EMPIRE & REVOLUTION: Colonialism, Imperialism & Resistance in Southeast Asia

University of Wisconsin-Madison Department of History

History 600/755: A Pro-seminar

Mr. McCoy Fall 2007

Course Description: Starting with reflections on the meaning of "empire" in an age of America's unchallenged global dominion, the course will explore the rise of European empires during the "high colonialism" of the 19th and 20th centuries. More than other single process, imperialism is responsible for the formation of the modern world order—that is, a global system of nation states and transnational governance. After reviewing the literature on the rise of modern empires, the course will the explore the expansion of European colonialism into Southeast Asia and the region's response—ranging from resistance to peasant revolt and national revolution. As the only region of the globe that experienced all of the world's major modern empires—American, British, Dutch, French, Japanese, and Spanish—Southeast Asia is the ideal laboratory for the study of "empire."

In this selective survey of European empires, the seminar will focus closely on US colonial rule in the Philippines from 1898-1946, an important but forgotten chapter in American history. Indeed, in two centuries of American history, the US conquest and colonization of the Philippines is the only experience comparable to our current involvement in Iraq. By exploring this juxtaposition of past and present in the history of America's foreign adventures, the seminar will, in its opening and closing sessions, explore the way the past bears upon the present.

The course thus introduces students to readings on the dynamics of empire and the social processes of both resistance and revolution in modern Southeast Asia--focusing on the Philippines, Indonesia, Vietnam, Thailand, and Burma. After a brief survey of some basic readings for students unfamiliar with the Southeast Asia's history, the seminar will move on to study the broad analytical literature, focusing on the most creative works on scholarship to emerge from this dynamic region. To understand, for example, the reasons for the intensity of guerrilla resistance in the Philippine-American War (1898-1902), students will review accounts by both Filipino and American scholars to gain some sense of the perspectives that drove both parties during this brutal conflict.

More broadly, the course will explore a series of issues central to the character of global empires—the causes of global expansion, the character of the colonial state, the drive for military security, the psychology of colonial dominion, ecological and economic transformations, the rise of nationalist resistance, and the dynamics of imperial decline.

Instead of transferring a fund of facts about European empires and anti-colonial revolutions, the seminar seeks to examine the perspectives and perceptions of Western and Asian scholars who have studied these complex processes. Hopefully, students will emerge from the course with a better understanding of the nature of empire and, more broadly, the dynamics of historical change.

Course Aims: As the final phase of the undergraduate History major or first step in a graduate career, the seminar allows students an opportunity to reflect upon lessons learned in past courses and to refine essential academic skills--critical reading, academic analysis, primary research, expository writing, and formal oral presentation.

Class Meetings: The seminar is scheduled to meet on Tuesdays, 4:00-6:00 p.m. in Room No. 5245 Humanities Building.

Office Hours: In Room 5131 Humanities, Thursdays, 12:00 to 2:00 p.m., and other hours by appointment. Telephone: 263-1855 (direct line); 263-1800 (History Department, message). Messages may be left in Mailbox No. 5026 or sent via e-mail to <awmcoy@wisc.edu>

Grading: Students shall be marked on their weekly participation, writing assignments, and oral presentation.

Weekly Discussion Summaries: Prior to three class meetings, all students shall prepare a two/three-page summary of two of the assigned readings for the topic under discussion that week. The papers are due in my Humanities mailbox at 10:00 am, as follows: (a.) Tuesday, September 4 (two pages on three readings); (b.) Monday, September 10 (two pages on 2 readings; and, (c.) Monday, December 10 (two pages on 4 readings).

Class Presentation: At each class meeting, two students shall serve as the "discussant" by presenting a 15 minute summary of the readings. Then the class will have a general discussion of the readings. The discussants shall meet with the instructor to review the presentation in office hours week preceding. Each student will serve as discussant twice during the semester.

Class Participation: Students are responsible for reviewing all the readings assigned for each week, usually totaling about 150-200 pages, and will present a short oral summary of one or more readings at the start of each class. Attendance at all classes is required; each unexcused absence attracts a deduction of three percent from the final mark; and three unexcused absences mean failure.

Final Paper: By 10:00 am, on Monday, November 19, students shall submit a 15 page paper on one of the topics or themes covered in the course. Students shall place a one-page outline of their proposed project in my Humanities Building mailbox by 10:00 am, Monday, October 29, and then meet with for individual appointments to be arranged in class.

Grading: Thus, the final grade shall be computed as follows:

--discussant: 20% -- weekly papers: 30% --weekly participation: 1 0% --major essay: 40%

Readings: There is no single text or group of texts capable of meeting the broad agenda of the course. Instead, the syllabus lists a number of similar readings for each topic to allow students a choice in case the main readings are not on the shelf. In preparation for each meeting, students should read all the "Required readings," and then use the "Background readings" as alternative sources or for preparation of essays.

The undergraduate library in Helen C. White will hold 50 selected books on three-hour reserve, but all journal articles are available in Memorial Library. Many of the readings will be available on <e-reserves> on line through "My UW." Selecting and skimming as time and interest allow, students should finish about four readings per week, totaling about 200 to 250 pages.

Texts for Possible Purchase:

Wolf, Eric, **Europe and the People Without History** (Berkeley, 1997)

I. WEEKLY READING ASSIGNMENTS: [*Readings Available on <e-reserves>]

[Tuesday, September 4, 10:00 am, First Discussion Paper Due, Box 5026]

WEEK 1 (September 4): THEORIES OF "EMPIRE"

Required Reading:-

- *Fieldhouse, D.K., **The Colonial Empires** (London, 1982), pp. 3-10.
- *Fieldhouse, David K. Colonialism, 1870-1945: An Introduction (New York, 1981), pp. 1-11.
- *Gallagher, John and Ronald Robinson, "The Imperialism of Free Trade," **Economic History Review** VI, no. 1 (1953), pp. 1-15.
- *Robinson, Ronald, and John Gallagher, "The Partition of Africa," William Roger Louis, ed., **Imperialism: The Robinson and Gallagher Controversy** (New York, 1976), pp. 99-128.
- *Hobson, J.A., **İmperialism: A Study** (London, 1938), 3-27, 196-216.
- Ignatieff, Michael, "The American Empire: The Burden," **The New York Times Magazine** 5, January 2003, pp 22-27, 50-54.
- Kennedy, Paul M., The Rise and Fall of the Great Powers: Economic Change and Military Conflict from 1500 to 2000 (New York, 1987), pp. xv-xxiv, 3-30.
- *Lenin, V.I. **Imperialism, the Highest Stage of Capitalism** (New York, 1939), pp. 71-81.
- *Mommsen, Wolfgang, **Theories of Imperialism** (New York: 1980), 3-27, 70-112.
- Wolf, Eric, Europe and the People Without History (Berkeley, 1982), pp. 73-100.

Background Reading:-

- Anderson, Benedict, "Introduction," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 1-26.
- Ferguson, Niall, Empire: The Rise and Demise of the British World Order and the Lesson for Global Power (New York, 2003), pp. xi-xxix.
- Ferguson, Niall, Colossus: The Price of America's Empire (New York, 2004), pp. 1-29
- Ferguson, Niall, "The Empire Slinks Back: Why Americans Don't Really Have What It Takes to Rule the World," **The New York Times Magazine** (27 April 2003), pp. 52-57.
- Hardt, Michael, and Antonio Negri, **Empire** (Cambridge, 2000), pp. 3-21.
- Kennedy, Paul, "The Eagle Has Landed," Financial Times (London), 1 February 2002.
- Johnson, Chalmers, **Blowback: The Costs and Consequences of American Empire** (New York, 2000)), pp. ix-xix, 3-33.
- Johnson, Chalmers, **The Sorrows of Empire: Militarism, Secrecy, and the End of the Republic** (New York, 2004), pp. 15-66.
- Louis, William Roger, ed., **Imperialism: The Robinson and Gallagher Controversy** (New York, 1976), pp. 24-38.
- Robinson, Ronald, "Non-European Foundations of European Imperialism: Sketch For a Theory of Collaboration," in Roger Owen and Bob Sutcliffe, ed. **Studies in the Theory of Imperialism** (London: 1972), 117-141.
- Schumpeter, J.A. Imperialism and social classes (New York, 1951), pp. 83-130.

[Monday, September 10, 10:00 am, Second Discussion Paper Due, Box 5026]

WEEK 2 (September 11): THE EUROPEAN WORLD SYSTEM

Required Reading:-

Stern, Steve J., "Feudalism, Capitalism, and the World-System in the Perspective of Latin America and the Caribbean," **American Historical Review** 93:4 (October 1988), pp. 829-72. (See, rebuttal by Immanuel Wallerstein and reply by Stern, pp. 873-97.)

Wallerstein, Immanuel, **The Capitalist World Economy** (Cambridge, 1979), pp. 1-36.

Wolf, Eric, Europe and the People Without History (Berkeley, 1982), pp. 3-72.

Background Reading:-

Alavi, Hamza, Capitalism and Colonial Production (London, 1982).

Alavi, Hamza & Shanin, Teodor, Introduction to the Sociology of the 'Developing Societies,''' (New York, 1972).

Amin, Samir, Accumulation on a World Scale (New York, 1974), pp. I:64-109.

Cardoso, F.H. & Faletto, E., **Dependency and Development in Latin America** (Berkeley, 1979), chapters 4,5.

Frank, Andre G., **Dependent Accumulation and Underdevelopment** (New York, 1978).

*Frank, Andre G., Capitalism and Underdevelopment in Latin America: Historical Studies of Chile and Brazil (New York, 1967), pp. 1-120.

Frank, Andre G. "Sociology of Development and Underdevelopment in Latin America," in, James D. Cockcroft, ed., **Dependence and Underdevelopment: Latin America's Political Economy** (New York, 1972), pp. 321-97

Higgot, R., "Competing Theoretical Perspectives on Development and Underdevelopment," **Politics** 13:1 (May 1978), pp. 25-41.

McCoy, Alfred W., "Introduction," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 1-14.

"Review Symposium: The Modern World System by Immanuel Wallerstein," **Journal of Peasant Studies** 6:1 (1977), pp. 1-29.

Wallerstein, Immanuel, **The Modern World System**, vols. I & II (London, 1974/1980).

Wallerstein, Immanuel, "Theories, Research Designs and Empirical Measures," **Itinerario** 1 (1980), pp. 21-28.

Winius, George, "Third World Development, Historians of the Expansion and 'Relevance,'" **Itinerario** 1 (1980), pp. 77-84.

Worsley, Peter, "One World or Three: A Critique of the World-System Theory of I. Wallerstein," **Socialist Register** (1980), pp. 298-338.

Yalvac, Faruk, "World System Studies and International Relations," **Millennium: Journal of International Studies** 9:3 (1980-81), pp. 229-44.

Zolberg, Aristide, "Origins of the Modern World System: A Missing Link," **World Politics** 33:2 (1981), pp. 253-81.

WEEK 3 (September 18): RISE OF EURO-AMERICAN EMPIRES

Required Reading:-

Braudel, Fernand, **The Perspective of the World** (New York, 1984), pp. 21-88.

