 1968), pp. 3-43.

Steiner, Kurt, *Local Government in Japan* (Stanford: Stanford University Press, 1965), pp. 64-113.

Ward, Robert E., "Presurrender Planning: Treatment of the Emperor and Constitutional Changes," in, Robert E. Ward and Sakamoto Yoshikazu, eds., *Democratizing Japan: The Allied Occupation* (Honolulu: University of Hawaii Press, 1987), pp. 1-41.

#### WEEK 14 (May 11): End of Empire--Postwar Decolonization

##### *Required Reading:-*

Ansprenger, Franz, *The Dissolution of the Colonial Empires* (London: Routledge, 1989), pp. 145-58; 208-65.

Fieldhouse, D.K., *The Colonial Empires* (London: Macmillan, 1982), pp. 395-428.\*

Friend, Theodore, *Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945* (Princeton, NJ: Princeton University Press, 1988), pp. 211-57.

Kahin, George McT., "The United States and the Anticolonial Revolutions in Southeast Asia, 1945-50," in, Yonosuke Nagai and Akira Iriye, eds., *The Origins of the Cold War in Asia* (Tokyo: University of Tokyo Press, 1977), pp. 338-61.\*

Kolko, Joyce, and Gabriel Kolko, *The Limits of Power: The World and United States Foreign Policy, 1945-1954* (New York: Harper & Row, 1972), pp. 246-99.\*

Shalom, Stephen R., *The United States and the Philippines: A Study of Neocolonialism* (Philadelphia: ISHI, 1981), pp. 1-67.

Thompson, James C., Peter W. Stanley and John Curtis Perry, *Sentimental Imperialists: The American Experience in East Asia* (New York: Harper & Row, 1981), pp. 217-75.\*

##### *Background Reading:-*

Bell, Roger, *Unequal Allies: Australian-American Relations and the Pacific War* (Melbourne: Melbourne University Press, 1977), pp. 144-72.

Iriye, Akira, *Across the Pacific: An Inner History of American-East Asian Relations* (New York: Harcourt Brace & World, 1967), pp. 250-320.

Iriye, Akira, *Power & Culture: The Japanese-American War* (Cambridge, MA: Harvard University Press, 1981), pp. 214-60.

Jansen, Marius B., *Japan and China: From War to Peace, 1894-1972* (Chicago: Rand McNally, 1975), pp. 410-46.

Kahin, A., ed., *Regional Dynamics of the Indonesian Revolution* (Honolulu: University of Hawaii Press, 1985).

Kahin, A., "Some Preliminary Observations on West Sumatra during the Revolution," *Indonesia* 18 (1974), pp. 77-118.

Kahin, George McT., *Nationalism and Revolution in Indonesia* (Ithaca: Cornell University Press, 1952).

Kerkvliet, Benedict, *The Huk Rebellion: A Study of Peasant Revolt in the Philippines* (Berkeley: University of California Press, 1977), pp. 110-55.

Lucas, Anton, "Social Revolution in Pamalang, Central Java, 1945," *Indonesia* 24 (1977), pp. 87-122.

Pluvier, J.M., *Southeast Asia from Colonialism to Independence* (Kuala Lumpur, 1974).

Reid, A.J.S., *Blood of the People* (Kuala Lumpur: Oxford University Press, 1979).

Short, A., "Communism and the Emergency," in, Wang Gungwu, ed., *Malaysia* (London, 1964).

Smail, John, *Bandung in the Early Revolution* (Ithaca: Cornell University Press, 1964).

Van Niel, Robert, "From Netherlands East Indies to Republic of Indonesia, 1900-1945," in, Harry Aveling, ed., *The Development of Indonesian Society* (St. Lucia: University of Queensland Press, 1979), pp. 106-65.

### III. FORMAT & PROCEDURES FOR RESEARCH ESSAY:

#### 1.) Prose:

##### a.) Procedure:

- 1.) Write an outline of two pages for a ten-page essay. Each projected paragraph in the essay should be a line in your outline.
- 2.) Write a first draft. If using a personal computer, there is a very real possibility that it will read like a long, chatty letter home, not a major research essay.
- 3.) Reading aloud to yourself, if necessary, edit the prose and produce a second draft.