- *Doyle, Michael, **Empires** (Ithaca, 1987), pp. 104-122.
- Hack, Karl, "Imperial Systems of Power, Colonial Forces, and the Making of Modern Southeast Asia," in Karl Hack and Tobias Rettig, eds. **Colonial Armies in Southeast Asia** (New York, 2006), pp. 3-38.
- *Ninkovich, Frank. **The United States and Imperialism** (Malden, 2003), pp. 1-8, 55-79, 91-104, 123-132, 138-145.
- *Parsons, Timothy, **The British Imperial System, 1815-1914: A World History Perspective** (Lanham, MD, 1999), pp. 33-58, 91-117.
- Wolf, Eric, Europe and the People Without History (Berkeley, 1982), pp. 232-61.

Background Reading:-

- Adas, Michael, Machines as the Measure of Men (Ithaca, 1989), pp. 17-68.
- Baran, Paul & Sweezy, Paul, **The Political Economy of Growth** (New York, 1957), chapter 6 (pt. 4-8), chapter 7 (pt. 1-3).
- Beaud, Michel, A History of Capitalism, 1500-1980 (New York, 1983), chapter 1.
- Braudel, Fernand, "European Expansion and Capitalism, 1450-1650," in, **Chapters in Western Civilization** (2nd ed.), pp. I:245-88.
- Braudel, Fernand, Civilization and Capitalism: Vol. 1 (New York, 1979), pp. 31-103.
- Colley, Linda, **Captives: Britain, Empire, and the World, 1600-1850** (New Haven: Yale University Press, 2001).
- Crosby, Alfred W., Ecological Imperialism: The Biological Expansion of Europe, 900-1900 (New York, 1986).
- Conklin, Alice L. and Ian Christopher Fletcher, eds., **European Imperialism**, **1830-1930: Climax and Contradictions** (Boston, 1999).
- Dickerson, J.P., et al., **Geography of the Third World** (New York, 1966), chapter 1.
- Ferguson, Niall, Empire: The Rise and Demise of the British World Order and the Lesson for Global Power (New York, 2003), pp. 4-56.
- Ferguson, Niall, Colossus: The Price of America's Empire (New York, 2004), pp. 33-60.
- Fieldhouse, D.K., **The Colonial Empires** (London, 1982), pp. 11-83.
- Hobsbawm, Eric, "The Movement of Capital," **Times Literary Supplement** (28 October 1983), pp. 1181-2.
- Hobson, J.A., **Imperialism: A Study** (London, 1938).
- Kamen, Henry, **Empire: How Spain Became a World Power, 1492-1763** (New York, 2003), pp. 3-47.
- Lenin, V.I., Imperialism, the Highest Stage of Capitalism (Peking, 1961).
- Fieldhouse, D.K., **The Colonial Empires** (London, 1982).
- Magdoff, Harry, "Imperialism without Colonies," in, Roger Owen & Bob Sutcliffe, eds., **Studies in the Theory of Imperialism** (London, 1972), chapter 6.
- Marx, Karl, "The Working Day," **Capital** (Penguin edition), chapter 10 (pts. 1-3,7), pp. 353-58, 411-16.
- Peers, Douglas, "Is Humpty Dumpty Back Together Again? The Revival of Imperial History and *The Oxford History of the British Empire*," **Journal of World History** 13, vol. 2 (Fall 2002), pp. 451-67.
- Reid, A.J.S., Southeast Asia in the Age of Commerce, 1450-1680. Volume One: The Land Below the Winds (New Haven, 1988), pp. 1-10, 62-119.
- Reid, A.J.S., Southeast Asia in the Age of Commerce, 1450-1680. Volume Two: Expansion and Crisis (New Haven, 1993), pp. 1-61.
- Reid, A.J.S., "Economic and Social Change, c. 1400-1800," in, Nicholas Tarling, ed.,

- The Cambridge History of Southeast Asia: Volume One (Cambridge, 1992), pp. 460-504.
- Rostow, W.W., The Stages of Economic Growth: a Non-Communist Manifesto (Cambridge, 1960), chapters 2,3.
- Shumpeter, Joseph, Imperialism and Social Classes (Cleveland, 1980), pp. 3-98.
- Warren, Bill, **Imperialism: Pioneer of Capitalism** (London, 1980), chapters 1, 5, 8.
- Worsley, Peter, "A Landmark in Anthropology," **American Ethnologist** (1984), pp. 170-75.

WEEK 4 (September 25): US EMPIRE IN THE CARIBBEAN & PACIFIC

Required Reading:-

- *Fieldhouse, D.K., **The Colonial Empires** (London, 1982), pp. 84-125.
- *Go, Julian. "The Provinciality of American Empire: 'Liberal Exceptionalism and U.S. Colonial Rule," **Comparative Studies in Society and History** 49, no. 1, 74-108.
- Kaplan, Amy, "Left Alone with America": The Absence of Empire in the Study of American Culture," Amy Kaplan and Donald Pease, ed. **The Cultures of U.S. Imperialism** (Durham: 1993), pp. 3-21.
- *Kramer, Paul, "Empires, Exceptions, and Anglo-Saxons: Race and Rule Between the British and United States Empires, 1880-1910," **Journal of American History** 88, no. 4 (March 2002), pp. 1315-1353.
- *Maier, Charles S, **Among Empires: American Ascendancy and its Predecessors** (Boston, 2006), pp. 143-90.
- McMahon, Robert, "The Cultures of Empire," **Journal of American History** 88, no. 3 (December 2001), pp. 888-892.
- *Renda, Mary, **Taking Haiti: Military Occupation and the Culture of U.S. Imperialism, 1915-1940** (Chapel Hill, 2001), pp. 22-34.
- *Smith, Tony, The Pattern of Imperialism: The United States, Great Britain, and the Late Industrializing World since 1815 (Cambridge, 1981), pp. 138-202.

Background Reading:-

- Delmendo, Sharon. The Star Entangled Banner: One Hundred Years of America in the Philippines (New Brunswick, 2004), pp. 21-46, 47-85.
- Kramer, Paul, **The Blood of Government: Race, Empire, the United States, and the Philippines** (Chapel Hill, 2006).
- Silbey, David. **A War of Frontier and Empire: The Philippine-American War, 1899-1902** (New York, 2007), pp. 3-66.
- Suri, Jeremi, "The Significance of the Wider World in American History," *Reviews in American History* 31 (2003), pp. 1-13.

WEEK 5 (October 2): ORIENTALISM & CULTURES OF IMPERIALISM

Required Reading:-

*Burton, Antoinette, **After the Imperial Turn : Thinking with and Through the Nation** (Durham, 2003), 1-16.

- *Cannadine, David, **Ornamentalism: How The British Saw their Empire** (Oxford: 2001), pp. 3-10, 58-70, 121-35.
- Said, Edward W., Orientalism (New York, 1978), pp. 31-110.
- Said, Edward W., Culture and Imperialism (New York, 1993), 3-43.
- Schwab, Raymond, **The Oriental Renaissance: Europe's Rediscovery of India and the East, 1680-1880** (New York, 1984), pp. 1-47.
- *Stoler, Ann Laura and Frederick Cooper, "Between Metropole and Colony: Rethinking a Research Agenda," in, Ann Stoler and Frederick Cooper, eds., **Tensions of Empire: Colonial Cultures in a Bourgeois World** (Berkeley, 1997), 1-52.

Background Reading (Literary Perspectives):-

Conrad, Joseph, **Heart of Darkness** (New York, 1960).

Fanon, Frantz, Wretched of the Earth (New York, 1966),

Multatuli, Max Havelaar; or, The Coffee Auctions of the Dutch Trading Company (Amherst, 1982), pp. 62-77, 114-32.

Rizal, Jose, **Noli Me Tangere** (Makati City, 1996), pp. 62-66, 164-74.

Orwell, George, Shooting an Elephant and other Essays (New York, 1950).

Background Reading (Asia):-

- Anderson, Warwick, "'Where Every Prospect Pleases and Only Man is Vile': Laboratory Medicine as Colonial Discourse," **Critical Inquiry** 18 (1992), pp. 506-29.
- Burton, Antoinette, Burdens of History: British Feminists, Indian Women, and Imperial Culture, 1865-1915 (Chapel Hill, 1994).
- Corbey, Raymond, "Ethnographic Showcases, 1870-1930," in, Jan Nederveen Pieterse and Bhikhu Parekh, eds., **The Decolonization of the Imagination: Culture, Knowledge, and Power** (London, 1995), pp. 57-80.
- Dirlik, Arif, "Chinese History and the Question of Orientalism," **History and Theory** (December 1996), pp. 96-118.
- Foucault, Michel, **Discipline and Punish: The Birth of the Prison** (New York, 1979), pp. 3-69, 195-228.
- Geertz, Clifford, **The Interpretation of Cultures: Selected Essays** (New York, 1973), chapter 14.
- Ileto, Reynaldo, "Cholera and the Origins of the American Sanitary Order in the Philippines," in, David Arnold, ed., **Imperial Medicine and Indigenous Societies** (New York, 1988), pp. 125-48.
- Ileto, Reynaldo C., **The Filipinos and Their Revolution** (Quezon City, 1998), pp. 203-37
- Ileto, Reynaldo C., **Knowing America's Colony: A Hundred Years from the Philippine War** (Honolulu: University of Hawai'I at Manoa, Center for Philippine Studies, 1999), pp. 41-65.
- Kopf, David, "Hermeneutics versus History," **Journal of Asian Studies** (May 1980), pp. 495-506.
- Minear, Richard, "Orientalism and the Study of Japan," **Journal of Asian Studies** (May 1980), 507-17.
- Rafael, Vicente L., "Nationalism, Imagery, and the Filipino Intelligentsia in the Nineteenth Century," **Critical Inquiry** 16 (Spring 1990), pp. 591-611.
- Salman, Michael, "'Nothing without Labor': Penology, Discipline and Independence in the Philippines Under United States Rule," in, Vicente Rafael, ed., **Discrepant**

- **Histories: Translocal Essays on Filipino Cultures** (Philadelphia: Temple University Press, 1995), pp. 112-29.
- Said, Edward W., "Secular Interpretation, the Geographical Element, and the Methodology of Imperialism," in, Gyan Prakash, ed., **After Colonialism:**Imperial Histories and Postcolonial Displacements (Princeton, 1995), pp. 21-39.
- Stoler, Ann L., "Carnal Knowledge and Imperial Power: Gender, Race, and Morality in Colonial Asia," in, Micaela di Leonardo, **Gender at the Crossroads of Knowledge: Feminist Anthropology in the Postmodern Era** (Berkeley: University of California Press, 1991), pp. 51-101.
- Vickers Adrian, Bali: A Paradise Created (Berkeley, 1992), pp. 1-37, 77-98.

WEEK 6 (October 9): TRADITIONAL STATE & SOCIETY IN SOUTHEAST ASIA

Required Reading:-

- Avineri, Shlomo, **Karl Marx on Colonialism and Modernization** (New York, 1968), pp. 88-95.
- Benda, Harry, "The Structure of Southeast Asian History: Some Preliminary Observations," **Journal of Southeast Asian History** 3 (1962), pp. 106-38.
- Heine-Geldern, Robert, Conceptions of State and Kingship in Southeast Asia (Ithaca, 1956).
- Lieberman, Victor, "Local Integration and Eurasian Analogies: Structuring Southeast Asian History, c.1350-c.1830," **Modern Asian Studies** 27, no. 3 (1993), pp. 475-572.
- Reid, A.J.S., Southeast Asia in the Age of Commerce, 1450-1680. Volume One: The Land Below the Winds (New Haven, 1988), pp. 120-146.
- Wittfogel, Karl, **Oriental Despotism: A Comparative Study of Total Power** (New Haven, 1957/1973), pp. 11-21, 22-48.
- Wolf, Eric, Europe and the People Without History (Berkeley, 1982), pp. 24-72.

Background Reading (Global):-

- Abrahamian, E., "Oriental Despotism: The Case of Qajar Iran," **International Journal of Middle East Studies** 5 (1974), pp. 3-31.
- Anderson, Perry, **Passages from Antiquity to Feudalism** (London, 1974).
- Anderson, Perry, Lineages of the Absolutist State (London, 1974).
- Dunn, Stephen P., **The Fall and Rise of the Asiatic Mode of Production** (London, 1982), pp. 3-37.
- Mitrany, David, Marx Against the Peasant: A Study in Social Dogmatism (London, 1952).
- Stargardt, Janice, "Hydraulic Works and Southeast Asian Polities," in, David Marr & A.C. Milner, eds., **Southeast Asia in the 9th to 14th Centuries** (Canberra, 1986), pp. 23-39.
- Tichelman, Fritjof, The Social Evolution of Indonesia: The Asiatic Mode of Production and its Legacy (The Hague, 1960).

Background Reading (Southeast Asia):-

- Andaya, Barbara Watson, "Political Development between the Sixteenth and Eighteenth Centuries," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume One** (Cambridge, 1992), pp. 508-67.
- *Andaya, Leonard Y, "Interactions with the Outside World and Adaptation in Southeast

- Asian Society, 1500-1800," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume One** (Cambridge, 1992), pp. 345-395.
- Andaya, Leonard, **The Kingdom of Johor**, **1641-1728** (Kuala Lumpur, 1975).
- Anderson, Benedict R., "Old State, New Society: Indonesia's New Order in Comparative Historical Perspective," **Journal of Asian Studies** 42 (1983).
- Anderson, Benedict, "The Idea of Power in Javanese Culture," in, Claire Holt, ed., **Culture and Politics in Indonesia** (Ithaca, 1972), pp. 1-69.
- Anderson, Perry, Lineages of the Absolutist State (London, 1974).
- Aung Twin, Michael, "Kingship, the Sangha, and Society in Pagan," in, Kenneth Hall & John Whitmore, eds., **Explorations in Early Southeast Asian History: The Origins of Southeast Asian Statecraft** (Ann Arbor, 1976).
- Brissenden, R., "Patterns of Trade and Maritime Society Before the Coming of the Europeans," in, Elaine McKay, ed., **Studies in Indonesia History** (Melbourne, 1976).
- Christie, Jan Wisseman, "Negara, Mandala, and Despotic State: Images of Early Java," in, David Marr & A.C. Milner, eds., **Southeast Asia in the 9th to 14th Centuries** (Canberra, 1986), pp. 65-93.
- Christie, Jan Wisseman, "Raja and Rama: The Classical State in Early Java," in, Lorraine Gesick, Centers, Symbols, and Hierarchies: Essays on the Classical States of Southeast Asia (New Haven, 1983), pp. 9-44.
- Coedes, Georges, The Indianized States of Southeast Asia (Honolulu, 1968).
- Coedes, Georges, The Making of Southeast Asia (Berkeley, 1966).
- Eberhard, W., Conquerors and Rulers: Social Forces in Medieval China (Leiden, 1965), pp. 1-17.
- Errington, Shelly, "The Place of Regalia in Luwu," in, Lorraine Gesick, ed., Center, Symbols, and Hierarchies, Essays on the Classical States of Southeast Asia (New Haven, 1983), pp. 194-241.
- Geertz, Clifford, Negara: The Theatre State in Nineteenth-Century Bali (Princeton, 1980), pp. 3-25, 98-120.
- Geertz, Clifford, "Centers, Kings and Charisma: Reflections on the Symbolics of Power," in, J. Ben-David & T.N. Clark, eds., **Culture and its Creators** (Chicago, 1977), pp. 150-171.
- Gullick, J.M., **Indigenous Political Systems of Western Malaya** (London, 1965).
- Hall, Kenneth R., Maritime Trade and State Development in Early Southeast Asia (Sydney, 1985), chapter 1.
- Hanks, Lucien, "The Thai Social Order as Entourage and Circle," in, G.W. Skinner & A.T. Kirsch, eds., **Change and Persistence in Thai Culture** (Ithaca, N.Y., 1975).
- Heine-Geldern, Robert, "Conceptions of State and Kingship in Southeast Asia," **Far Eastern Quarterly** 2 (1942), pp. 15-30.
- Ishii Yoneo, "History and Rice Growing," in, Ishii Yoneo, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 15-40.
- Kasetsiri, Charnvit, **The Rise of Ayudhya: A History of Siam in the 14th and Centuries** (Kuala Lumpur, 1976).
- Kulke, Hermann, "The Early and the Imperial Kingdom in Southeast Asian History," in, David Marr & A.C. Milner, eds., Southeast Asia in the 9th to 14th Centuries (Canberra, 1986), pp. 1-22.
- Kumar, Ann, "Developments in Four Societies over the Sixteenth to Eighteenth Centuries," in, Harry Aveling, ed., **The Development of Indonesian Society** (St. Lucia, 1979).
- Leach, Edmund R., Political Systems of Highland Burma (Boston, 1965).
- Lieberman, Victor, Strange Parallels: Southeast Asia in Global Context, c. 800-1830. Volume 1: Integration of the Mainland (Cambridge, 2003).

- Liebermann, Victor, Southeast Asian Administrative Cycles (Princeton, 1984).
- Mabbett, I., "Some Remarks on the Present State of Knowledge about Slavery in Angkor," in, A.J.S. Reid, ed., **Slavery, Bondage & Dependency in Southeast Asia** (St. Lucia, 1983).
- Mabbett, I.W., "Kingship in Angkor," **Journal of the Siam Society** 66: 2 (1978), pp. 1-58.
- Milner, A.C., **Kerajaan: Malay Political Culture on the Eve of Colonial Rule** (Tucson, 1982).
- Rabibhadana, Akin, **The Organization of Thai Society in the Early Bangkok Period, 1782-1873** (Ithaca, 1969), chapters 7,8.
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1985), pp. 59-91.
- Reid, A.J.S. & Castles, Lance, eds., **Pre-Colonial State Systems in Southeast Asia** (Kuala Lumpur, 1975).
- Reid, A.J.S., "'Closed' and 'Open' Slave Systems in Pre-Colonial Southeast Asia," in, A.J.S. Reid, **Slavery, Bondage & Dependency in Southeast Asia** (St. Lucia, 1983).
- Reid, A.J.S., "Introduction," in, A.J.S. Reid, ed., Slavery, Bondage & Dependency in Southeast Asia (St. Lucia, 1983).
- Reid, A.J.S., Southeast Asia in the Age of Commerce (New Haven, 1988).
- Reid, A.J.S., "The Origins of Poverty in Indonesia," in, J.J. Fox et al., eds., **Indonesia: Australian Perspectives** (Canberra, 1980), pp. 441-54.
- Reid, A.J.S., "Trade and the Problem of Royal Power in Aceh, c. 1550-1700," in, Anthony Reid & Lance Castles, eds., **Pre-Colonial State Systems in Southeast Asia** (Kuala Lumpur, 1975).
- Reid, A.J.S., Southeast Asia in the Age of Commerce, 1450-1680. Volume Two: Expansion and Crisis (New Haven, 1993), pp. 202-66.
- Ricklefs, M.C., **Jogjakarta Under Sultan Mangkubumi: 1749-1792** (London, 1974).
- Scott, William H., "Filipino Class Structure in the Sixteenth Century," **Philippine Studies** 28 (1980), pp. 142-75.
- Scott, William Henry, **Barangay: Sixteenth-Century Philippine Culture and Society** (Quezon City: Ateneo de Manila University Press, 1994), pp. 127-57.
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1985), pp. 11-58.
- Sutherland, Heather, "Slavery and the Slave Trade in South Sulawesi, 1600s-1800s," in, A.J.S. Reid, ed., **Slavery, Bondage and Dependency in Southeast Asia** (St. Lucia, 1983).
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- Tambiah, S.J., **World Conqueror and World Renouncer** (Cambridge, 1976), pp. 102-131.
- Terweil, B., "Bondage and Slavery in Early Nineteenth-Century Siam," in, A.J.S. Reid, ed., **Slavery, Bondage & Dependency in Southeast Asia** (St. Lucia, 1983).
- Van Leur, J.C., Indonesian Trade and Society (The Hague, 1955), pp. 159-245.
- Warren, James, "Slave Markets and Exchange in the Malay World: The Sulu Sultanate, 1770-1878, **Journal of Southeast Asian Studies**, 8:2 (1977), pp. 162-75.
- Warren, James, "Slavery and the Impact of External Trade: Evidence from the Sulu Sultanate in the Nineteenth Century," in, Alfred McCoy & Ed. C. de Jesus, **Philippine Social History: Global Trade and Local Transformations** (Honolulu, 1982), pp. 415-44.
- Warren, James, **The Sulu Zone**, **1768-1898** (Singapore, 1981).
- Warren, James, "The Sulu Zone: Commerce and the Evolution of a Multi-Ethnic Policy (1768-1898)," **Archipel** 18 (1979), pp. 223-30.

- Wolters, O.W., **History, Culture and Region in Southeast Asian Perspectives** (Singapore, 1982).
- Woodside, Alexander B., **Vietnam and the China Model** (Cambridge, 1971), chapters 1, 2.
- Worsley, Peter, **The Three Worlds** (London, 1984), pp. 1-60.
- Wyatt, David K., "The Subtle Revolution of King Rama I of Siam," in, David K. Wyatt & Alexander Woodside, eds., **Moral Order and the Question of Change: Essays on Southeast Asian Thought** (New Haven, 1982), pp. 9-52.

WEEK 7 (October 16): THE COLONIAL STATE IN SOUTHEAST ASIA

Required Reading:-

*Go, Julian, "Chains of Empire, Projects of State: Political Education and U.S. Colonial Rule in Puerto Rico and the Philippines," **Comparative Studies in Society and History** 42, vol. 2 (April 2000), pp. 333-62.

May, Glenn A., **Social Engineering in the Philippines** (Westport, 1980), pp. 3-38. Phelan, James L., **The Hispanization of the Philippines** (Madison, 1959), pp. 3-14, 31-40.

*Osterhammel, Jurgen, Colonialism (Princeton: 1997), pp. 51-68.

Scott, James C., Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed (New Haven, 1998), pp. 1-52.

*Tarling, Nicholas, **Imperialism in Southeast Asia: "A Fleeting, Passing Phase"** (New York, 2001), pp. 159-230.

Trocki, Carl A., "Political Structures in the Nineteenth and Early Twentieth Centuries," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 79-127.

Background Reading (Global):-

Fanon, Franz, **The Wretched of the Earth** (New York, 1966).

Mannoni, D.O., **Prospero and Caliban** (Ann Arbor, 1990), pp. 97-131.

Memmi, Alberto, **The Colonizer and the Colonized** (New York, 1965), pp. 3-18, 45-76, 79-89.

Mintz, Sidney, Caribbean Transformations (Chicago, 1974).

Mintz, Sidney, "The Plantation as Socio-Cultural Type," in, Vera Rubin, ed., **Plantation Systems of the New World** (Washington, D.C., 1959).

Parry, J.H., **Trade and Dominion: European Overseas Empires in 18th Century** (London, 1971), chapter 5.