##### b.) Sentences:

- 1.) Each sentence should be a complete sentence with subject, verb and direct object.
- 2.) Vary your sentences--short, periodic sentences; simple compound sentences; compound sentences with clauses in apposition; and longer sentences communicating detail.

c.) *Paragraphs:*

- 1.) Start your paragraph with a periodic or compound sentence stating the basic message of this particular paragraph.
- 2.) Varying your sentence structure, elaborate and expand this theme into a fully developed paragraph.
- 3.) Within the paragraph, try to link your sentences so that they flow from one to another.
- 4.) Paragraphs should not be too long. If you need a crude guide, have three paragraphs to a page, each about eight to ten typed lines each.

d.) *Aspire to style:*

- 1.) There is a music--with melody and rhythm--to prose. Sensitize your mind's ear to the music of prose and try to make your own word music. Try to make your writing an expression of your inner voice.
- 2.) As in all forms of social discourse, there is an appropriate style for an academic essay.
  - a.) Use a formal voice--not ponderous, just formal.
  - b.) Avoid contractions (can't, didn't).
  - c.) Avoid colloquialisms (e.g., "Colonialism in Southeast Asia was really heavy.")
- 3.) In short, adopt a tone or voice somewhere between the chatty colloquial and the ponderous.

2.) *Argument:*

- a.) *Overall structure:* Every scientific report, whether natural or social, has three basic elements:

- 1.) *The Problem:* In your introduction, state the problem clearly.
  - a.) If necessary, you should give your definition of any key terms that require a specific usage (e.g., "war crime," if the question asks, for example, "Was Tojo Hideki guilty of war crimes as charged by the Tokyo Tribunal ?")
  - b.) In stating your problem, refer to the literature in the syllabus, not something you saw on CBS news last Saturday.
  - c.) A standard and often effective device is to identify two differing schools of thought about a single problem.
  - d.) Make sure you are examining the main point, not some minor side issue.

- 2.) *The Evidence:* In the middle part of your essay, you must present evidence--in logical order--to deal with the problem posed at the beginning of your essay. Be specific--give the reader brief narratives of an event, or provide some statistical evidence.

- 3.) *The Conclusion:* In the final page or two of your essay, reflect on the problem as stated in the introduction in light of the evidence you presented in the middle part of the essay. Stretch the data you present for clarity, but do not exaggerate or over-extend the usefulness of your data.

- b.) *Level of Argument:* It is difficult to spell out in precise terms what I mean by "level of argument."

- 1.) To overstate the case, you should not deal with the question of "the impact of Dutch colonialism in Java" by probing the problem of whether "the Dutch made life on Java happier for the natives."

2.) How do you define an appropriate question and level of analysis? Simple. You can sensitize yourself to the question by reading several sources with diverse viewpoints and approaches.

c.) *The Nature of History Questions:* History is the study of change in large-scale human communities, societies and nations, over time. Most history essays ask you to understand or explain two aspects of change--events and their causes, or, simply, what happened and why it happened. Thus, most history questions ask you to explain elements of the following

- 1.) In a limited time period, explain the factors underlying a given event. Why did that event happen?
- 2.) Explain the impact that an event, such as a war or revolution, had upon a human community within a period succeeding the actual event.
- 3.) Over a longer period of time, explain how and why complex communities changed in a given way.

### 3.) Sources/Research:

#### a.) *Need to Read:*

- 1.) Like all data processing systems, the human mind operates on the GIGO principle: "garbage in, garbage out."
- 2.) If you do not read, then you cannot have anything of any substance to say on a subject.

#### b.) *Basic Format:*

- 1.) Assuming three paragraphs @ page, you should have one source note per paragraph.
- 2.) Every idea that is not your own and every major body of data you use in your essay should be sourced. In particular, quotations must be sourced.
- 3.) You may use endnotes or footnotes in the following format:

Alfred W. McCoy, *Southeast Asia Since 1800* (Madison: University of Wisconsin Press, 1989), pp. 34-5.

- 4.) For details, see: The University of Chicago Press, *A Manual of Style*.

#### c.) *How to Read for an Essay:*

- 1.) Using the course syllabus, begin with a general text to get an overview of the problem.
- 2.) Using the syllabus or references in the general text, select more specific sources.
- 3.) As you read, begin forming ideas in your mind about:
  - (a) your overall hypothesis, and;
  - (b) the evidence you need or have found to support your argument.
- 4.) As you read, take notes, either on paper, or in the margin of a photocopy of the source. As you take notes, make sure you have the bibliographic information for your source: author, title, place of publication, publisher, etc.
- 5.) Towards the end of your reading, draw up an outline of the essay. If you are missing sources for the argument you would like to present, then do additional reading.