Wolf, Eric, "Specific Aspects of Plantation Systems in the New World: Community Sub-Cultures and Social Classes," Vera Rubin, ed., **Plantation Systems of the New World** (Washington, D.C., 1959).

Background Reading (Southeast Asia):-

Abeyasekere, S., **Jakarta: A History** (Singapore, 1987).

Alexander, J. & P., "Sugar, Rice and Irrigation in Colonial Java," **Ethnohistory** 25 (1978).

Anderson, Benedict, "Studies in the Thai State: The State of Thai Studies," in, Eliezer B. Ayal, ed., **The Study of Thailand: Analyses of Knowledge, Approaches and Prospects** (Athens, 1978), pp. 193-257.

Breman, Jan, Control of Land and Labour in Colonial Java (Dordrecht, 1983).

- Breman, Jan, "The Village in Java and the Early Colonial State," **Journal of Peasant Studies** 9:4 (1982).
- Brown, Ian G., "British Financial Advisers in Siam in the Reign of King Chulalongkorn," **Modern Asian Studies** 12:2 (1978).
- Bunnag, T., **The Provincial Administration of Siam, 1892-1915** (Kuala Lumpur, 1977).
- Butcher, John, **The British in Malaya** (Kuala Lumpur, 1979).
- Castles, Lance, "The Ethnic Profile of Batavia," **Indonesia** 9 (1967).
- Chatthip, Nartsupha & Suthy Prasartset, eds., **The Political Economy of Siam, 1851-1910** (Bangkok, 1981), pp. 1-41.
- Cushner, Nicholas, **Spain in the Philippines** (Manila, 1971), chapters 3-5.
- Elson, Robert E., **Javanese Peasants and the Colonial Sugar Industry** (Kuala Lumpur, 1984).
- Go, Julian, "The Chains of Empire: State Building and 'Political Education' in Puerto Rico and the Philippines," in, Julian Go and Anne Foster, eds., **The American Colonial State in the Philippines: Global Perspectives** (Durham: Duke University Press, 2003), pp. 182-216.
- Jackson, J.C., Planters and Speculators: Chinese and European Agricultural Enterprises in Malaya, 1786-1921 (Kuala Lumpur, 1968).
- Kamen, Henry, **Empire: How Spain Became a World Power, 1492-1763** (New York: HarperCollins, 2003), pp. 197-237.
- Kartojiro, Sartono, "Agrarian Radicalism in Java: Its Setting and Development," in, Claire Holt, ed., **Culture & Politics in Indonesia** (Ithaca, 1972).
- Keyes, Charles F., **Isan: Regionalism in Northeastern Thailand** (Ithaca, 1967), chapters 2,3.
- Khoo, Kay Kim, The Western Malay States 1850-1873: The Effects of Commercial Development on Malay Politics (Kuala Lumpur, 1972).
- Knight, R., "Capitalism and Commodity Productions in Java," in, H. Alavi et al., eds., **Capitalism and Colonial Production** (London, 1982).
- Kumar, A., "The Peasantry and the State on Java: Changes of Relationship, Seventeenth to Nineteenth Centuries," in, James Fox et al., eds., **Indonesia: Australian Perspectives** (Canberra, 1980), pp. 577-99.
- Lim, Teck Ghee, **Peasants and Their Agricultural Economy in Colonial Malaya**, **1874-1941** (Kuala Lumpur, 1977).
- Lev, Daniel, "Colonial Law and the Genesis of the Indonesian State," **Indonesia** 40 (1985).
- McIntyre, W.D., "Britain's Intervention in Malaya," **Journal of Southeast Asian History** 12:3 (1961), pp. 66-69.
- McLennan, Marshall, "Changing Human Ecology on the Central Luzon Plain," in, Alfred McCoy & Ed. C. de Jesus, **Philippine Social History** (Honolulu, 1982), pp. 57-90
- Mizuno Koichi, "The Social Organization of Rice Growing Villages," in, Ishii Yoneo, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 83-114.
- Multatuli, Max Havelaar; or, The Coffee Auctions of the Dutch Trading Company (Amherst, 1982), pp. 62-77, 114-32.
- Murashima, E., "The Origin of Modern Official State Ideology in Thailand," **Journal of Southeast Asian Studies**, 19:1 (1988), pp. 80-96.
- Murphey, Rhoads, "Traditionalism and Colonialism: Changing Urban Roles in Asia," **Journal of Asian Studies** 29:1 (1969), pp. 67-84.
- Ngo Vinh Long, **Before the Revolution: The Vietnamese Peasants Under the French** (Cambridge, MA., 1976), chapters 1, 2, 4-7.
- Owen, Norman, "The Rice Industry of Mainland Southeast Asia, 1850-1914," **Journal of Siam Society** 16:2 (1971).

- Rabibhadana, Akin, **The Organization of Thai Society in the Early Bangkok Period, 1782-1873** (Ithaca, 1969), chapters 7,8.
- Resnick, S. A., "Decline of Rural Industry under Export Expansion: A Comparison of Burma, Philippines and Thailand, 1870-1938," **Journal of Economic History** 30 (March 1970), pp. 51-73.
- Roth, Dennis M., "Church Lands in the Agrarian History of the Tagalog Region," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History: Global Trade and Local Transformations** (Honolulu, 1982).
- Scott, William H., "The Spanish Occupation of the Cordillera in the 19th Century," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 39-55.
- Stenson, Michael R., Class, Race and Colonialism in West Malaysia (St. Lucia, 1980).
- Sutherland, Heather, **The Making of a Bureaucratic Elite** (Singapore, 1979), pp. 1-18.
- Sutherland, Heather, "Mestizos as Middlemen? Ethnicity and Access in Colonial Macassar," in, G. Schuttle & H. Sutherland, eds., **Papers of the Dutch-Indonesian Historical Conference**, **1980** (Leiden, 1982).
- Tanabe Shigeharu, "Land Reclamation in the Chao Phraya Delta," in, Ishii Yoneo, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 40-82.
- Tate, D.M., The Making of Modern Southeast Asia Volume 1: The European Conquest (Kuala Lumpur, 1971).
- Taylor, Robert H., **The State in Burma** (Honolulu, 1987), pp. 66-123.
- Tilly, Charles, "War Making and State Making as Organized Crime," in, Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol, eds., **Bringing the State Back In** (Cambridge, 1985), pp. 169-86.
- Van Leur, J.C., **Indonesian Trade and Society** (The Hague, 1955).
- Vickery, Michael, "Thai Regional Elites and the Reforms of King Chulalongkorn," **Journal of Asian Studies** 29:4 (1970), pp. 863-81.
- Van Niel, Robert, Living Conditions of Plantation Workers and Peasants on Java in 1939-1940 by the Coolie Budget Commission (Ithaca, 1956).
- Van Niel, Robert, "The Effect of Export Cultivation in Nineteenth Century Java," **Modern Asian Studies** 15:1 (1981), 25-58.
- Warren, James, The North Borneo Chartered Company's Administration of the Bajau, 1878-1909 (Athens, 1971).
- White, B., "Agricultural Involution and its Critics: Twenty Years After," **Bulletin of Concerned Asian Scholars** 15:2 (1983).
- White, B., "Demand for Labor and Population Growth in Colonial Java," **Human Ecology** 1:3 (1973), pp. 217-36.
- Wickberg, Edgar, **The Chinese in Philippine Life** (New Haven, 1965), pp. 45-93. Wilson, Constance M., "Ethnic Participation in the Export of Thai Rice, 1885-1890,"
- in, Karl Hutterer, ed., Economic Exchange and Social Interaction In Southeast Asia (Ann Arbor, 1977).
- Winichakul, Thongchai, **Siam Mapped: A History of the Geo-Body of a Nation** (Honolulu, 1994), pp. 37-80.
- Wyatt, David K., **The Politics of Reform in Thailand** (New Haven, 1969).

WEEK 8 (October 23): COLONIAL ECONOMIES

Required Reading:-

*Ayala, Cesar, American Sugar Kingdom: the Plantation Economy of the Spanish Carribean (Chapel Hill, 1999), pp. 5-18, 23-73.

- Brocheux, Pierre, **The Mekong Delta: Ecology, Economy, and Revolution, 1860-1960** (Madison, 1995), pp. 1-50.
- *Fieldhouse, D.K., **The Colonial Empires** (London, 1982), pp. 372-94.
- Geertz, Clifford, **Agricultural Involution** (Berkeley, 1963), pp. 12-103.
- McLennan, Marshall, "Changing Human Ecology on the Central Luzon Plain," in, Alfred McCoy & Ed. C. de Jesus, **Philippine Social History** (Honolulu, 1982), pp. 57-90
- Wolf, Eric, Europe and the People Without History (Berkeley, 1982), pp. 310-53.

Background Reading (Global):-

Mintz, Sidney, **Sweetness and Power: The Place of Sugar in Modern History** (New York, 1985), pp. 3-73.

Background Reading (Southeast Asia):-

Adas, Michael, **The Burma Delta: Economic Development and Social Change on an Asian Rice Frontier, 1852-1941** (Madison, 1974), chapter 1.

Boomgaard, Peter, "Morbidity and Mortality in Java, 1820-1880: Changing Patterns of Disease and Death," in, Norman Owen, ed., **Death and Disease in Southeast Asia** (Singapore, 1987).

Boomgaard, Peter, Children of the Colonial State: Population Growth and Economic Development in Java, 1795-1880 (Amsterdam, 1989), pp. 73-

108,165-198.

Elson, Robert, "International Commerce, the State, and Society: Economic and Social Change," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 131-193.

Kennedy, Paul M., The Rise and Fall of the Great Powers: Economic Change and Military Conflict from 1500 to 2000 (New York: Random House, 1987), pp. 143-193.

McCoy, Alfred W., "Introduction: The Social History of an Archipelago," in Alfred W. McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Sydney, 1982) pp. 1-18.

Knight, R., "From Plantation to Padi-field: The Origins of the Nineteenth Century Transformation of Java's Sugar Industry," **Modern Asian Studies** 14:2 (1980).

Van Niel, Robert, "The Effect of Export Cultivation in Nineteen Century Java," **Modern Asian Studies** 15, no. 1 (1981), pp. 25-58.

WEEK 9 (October 30): A DICHOTOMY OF CITIES

Required Reading:-

McGee, T.G., The Southeast Asian City: A Social Geography of Primate Cities of Southeast Asia (New York, 1967), pp. 29-75.

Murphey, Rhoads, "Traditionalism and Colonialism: Changing Urban Roles in Asia," **Journal of Asian Studies** 29:1 (1969), pp. 67-84.

Reed, Robert R., Colonial Manila (Berkeley, 1978), pp. 1-16, 27-63.

Reid, A.J.S., Southeast Asia in the Age of Commerce, 1450-1680. Volume Two: Expansion and Crisis (New Haven, 1993), pp. 62-114.

Tonnies, F., Community and Society (New York, 1963), pp. 33-48, 64-80.

Weber, Max, **The City** (New York, 1962), pp. 65-89.

Background Reading (Global):-

- Alihan, M., Social Ecology: A Critical Analysis (New York, 1939).
- Burgess, Ernest W., ed., The Urban Community: Selected Papers from the Proceedings of the American Sociological Society 1925 (Chicago, 1926).
- Burgess, Ernest W., "The Growth of the City: An Introduction to a Research Project," in, Robert E. Park et al., **The City** (Chicago, 1967), pp. 63-79.
- Geertz, Clifford, The Social History of an Indonesian Town (Cambridge, 1965).
- Hoselitz, Bert, "Urbanization and Economic Growth in Asia,"

Economic Development and Cultural Change 6:1 (1957).

- Jefferson, Mark, "The Role of Cities in the Economic Growth of Underdeveloped Countries," **Journal of Political Economy**, 61 (1953), pp. 195-208.
- Lewis, Oscar, "Further Observations on the Folk-Urban Continuum and Urbanization with Special Reference to Mexico City," in, Philip M. Hauser & Leo F. Schnore, eds., **The Study of Urbanization** (New York, 1967), pp. 491-503.
- Murphey, Rhoads, "The Treaty Ports and China's Modernization," in, Mark Elvin & William Skinner, eds., **The Chinese City Between Two Worlds** (Stanford, 1974), pp. 17-71.
- Park, Robert E., **Human Communities: The City and Human Ecology** (Glencoe, 1952).
- Redfield, Robert, **The Folk Culture of Yucatan** (Chicago, 1941).
- Redfield, Robert & Singer, Milton, "The Cultural Role of the Cities," **Economic Development and Cultural Change** 3:1 (1954), pp. 18-40.

Background Reading (Southeast Asia):-

- Geertz, Clifford, Peddlers and Princes: Social Change and Economic Modernization in Two Indonesian Towns (Chicago, 1963).
- Hoselitz, Bert F., "Generative and Parasitic Cities," **Economic Development and Cultural Change** 3:3 (1955), pp. 278-94.
- McCoy, Alfred W., "A Queen Dies Slowly," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Sydney, 1982), pp. 297-360.
- Murphey, Rhoads, "The City as a Center of Change: Western Europe and China," **Annals of the Association of American Geographers** 44 (1954), pp. 349-62.
- Reid, A.J.S., "The Structure of Cities in Southeast Asia, Fifteenth to Seventeenth Centuries," **Journal of Southeast Asian Studies** 11: 2 (1980), pp. 235-50.

WEEK 10 (November 6): THE MYTH OF THE VILLAGE

Required Reading :-

Kerkvliet, Ben, **The Huk Rebellion** (Berkeley, 1977), pp. xi-xvi, 1-25, 26-60.

Lewis, Oscar, Life in a Mexican Village: Tepoztlan Restudied (Urbana, 1951), pp. 3-49, 221-52.

Popkin, Samuel L., **The Rational Peasant** (Berkeley, 1979), pp. 1-31.

Redfield, Robert, **Tepoztlan**, **A Mexican Village: A Study of Folk Life** (Chicago, 1930), pp. 1-30, 54-68,194-204, 217-223.

Scott, James C., Moral Economy of the Peasant (New Haven. 1976), pp., 13-55.

Background Reading (Global):-

Breman, Jan, "The Village on Java and the Early Colonial State," **Journal of Peasant Studies** 9:4, pp. 189-240.

Chayanov, A.V., **The Theory of Peasant Economy** (Homewood, 1966).

Freeman, Derek, Margaret Mead and Samoa: The Making and Unmaking of an Anthropological Myth (Cambridge, 1983).

Foster, George M., "The Dyadic Contract in Tzintzuntzan: Patron-Client Relationship," **American Anthropologist** 65 (1963), 1280-94.

Lande, Carl, Leaders, Factions and Parties: The Structure of Philippine Politics (New Haven, 1964).

Lewis, Oscar, **Tepoztlan, Village in Mexico** (New York, 1960.)

Mead, Margaret, Coming of Age in Samoa: A Psychological Study of Primitive Youth for Western Civilization (New York, 1928).

Mizuno Koichi, "The Social Organization of Rice Growing Villages," in, Ishii Yoneo, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 83-114.

Redfield, Robert, The Folk Culture of Yucatan (Chicago, 1941).

Redfield, Robert, **Peasant Society and Culture: An Anthropological Approach to Civilization** (Chicago, 1960), pp. 53-73.

Schmidt, Steffen et al, eds., Friends, Followers and Factions: A Reader on Political Clientelism (University of California Press, 1976).

Thompson, E.P., "The Moral Economy of the English Crowd in the Eighteenth Century," **Past and Present** 50 (1971).

Redfield, Robert, **The Little Community** (Chicago, 1960), chapters 1, 8, 9.

Wolf, Eric, **Peasants** (Englewood Cliffs, 1966), pp. 1-59.

Wolf, Eric, "Kinship, Friendship, and Patron-Client Relations," in Michael Banton, ed., **The Social Anthropology of Complex Societies** (New York, 1966).

[Monday, November 15, 10:00 am, Essay Outlines Due]

WEEK 11 (November 13): PEASANT RESISTANCE--DAILY & "PRIMITIVE"

Required Reading:-

Hobsbawm, Eric, Primitive Rebels: Studies in Archaic Forms of Social Movements in the 19th and 20th Centuries (New York, 1959), pp. 1-29.

Kerkvliet, Ben, Everyday Politics in the Philippines: Class and Status Relations in a Central Luzon Village (Berkeley, 1990), pp. 113-63.

Orwell, George, "Shooting an Elephant," [see, various collections of his work.]

Scott, James, "The Erosion of Patron-Client Bonds and Social Change in Southeast Asia," **Journal of Asian Studies** 32:1 (1972), pp. 5-37.

Scott, Jim, "Everyday Forms of Peasant Resistance," in, James C. Scott & Benedict Kerkvliet, eds., **Everyday Form of Peasant Resistance in Southeast Asia** (London, 1986), pp. 5-35.

Scott, James C., **Domination and the Arts of Resistance: Hidden Transcripts** (New Haven, 1990), pp. 1-16.

Scott, James, **Weapons of the Weak: Everyday Forms of Peasant Resistance** (New Haven, 1985), pp. 1-27, 48-85.

Background Reading (Global):-

Adas, Michael, "From Footdragging to Flight: The Evasive History of Peasant Avoidance

Protests in South and South-East Asia," in, James Scott & Benedict Kerkvliet, eds., **Everyday Forms of Peasant Resistance in South-East Asia** (London, 1986), pp. 64-86.

Banfield, Edward, **The Moral Basis of a Backward Society** (Glencoe, 1948).

Blok, Anton, "The Peasant and the Brigand: Social Banditry Reconsidered," Comparative Studies in Society and History 14:4 (1972).

Blok, Anton, **The Mafia of a Sicilian Village: A Study of Violent Peasant Entrepreneurs** (New York, 1974), pp. 5-35, 89-140.

"Conference Report," Society for the Study of Labor History:

Bulletin No. 25 (1972), pp. 5-21.

Femia, Joseph, "Hegemony and Consciousness in the Thought of Antonio Gramsci, **Political Studies** 23:1 (1975).

Genovese, Eugene, Roll, Jordon, Roll (New York, 1976).

Gramsci, Antonio, **Selections from the Prison Notebooks** (London, 1971).

Guha, Ranajit, ed., **Writings on South Asian History and Society** (New York, 1984).

Hobsbawm, Eric, Bandits (New York, 1969).

Hobsbawm, Eric & Rude, George, Captain Swing (New York, 1968).

Hobsbawm, Eric, "Peasant Land Occupations," **Past & Present** 62 (1974), pp. 120-52.

Hobsbawm, Eric, "Peasants and Politics," **Journal of Peasant Studies** 1:1 (1973), pp. 3-22.

Lewis, Oscar, **Pedro Martinez** (New York, 1964).

Lupsha, Peter, "Explanations of Political Violence: Some Psychological Theories Versus Indignation," **Politics and Society** 3 (1971).

Moore, Barrington Jr., **Injustice: The Social Bases of Obedience and Revolt** (White Plains, 1978).

Schneider, Jane & Peter, Culture and Political Economy in Western Sicily (New York, 1976).

Scott, James & Kerkvliet, Ben, "The Politics of Survival: Peasant Responses to 'Progress' in Southeast Asia," **Journal of Southeast Asian Studies** 4:2 (1973), pp. 241-68.

Rude, George, The Crowd In History, 1730-1848 (New York, 1964).

Taussig, Michael, **The Devil and Commodity Fetishism in South America** (Chapel Hill, 1980).

Thompson, E.P. "The Crime of Anonymity," in, Douglas Hay, ed., **Albion's Fatal Tree:** Crime and Society in 18th Century England (London, 1975), pp. 255-308.

Thompson, E.P., "Eighteenth-Century English Society: Class Struggle without Class," **Social History** 3:2 (1978).

Background Reading (Southeast Asia):-

Adas, Michael, "From Avoidance to Confrontation: Peasant Protest in Precolonial and Colonial Southeast Asia," **Comparative Studies in Society and History** 23:2 (1981), pp. 217-47.

Adas, Michael, Prophets of Rebellion: Millenarian Protest Against the European Colonial Order (Chapel Hill, 1979).

Adas, Michael, "Bandits, Monks and Pretender Kings: Patterns of Peasant Resistance and Protest in Colonial Burma, 1826-1941," in, Robert P. Weller & Scott E. Guggenheim, **Power and Protest in the Countryside** (Durham, 1982).

Alexander, J. & P., "Shared Poverty as Ideology: Agrarian Relationships in Colonial Java," **Man** 17:4 (1982).

- Cheah Boon Keng, "Social Banditry and Rural Crime in North Kedah, 1909-22, **Journal of the Malaysian Branch of the Royal Asiatic Society** 54:2 (1981), pp. 98-130.
- Cheah Boon Kheng, "Hobsbawm's Social Banditry, Myth and Historical Reality: A Case in the Malaysian State of Kedah, 1915-1920," **Bulletin of Concerned Asian Scholars** 17:4 (1985), pp. 34-51.
- Elson, Robert, "Cane Burning in the Pasuruan Area: An Expression of Social Discontent," in, Anrooji et al., eds., **Between People and Statistics** (The Hague, 1979).
- Ishii Yoneo "A Note on Buddhist Millenarian Revolts in Northeastern Siam," **Journal** of Southeast Asian Studies 6:2 (1975).
- Keyes, Charles F., "Buddhism and National Integration in Thailand," **Journal of Asian Studies** 30:3 (1971), pp. 551-67.
- Keyes, Charles F., "Millenialism, Theravada Buddhism, and Thai Society, "**Journal of Asian Studies** 36:2 (1977), pp. 283-302.
- Kartojiro, Sartono & Lukas, Anton, "Banditry and Social Change in Java," in, Sartono Kartojiro, **Modern Indonesia: Tradition and Transformation** (Yogyakarta, 1984).
- Khoo Kay Kim, "Malay Society, 1874-1920," **Journal of Southeast Asian Studies** 5:2 (September 1974), pp. 179-98.
- Nartsupha, Chatthip, "The Ideology of Holy Men' Revolts in North East Thailand," in, Andrew Turton & Shigeharu Tanabe, eds., **History and Peasant**Consciousness in South East Asia (Osaka, 1984), pp. 111-34.
- Onghokham, "The Jago in Colonial Java, Ambivalent Champion of the People," in, Andrew Turton & Shigeharu Tanabe, eds., **History and Peasant**Consciousness in South East Asia (Osaka, 1984), pp. 327-44.
- Scott, James, "Patron-Client Politics and Political Change in Southeast Asia," **American Political Science Review** 66:1 (March 1972).
- Shiraishi Masaya, "State, Villagers and Vagabonds: Vietnamese Rural Society and the Phan Ba Vanh Rebellion," in, Andrew Turton & Shigeharu Tanabe, eds., **History and Peasant Consciousness** (Osaka, 1984), pp. 345-400.
- Tanabe, Shigeharu, "Ideological Practice in Peasant Rebellions: Siam at the Turn of the Twentieth Century," in, Andrew Turton & Shigeharu Tanabe, eds., **History and Peasant Consciousness in South East Asia** (Osaka, 1984), pp. 75-110.
- Turton, Andrew, "Limits of Ideological Domination and the Formation of Social Consciousness," in, Andrew Turton & Shigeharu Tanabe, eds., **History and Peasant Consciousness** (Osaka, 1984), pp. 19-73.
- Werner, Jane S., **Peasant Politics and Religious Sectarianism** (New Haven, 1981).