#### IV. MAJOR RESEARCH ESSAY--The Tokyo War Crimes Trial:

**The Assignment:** Drawing largely upon the transcripts of the proceedings, use the records of the International Military Tribunal of the Far East (IMTFE) and those of the separate trial of General Tomoyuki Yamashita to write a 5,000 word essay on some aspect of the conduct of World War II in the Pacific. Since the IMTFE transcript runs to 49,000 pages and is awkward to use even with indexes below (See below, R. John Pritchard and Sonia Magbanua Zaide, eds.) students might find it best to select the case of a single defendant and ask whether he was guilty as charged. There are several defendants who are particularly appropriate for such study: i.e. Koki Hirota, Shigenori Togo, Tojo Hideki, and Yamashita Tomoyuki. Those who adopt other topics should see me first. Students should observe these guidelines in completing the essay:

--**Sources:** Students should use a mix of sources which will include the indictment and judgement, the transcript of proceedings, contemporary New York Times press reports, memoirs of participants and secondary sources.

--**Length:** The essay should run to about 5,000 words or 20 pages double-spaced on standard 8.5x11" paper.

--**Annotation:** Following the format in Part III above, students should provide a source for every quotation and significant aspect of their evidence.

--**Format:** See, Part III above.

--**Deadlines:** A single page precis summarizing topic and sources is due in my mail box by 10:00 a.m. on March 23. The final essay is due by 10:00 am, April 27.

#### Primary Sources:

*The International Military Tribunal of the Far East, 1946-1948, Proceedings* (Washington, D.C.: Library of Congress, Microfilm, Reels 1-37).

--Available in Room 430, Memorial Library, Microfilm No. 5332.

--Reel 1, Indictment; Reel 37, Judgements.

--Indexed in Pritchard, R. John & Sonia Magbanua Zaide, eds., *The Tokyo War Crimes Trial: Index and Guide* (New York: Garland, 1981-87), Vol. 1-5.

*U.S. Army Forces in the Western Pacific, Tomoyuki Yamashita, Defendant Before the Military Commission Convened by the Commanding General* (Washington, D.C.: Library of Congress, Microfilm, Reels 1-4).

--Available in Room 430, Memorial Library, Microfilm No. 4178.

--Guide from Library of Congress on Reference Shelf, Microform Room.

Pal, Radhadinod, *Crimes in International Relations* (Calcutta: University of Calcutta, 1955).

Rolling, B.V.A. & Ruter, C.F., eds., *The Tokyo Judgement* (Amsterdam: APA-University Press Amsterdam, 1977), Vol. 1 & 2.

#### Secondary Sources:

Butow, Robert J.C., *Japan's Decision to Surrender* (Stanford, CA: Stanford University Press, 1954).

Butow, Robert J.C., *Tojo and the Coming of the War* (Princeton, NJ: Princeton University Press, 1961).

Dull, Paul S. & Michael Takaki Umemura, *The Tokyo Trials: A Functional Index to the Proceedings of the International Military Tribunal of the Far East* (Ann Arbor, MI: University of Michigan Press, 1957).

Ike, Nobutaka, ed., *Japan's Decision for War* (Stanford, CA: Stanford University Press, 1967).

Lael, Richard L., *The Yamashita Precedent: War Crimes and Command Responsibility* (Wilmington, DE: Scholarly Resources, 1982).

Minear, Richard, *Victor's Justice: The Tokyo War Crimes Trial* (Princeton, NJ: Princeton University Press, 1971).

Piccigallo, Philip R., *The Japanese on Trial: Allied War Crimes Operations in the East, 1945-1951* (Austin, TX: University of Texas Press, 1979).

Pritchard, R. John & Sonia Magbanua Zaide, eds., *The Tokyo War Crimes Trial: Index and Guide* (New York: Garland, 1981-87), Vol. 1-5.  
--Available in Room 430, Memorial Library.

Reel, Adolf Frank, *The Case of General Yamashita* (Chicago: University of Chicago Press, 1949).

Shigenori Togo, *The Cause of Japan* (New York: Simon & Schuster, 1956).

Shiroyama Saburo, *War Criminal: The Life and Death of Hirota Koki* (New York: Kodansha International, 1977).

Taylor, Lawrence, *A Trial of Generals: Homma, Yamashita, MacArthur* (South Bend, IN: Icarus Press, 1981).