[Monday, November 19, 10:00 am, Research Papers Due]

WEEK 12 (November 20): PEASANT & PROLETARIAN UPRISINGS

Required Reading:-

- Benda, Harry J., "Peasant Movements in Colonial Southeast Asia," **Asian Studies** 3 (1965), pp. 420-34.
- Carey, Peter, "Waiting for the 'Just King': The Agrarian World of South-Central Java from Giyanti (1755) to the Java War (1825-30), **Modern Asian Studies** 20, no. 1 (1986), pp. 59-137.
- Fegan, Brian, "The Social History of a Central Luzon Barrio," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 91-130.
- Scott, James C., Moral Economy of the Peasant (New Haven. 1976), pp. 56-90, 114-56.

Wolf, Eric R., **Peasant Wars of the Twentieth Century** (New York, 1969), pp. ix-xv, 159-207.

Background Reading (Global):-

- Bendix, Reinhard & Lipset, Seymour Martin, "Karl Marx's Theory of Social Classes," in, R. Bendix & S. Lipset, eds., Class, Status and Power: Social Stratification in Comparative Perspective (New York, 1966), pp. 5-11.
- Moore, Barrington Jr., **Authority and Inequality under Capitalism and Socialism** (Oxford, 1987).
- Moore, Barrington, **Social Origins of Democracy and Dictatorship** (Boston, 1967), chapters 1, 2.
- Polanyi, Karl, **The Great Transformation** (Boston, 1957).
- Thompson, E.P., "Eighteenth-Century English Society: Class Struggle without Class," **Social History** 3:2 (1978).
- Weber, Max, "Class, Status and Party," in, R. Bendix & S. Lipset, eds., Class Status and Power: Social Stratification in Comparative Perspective (New York, 1966), pp. 21-8.

Background Reading (West Sumatra):-

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- Schrieke, B.J.O., "The Causes and Effects of Communism on the West Coast of Sumatra," in, B. Schrieke, **Indonesian Sociological Studies, Part I** (The Hague, 1955), pp. 83-166.

Background Reading (Southeast Asia):-

- Doeppers, Daniel F., **Manila**, **1900-1941** (New Haven, 1984), pp. 85-136.
- Ileto, Reynaldo C., "Religion and Anti-Colonial Movements," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992) pp. 197-248.
- Ingleson, John, In Search of Justice: Workers and Unions in Colonial Java, 1908-1926 (Singapore, 1986).
- Ingleson, John, "Worker Consciousness and Labour Unions in Colonial Java," **Pacific Affairs**, 54:3 (1981), pp. 485-502.
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- Rutten, Rosanne, **Women Workers of Hacienda Milagros** (Amsterdam, 1982), chapters 1, 3, 5, 6.

- Stoler, Ann L., "Plantation Politics and Protest on Sumatra's Coast," in, James Scott & Benedict Kerkvliet, eds., **Everyday Forms of Peasant Resistance in Southeast Asia** (London, 1986), pp. 124-43.
- Stoler, Ann L., Capitalism and Confrontation in Sumatra's Plantation Belt, 1870-1979 (New Haven, 1985).
- Tai, Hue-Tam Ho, **Millenarianism and Peasant Politics in Vietnam** (Cambridge, 1983), pp. 3-19, 113-44.
- Warren, James F., "Living on the Razor's Edge: The Rickshawmen of Singapore Between Two Wars, 1919-1939," **The Bulletin of Concerned Asian Scholars** 16:4 (1984), pp. 38-51.
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- Warren, James F., "The Singapore Rickshaw Pullers: The Social Organization of a Coolie Occupation," **Journal of Southeast Asian Studies** 15:1 (1985), pp. 1-15.
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WEEK 13 (November 27): CONTESTED NATIONALISMS

Required Reading:-

- Anderson, Benedict, **Imagined Communities: Reflections on the Origin and Spread of Nationalism** (London, 1991), pp. 1-46, 163-85.
- Ileto, Reynaldo, **Pasyon and Revolution: Popular Movements in the Philippines**, **1840-1910** (Manila, 1979), pp. 93-139.
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- Rizal, Jose, **Noli Me Tangere** (Makati City, 1996), pp. 62-66, 164-74.
- Schumacher, John N., "The 'Propagandists' Reconstruction of the Philippine Past," in Anthony Reid and David Marr, eds., **Perceptions of the Past in Southeast Asia** (Canberra, 1979), pp. 264-280.

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- Allen, J. de V., "The Malayan Civil Service, 1874-1941," **Comparative Studies in Society & History** 12 (1970), pp. 149-78.
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- Agoncillo, Teodoro, **Revolt of the Masses** (Quezon City, 1956), chapters 1-4,16.
- Batson, Ben, **The End of Absolute Monarchy in Siam** (Singapore, 1984).

- Benda, Harry, "Political Elites in Colonial Southeast Asia," **Comparative Studies in Society & History** 7 (1964-65), pp. 233-53.
- Cook, M., The Constitutionalist Party in Cochinchina (Melbourne, 1977), chapter 1.
- Cullinane, Michael, "Playing the Game: The Rise of Sergio Osmena," in, Ruby Paredes, ed., **Philippine Colonial Democracy** (New Haven, 1989), pp. 70-113.
- Cullinane, Michael, "The Changing Nature of the Cebu Urban Elite in the 19th Century," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 251-96.
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- Cushner, Nicholas, **Spain in the Philippines** (Quezon City, 1971), pp. 186-230.
- De la Costa, Horacio, "The Formative Century, 1760-1860," **Asia and the Philippines** (Manila, 1967), pp. 66-80.
- Emerson, Rupert, Malaysia: A Study in Direct and Indirect Rule (Kuala Lumpur, 1964).
- Fast, Jonathan & Richardson, James, **Roots of Dependency** (Quezon City, 1979), chapters 8-13.
- Guerrero, Milagros, "The Provincial and Municipal Elites of Luzon during the Revolution,1898-1902," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp.155-90.
- Ileto, Reynaldo, "Orators and the Crowd," in, Peter Stanley, ed., **Reappraising an Empire,** (Cambridge, 1984), pp. 85-114.*
- Ingleson, John, **The Road to Exile: The Indonesian Nationalist Movement, 1927-1934** (Singapore, 1974).
- Kamen, Henry, **Empire: How Spain Became a World Power, 1492-1763**(New York: HarperCollins, 2003), pp. 487-512.
- Kartojiro, Sartono, "Social Hierarchies: Power, Privilege and Personality," in, Sartono Kartojiro, Indonesia: Tradition and Transformation, Part II (Yogyakarta, 1984).
- Larkin, John, **The Pampangans** (Berkeley, 1972), chapters 2, 3, 4.
- Marr, David, Vietnamese Anticolonialism (Berkeley, 1971).
- McVey, Ruth, "The Beambtenstaat in Indonesia," in, B. Anderson & A. Kahin, eds., **Interpreting Indonesian Politics: Thirteen Contributions to the Debate** (Ithaca, 1982).
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- Paredes, Ruby R., "The Origins of National Politics," in, Ruby Paredes, ed., **Philippine Colonial Democracy** (New Haven, 1989), pp. 41-69.
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- Schumacher, John, **The Propaganda Movement, 1880-1895** (Manila, 1973), chapters 1, 2, 5, 14.
- Stokes, Eric, "Traditional Resistance Movements and Afro-Asian Nationalism: The Context of the 1857 Mutiny Rebellion in India," **Past and Present** 48 (1970), pp. 100-18.
- Soenarno, Raden, "Malay Nationalism 1869-1941," **Journal of Southeast Asian History** 10:2 (1969), pp. 344-54.
- Stanley, Peter, A Nation in the Making (Cambridge, 1974), pp. 51-138.
- Steinberg, David J., **Philippine Collaboration in World War II** (Ann Arbor, 1967), chapters 2, 3, 6, 7, 9.

- Steinberg, David J., "The Philippines: Survival of an Oligarchy," in, Josef Silverstein, ed., **Southeast Asia in World War II: Four Essays** (New Haven, 1966), pp. 67-86.
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- Van Niel, Robert, **The Emergence of the Modern Indonesia Elite** (The Hague, 1970.)
- Wertheim, W.F., **Indonesian Society in Transition** (The Hague, 1964).
- Wertheim, W.F. & The Siauw Giap, "Social Change in Java, 1900-1930," **Pacific Affairs** 35 (1962), pp. 223-47.
- Wickberg, Edgar, The Chinese in Philippine Life (New Haven, 1965), chapters 3,5.

WEEK 14 (December 5): NATIONAL REVOLUTIONS & DECOLONIZATION

Required Reading:-

- Anderson, Benedict, **Java in a Time of Revolution: Occupation and Resistance**, **1944-46** (Ithaca, 1972), pp. 1-84.
- *Hack, Karl, "Theories and Approaches to British Decolonization in Southeast Asia," in Marc Frey, Ronald W. Pruessen, and Tan Tai Young, eds., **The Transformation of Southeast Asia: International Perspectives on Decolonization** (London, 2003), pp. 105-126.
- Marr, David, "World War II and the Vietnamese Revolution," in, Alfred McCoy, ed., **Southeast Asia Under Japanese Occupation** (New Haven, 1980), pp. 125-51.
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- *Lindblad, J. Thomas, "The Economic Impact of Decolonization in Southeast Asia: Economic Nationalism and Foreign Direct Investment," in Marc Frey, Ronald W. Pruessen, and Tan Tai Young, eds., **The Transformation of Southeast Asia:** International Perspectives on Decolonization (London, 2003), pp. 35-51.
- *Low, Donald A., Eclipse of Empire (Oxford, 1991), pp. 120-146.
- *McIntyre, David W., **British Decolonization**, **1946-1997** (New York, 1998), pp. 79-99.
- *McMahon, Robert J., "The United States and Southeast Asia in an Era of Decolonization, 1945-1965," in Marc Frey, Ronald W. Pruessen, and Tan Tai Young, eds., **The Transformation of Southeast Asia: International Perspectives on Decolonization** (London, 2003), pp. 213-25.

Background Reading (Global):-

Habermas, Jurgen, Legitimation Crisis (London, 1976).

Kolko, Joyce & Gabriel, **The Limits of Power** (New York, 1972), chapters 1,3,15,16,23.

Louis, William Roger, Imperialism at Bay: The United States and the Decolonization of the British Empire (New York, 1978), pp. 27-47.

Moore, Barrington, **Social Origins of Democracy and Dictatorship** (Boston, 1967), chapters 4, 5.

Paige, Jeffrey, Agrarian Revolution: Social Movements and Export Agriculture in the Underdeveloped World (New York, 1975), chapters 1, 5.

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Tilly, Charles, **The Vendee** (Cambridge, 1964).

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- Anderson, Benedict, "The Cultural Factors in the Indonesian Revolution," **Asia** 20 (1970-71), pp. 48-65.
- Bradley, Mark, **Imagining Vietnam and America: The Making of Postcolonial Vietnam** (Chapel Hill, 2000), pp. 147-176.
- Duiker, William J., **The Communist Road to Power in Vietnam** (Boulder, 1981), chapters 1-3.
- Duiker, William J., "Building the United Front: The Rise of Communism in Vietnam, 1925-1954," in, J.J. Zasloff & M. Brown, eds., **Communism in Indochina** (Lexington, 1974).
- Friend, Theodore, Blue-Eyed Enemy: Japan against the West in Java and Luzon, 1942-1945 (New Haven, 1988), pp. 14-53, 105-20, 211-39.
- Guerrero, Amado, **Philippine Society and Revolution** (Hong Kong, 1971), pp. 1-52, 113-228.
- Hack, Karl, **Defense and Decolonization in Southeast Asia: Britain, Malaya, and Singapore** (London, 2001), pp. 166-186.
- Hindley, D., The Communist Party of Indonesia, 1951-1963 (Berkeley, 1965).
- Huynh Kim Khanh, "The Vietnamese August Revolution," **Journal of Asian Studies** (1970), pp. 761-82.
- Kahin, A., ed., Regional Dynamics of the Indonesian Revolution (Hawaii, 1985).
- Kahin, A., "Some Preliminary Observations on West Sumatra during the Revolution," **Indonesia** 18 (1974), pp. 77-118.
- Kahin, George, Nationalism and Revolution in Indonesia (Ithaca, 1952).
- Kerkvliet, Ben, **The Huk Rebellion** (Berkeley, 1977), chapters 1, 2, 4.
- Lucan, Anton, "Social Revolution in Pamalang, Central Java, 1945, **Indonesia** 24 (1977), pp. 87-122.
- McCoy, Alfred W., "The Philippines--Independence Without Decolonisation," in, Robin Jeffrey, ed., **Asia: The Winning of Independence** (London, 1981), pp. 23-65.
- McVey, Ruth T., The Rise of Indonesian Communism (Ithaca, 1965).
- Mortimer, Rex, Indonesian Communism Under Sukarno (Ithaca, 1974).
- Mortimer, Rex, "Traditional Modes and Communist Movements: Change and Protest in Indonesia," in, J.W. Lewis, ed., **Peasant Rebellion and Communist Revolution in Asia** (Stanford, 1974).
- Mortimer, Rex, "Class, Social Change and Indonesian Communism," **Indonesia** 15 (1973), pp. 109-44.
- Nemenzo, Francisco, "Rectification Process in the Philippine Communist Movement," in, Lim Joo Jock, ed., **Armed Communist Movements in Southeast Asia** (Singapore, 1984).
- Pluvier, J.M., Southeast Asia from Colonialism to Independence (Kuala Lumpur, 1974).
- Reid, A.J.S., **Blood of the People** (Kuala Lumpur, 1979).
- Reid, A.J.S., **The Indonesian Revolution** (Melbourne, 1974), pp. 19-76
- Short, A., "Communism and the Emergency," in, Wang Gungwu, ed., **Malaysia** (London, 1964).
- Smail, John, **Bandung in the Early Revolution** (Ithaca, 1964).
- Stenson, Michael, Repression and Revolt: The Origins of the 1948 Communist Insurrection in Malaya and Singapore (Athens, 1969).
- Stenson, Michael, "The Ethnic and Urban Bases of the Communist Revolution in Malaya," in, J. Lewis, ed., **Peasant Rebellion and Communist Revolution in Asia** (Stanford, 1974).

- Van Niel, Robert, "From Netherlands East Indies to Republic of Indonesia, 1900-1945," in, Harry Aveling, ed., **The Development of Indonesian Society** (St. Lucia, 1979), pp. 106-65.
- Woodside, Alexander, **Community and Revolution in Vietnam** (Boston, 1976), chapters 5, 6.

[Monday, December 10, 10:00 am, Final Discussion Paper Due]

WEEK 15 (December 11): POST-COLONIAL STATE & IMPERIAL LEGACY

Required Reading

- Anderson, Benedict, "Cacique Democracy in the Philippines," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 192-226.
- Anderson, Benedict R., "Old State, New Society: Indonesia's New Order in Comparative Historical Perspective," **Language and Power: Exploring Political Cultures in Indonesia** (Ithaca, 1990), pp. 94-120.
- Ferguson, Niall, Colossus: The Price of America's Empire (New York, 2004), pp. 286-302.
- Fieldhouse, D.K., **The Colonial Empires** (London, 1982), pp. 395-428.
- Johnson, Chalmers, **The Sorrows of Empire: Militarism, Secrecy, and the End of the Republic** (New York, 2004), pp. 217-253, 283-312.
- Kennedy, Paul M., The Rise and Fall of the Great Powers: Economic Change and Military Conflict from 1500 to 2000 (New York, 1987), pp. 438-447, 488-535.
- *Low, Donald A., Eclipse of Empire (Oxford, 1991), pp. 297-325.
- *McMahon, Robert J., Limits of Empire: The United States and Southeast Asia Since World War II (New York, 1999), pp. 182-217.

Background Reading (Global):-

- Alavi, Hamza, "The State in Post-colonial Societies: Pakistan and Bangladesh," **New Left Review** 74 (1972), pp. 59-81.
- Cardoso, F.H., The New Authoritarianism in Latin America (Princeton, 1979).
- Chomsky, Noam & Herman, Edward S., **The Washington Connection and Third World Fascism** (Boston, 1980), pp. 1-40, 99-104, 205-98.
- Ferguson, Niall, **Empire: The Rise and Demise of the British World Order and the Lesson for Global Power** (New York, 2003), pp. 292-355, 358-70.
- Hardt, Michael, and Antonio Negri, Empire (Cambridge, 2000), pp. 371-413.
- Khalidi, Rhashid, **Resurrecting Empire: Western Footprints and America's Perilous Path in the Middle East** (Boston: Beacon Press, 2004), pp. 152-175.
- Johnson, Chalmers, **Blowback: The Costs and Consequences of American Empire** (New York, 2000)), pp. 216-229.
- Migdal, Joel S., Strong Societies and Weak States: State-Society Relations and State Capabilities in the Third World (Princeton, 1988), pp. xiii-41.
- Thomas, Clive, **The Rise of the Authoritarian State in Peripheral Societies** (New York, 1984), chapters 2, 3.

Background Reading (Southeast Asia):-

Adriano, Fermin, "A Critique of the 'Bureaucratic Authoritarian' State Thesis: The Case of the Philippines," **Journal of Contemporary Asia** 14:4 (1984). 467-525.

- Ahmad, Zakaria Haji & Crouch, Harold, eds., **Military-Civilian Relations in Southeast Asia** (Singapore, 1985).
- Anderson, Benedict, "Withdrawal Symptoms," **The Spectre of Comparisons:**Nationalism, Southeast Asia and the World (London, 1998), pp. 139-173.
- Anderson, Benedict & Kahin, A., eds., Interpreting Indonesia Politics: Thirteen Contributions to the Debate (Ithaca, 1982).
- Anderson, Benedict & Ruchira Mendiones, eds. and trans., In the Mirror: Literature and Politics in Siam in the American Era (Bangkok, 1985), pp. 1-40.
- Anderson, Benedict R., A Preliminary Analysis of the October 1, 1965 Coup in Indonesia (Ithaca, 1971).
- Anderson, Benedict, "Withdrawal Symptoms: Social and Cultural Aspects of the October 6 Coup," **Bulletin of the Concerned Asian Scholars** 9:3 (1977).
- Bello, Walden, **Development Debacle: The World Bank in the Philippines** (San Francisco, 1982), chapter 1.
- Bonner, Raymond, Waltzing With a Dictator (New York, 1987), pp. 313-444.
- Chaloemtiarana, Thak, **Thailand: The Politics of Despotic Paternalism** (Bangkok, 1979), chapters 4, 5, 6.
- Chandler, David, A History of Cambodia (Boulder, 1983).
- Cribb, Robert, "Nation: Making Indonesia," in, Donald K. Emmerson, ed., **Indonesia Beyond Suharto** (Armonk, 1999), pp. 3-38.
- Crouch, Harold, "Patrimonialism and Military Rule in Indonesia," **World Politics** 31, no. 4 (July 1979), pp. 571-87.
- Crouch, Harold, "Another Look at the Indonesian 'Coup'," **Indonesia** 15 (1973).
- Crouch, Harold, **The Army and Politics in Indonesia** (Ithaca, 1978).
- Crouch, Harold, "The Trend to Authoritarianism: The Post-1945 Period," in, Harry Aveling, ed., **The Development of Indonesian Society** (St. Lucia, 1979).
- Elliott, D., **Thailand: Origins of Military Rule** (London, 1978).
- Emmerson, Donald, "Understanding the New Order: Bureaucratic Pluralism in Indonesia," **Asian Survey** 23:11 (1983).
- Feith, Herb, "Indonesia," in, George Kahin, ed., Government and Politics of Southeast Asia (Ithaca, 1963).
- Feith, Herb, **The Decline of Constitutional Democracy in Indonesia** (Ithaca, 1962).
- Feith, Herb, "The Dynamics of Guided Democracy," in, Ruth McVey, ed., **Indonesia** (New Haven, 1963).
- Geertz, Clifford, "The Integrative Revolution: Primordial Politics in the New States," in, **The Interpretation of Cultures** (New York, 1973), pp. 255-310.
- Guerrero, Amado, **Philippine Society and Revolution** (Hong Kong, 1971), pp. 1-52.
- Hawes, Gary, **The Philippine State and the Marcos Regime** (Ithaca, 1987), pp. 13-54.
- Hedman, Eva-Lotta E. and John T. Sidel, **Philippine Politics and Society in the Twentieth Century** (London, 2000), pp. 13-29.
- Hewison, Kevin J., "The State and Capitalist Development in Thailand," in, Richard Higgot & Richard Robison, eds., **Southeast Asia: Essays in the Political Economy of Structural Change** (London, 1985), pp. 266-293.
- Hindley, D., "Alirans and the Fall of the Old Order," Indonesia 9 (1970), pp. 23-66.
- Hindley, D., "Political Power and the October, 1965 Coup in Indonesia," **Journal of Asian Studies** 26:2 (1967), pp. 237-49.
- Jenkins, D., Suharto and His Generals: Indonesian Military in Politics, 1975-1983 (Ithaca, 1984).
- Keyes, Charles F., **Isan: Regionalism in Northeastern Thailand** (Ithaca, 1967), chapters 5, 6, 7.

- Keyes, Charles F., "Political Crisis and Militant Buddhism in Contemporary Thailand," in, Bardwell L. Smith, ed., **Religion and Legitimation of Power in Thailand, Laos, and Burma** (Chambersburg, 1978).
- Kiernan, Ben, **How Pol Pot Came to Power** (London, 1985).
- Lichauco, Alejandro, "The Lichauco Paper," **Monthly Review** 25:3 (1973), pp. 3-111. Liddle, William R., "Suharto's Indonesia: Personal Rule and Political Institutions,"
- Liddle, William R., "Suharto's Indonesia: Personal Rule and Political Institutions," **Pacific Affairs** 58:1 (1985).
- Lim Mai Hui, "Contradictions in the Development of Malay Capital: State, Accumulation and Legitimation," **Journal of Contemporary Asia** 15:1 (1985), pp. 37-63.
- Lyon, Margo L., **Bases of Conflict in Rural Java** (Berkeley, 1970).
- Magallona, Merlin, "A Contribution to the Study of Feudalism and Capitalism in the Philippines," in, T.C. Rivera et al., **Feudalism and Capitalism in the Philippines** (Quezon City, 1982), pp. 14-44.
- McCoy, Alfred W., "Southeast Asia and the Costs of Modernity: Reflections on *The Cambridge History of Southeast Asia*," **Journal of Economic and Social History of the Orient** 40, no. 1 (1997), pp. 107-16.
- McCoy, Alfred W., "Quezon's Commonwealth: The Emergence of Philippine Authoritarianism," in, Ruby Paredes, ed., **Philippine Colonial Democracy** (New Haven, 1989), pp. 114-160.
- McCoy, Alfred W., "An Anarchy of Families': The Historiography of State and Family in the Philippines," in, Alfred W. McCoy, ed., **An Anarchy of Families: The Historiography of State and Family in the Philippines** (Madison: University of Wisconsin, 1993), pp. 1-32.
- McVey, Ruth T., "The Post Revolutionary Transformation of the Indonesian Army," **Indonesia** 11 (1971), pp. 157-76; 13 (1972), pp. 147-81.
- Migdal, Joel S., "The state in society: an approach to struggles for domination," in, Joel Migdal, Atul Kohli, Vivienne Shue, eds., **State Power and Social Forces: Domination and Transformation in the Third World** (Cambridge, 1994), pp. 7-30
- Neher, Clark D., Modern Thai Politics (Cambridge, 1979).
- Ofreneo, R.E., Capitalism in Philippine Agriculture (Quezon City, 1980), chapters 3,4,5.
- Owen, Norman, "Economic and Social Change," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 467-527.
- Paget, Roger K., "The Military in Indonesian Politics: The Burden of Power," **Pacific Affairs** 40:3-4 (1967-68), pp. 294-314.
- Palmier, L., "The 30 September Movement in Indonesia," **Modern Asian Studies** 5:1 (1971), pp. 1-20.
- Prizzia, Ross, **Thailand in Transition: The Role of Oppositional Forces** (Honolulu, 1985), chapters 2, 3.
- Race, Jeffrey, "The Political Économy of the New Order in Indonesia in a Comparative Regional Perspective," in, James Fox et al., eds., **Indonesia: Australian Perspectives** (Canberra, 1980), pp. 699-709.
- Reynolds, Craig J., **Thai Radical Discourse: The Real Face of Thai Feudalism Today** (Ithaca, 1987).
- Riggs, Fred W., **Thailand: The Modernization of Bureaucratic Polity** (Honolulu, 1966).
- Rivera, Temario C. et al., **Feudalism and Capitalism in the Philippines** (Quezon City, 1982), pp. 1-102.
- Robison, Richard, **Indonesia: The Rise of Capital** (Sydney, 1986).
- Robison, Richard, "Towards a Class Analysis of the Indonesian Military Bureaucratic State," **Indonesia** 25 (1978).

- Robison, Richard, "Class, Capital and the State in New Order Indonesia," in, Richard Higgott & Richard Robison, eds., **Southeast Asia: Essays in the Political Economy of Structural Change** (London, 1985), pp. 295-335.
- Sidel, John T., Capital Coercion, and Crime (Stanford, 1999), pp. 1-22.
- Skocpol, Theda, "Bringing the State Back In: Current Research," in, Peter B. Evans, Dietrich Rueschemeyer, and Theda Skocpol, eds., **Bringing the State Back In** (Cambridge, 1985), pp. 3-28.
- Stauffer, Robert, "Philippine Corporatism: A Note on the New Society," **Asian Survey**, 17:4 (1977), pp. 393-407.
- Stauffer, Robert, "Philippine Authoritarianism: Framework for Peripheral Development," **Pacific Affairs** 50:3 (1977), pp. 365-86.
- Stauffer, Robert, "The Political Economy of Refeudalization," in, David Rosenberg, ed., **Marcos and Martial Law in the Philippines** (Ithaca, 1979).
- Tiglao, Rigoberto, "The Political Economy of the Philippine Coconut Industry," in, Randy, David, ed., **Political Economy of Philippine Commodities** (Quezon City, 1983),pp. 181-272.
- Wertheim, W.F., "Indonesia Before and After the Untung Coup," **Pacific Affairs** 39:1-2 (1966), pp. 115-27.
- Wilson, David A., "The Military in Thai Politics," in, Robert Tilman, ed., Man, State and Society in Southeast Asia (New York, 1969).
- Wurfel, David, Filipino Politics: Development and Decay (Ithaca, 1988).
- Yano Toru, "Political Structure of a 'Rice-Growing State," in, Ishii Yoneo, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 115-158.

II. ESSAY QUESTIONS:

- 1.) After reading the Stern-Wallerstein debate about agency and labor in the European World System, examine the Philippines or another Southeast Asian nation under colonial rule to determine if circumstances there affirm or challenge Wallerstein's models for labor.
- 2.) Applying Victor Lieberman's criteria for the "early modern state," explore whether the Spanish Philippines or the Netherlands Indies are, despite alien rule, examples of this kind of state formation.
- 3.) Compare and contrast the processes of social and economic change in the great, lowland deltas of Southeast Asia—the Rio Grande de Pampanga with either the Irrawaddy or the Mekong—explaining why these economic transformations produced peasant revolts.
- 4.) Using the theories of Scott and Wolf, analyze the rise of radical peasant movements in the Mekong Delta, Vietnam and Central Luzon, Philippines in the decades surrounding World War II.
- 5.) Using Anderson's writing in "Imagined Communities," compare the rise of nationalism in Indonesia and the Philippines.
- 6.) After reading James Scott's "Seeing Like a State," analyze the US colonial state in the Philippines and the Netherlands Indies as instances of "high modernist" states.
- 7.) Drawing from most of James Scott's readings on the syllabus, compare the dynamics of peasant politics in the Philippines and another Southeast Asian nation—focusing on the conditions of rice tenancy, patron-client relations, everyday resistance, and open revolt.

- 8.) With any two case studies in Southeast Asia, compare how the character of colonial rule influenced the rise of nationalist movements and the path towards independence.
- 9.) With two examples from Southeast Asia, explore the character of the post-colonial state, taking care to analyze how each was shaped by its experience of empire.
- 10.) With two or three case studies, explain the dynamics of imperial decline and the rise of independent nations in Southeast Asia.
- 11.) While flying to Manila for a state visit, President George W. Bush cited the Philippines as example for and justification of the US occupation of Iraq. Compare American colonial rule over the Philippines (1898-1946) with the post 9/11 US occupation of Iraq.
- 12.) Applying one or more of the general works on "empire" cited in the syllabus, analyze the character of American colonialism in the Philippines, defining its key attributes and exploring what was distinctive about US rule.
- 13.) Using examples from the Philippines and elsewhere in Southeast Asia, define the distinctive aspects of American "US imperial state" in the early 20th century.
- 14.) Using examples from Southeast Asia and elsewhere in the world, define the distinctive aspects of American "empire" in the last half of the 20th century. In terms of both the theoretical and empirical readings in this course, is it appropriate to use this term? How does the current US empire compare with high colonial rule through World War II, either in individual colonies or globally?

III. BASIC SKILLS FOR HISTORY COURSES:

- 1.) Learning Basic Skills: Aside from some basic understanding of Vietnam's history, the main objective of this course is to teach certain basic research, writing, and analytical skills. In lectures and discussion sections, we will try to improve skills essential to success in most liberal arts courses.
 - a.) *Definitions:* Much of the conceptual content in liberal arts courses is encapsulated and conveyed through a limited number of basic terms that must be defined to be understood. Hence, we will seek to help you learn to identify such conceptual terms and define them.
 - b.) *Questions:* Most students approach the study of history as a pursuit of answers, while many professional historians often view their discipline as a search for better questions. This course will try to make students more aware of the nature and construction of historical questions.
 - c.) *Reading:* Faced with a mass of information, students must learn to read both intensively and extensively-summarizing key theses and skimming a range of sources for evidence.
 - d.) *Argument:* As you write, you must try to be self-conscious in the construction of your argument.
 - 1.) *Thesis:* Define your question and form a thesis to answer it.
 - 2.) *Evidence:* Learn the nature of appropriate evidence to defend your thesis.

- 3.) *Illustration:* Illustrate your evidence with specific examples appropriate in kind and quality to your thesis.
- 4.) *Correspondence:* Seek a close correspondence of thesis and evidence.
- e.) *Comparison:* After learning to summarize individual arguments, students should learn to compare-both the theses of contradictory arguments and parallel historical cases.

IV. HOW TO WRITE A RESEARCH ESSAY—A THREE-STEP METHOD:

- 1.) Step One--Need to Read:
 - a.) Sources/Research:
 - 1.) All good essays begin with the three "Rs"—reading, research, and reflection.
 - 2.) Like most essays, a history term paper is a distillation of its author's reading and reflections upon the subject at hand. The quality of an essay's expression and analysis reflects, subtly but ineluctably, the depth and diversity of its author's reading.
 - 3.) Conversely, if you do not read, then you cannot have anything of any substance to say on a subject.

b.) Basic Format:

- 1.) Assuming three paragraphs per page, you should have one source note per paragraph.
- 2.) Every idea that is not your own and every major body of data you use in your essay should be sourced. In particular, quotations must be sourced.
- 3.) You may use end notes or footnotes in the following format:

Alfred W. McCoy, ed., *Southeast Asia Since 1800* (Madison: University of Wisconsin Press, 1989), pp. 134-35.

4.) For a second, sequential citation of the same work use "Ibid.; and for all subsequent, non-sequential citations of the same work use a short citation form, that might be:

McCoy, Southeast Asia Since 1800, pp. 77-78.

5.) For details, see, The University of Chicago Press, *A Manual of Style*.

c.) How to Read for an Essay:

- 1.) Using the course syllabus, begin with a general text to get an overview of the problem.
- 2.) Using the syllabus or textbooks, select more specific sources.
- 3.) As you read, begin forming ideas in your mind about:
 - (a) your overall hypothesis, and;
 - (b) the evidence you need or have found to support your argument.
- 4.) As you read, take notes, either on paper, or in the margin of a photocopy of the source. As you take notes, make sure you have

the bibliographic information for your source: author, title, place of publication, publisher, and relevant pages.

5.) Towards the end of your reading, draw up an outline of the essay. If you are missing sources for the argument you would like to present, then do additional reading.

2.) Step Two—Framing the Argument:

a.) <u>Outline:</u> With your reading done, you are ready to outline your argument. Begin by asking yourself the single, central question you will ask and answer in the course of this paper. Next, write a one- or two-page outline of your essay with the basic components outlined below.

b.) Overall structure: Every scientific report, whether natural or social, has three basic elements--the problem/hypothesis, the evidence/argument, and the conclusion. To summarize very broadly, the introduction asks a question and poses a hypothesis, the argument arrays evidence to explore that hypothesis, and the conclusion reflects on the original hypothesis in light of the evidence presented.

Of these three elements, the opening formulation of an hypothesis is, by far, the most difficult. In your opening paragraph, try to stand back from the dense mass of material you have read and articulate a thesis, which usually explains causality (why events occurred) or analyzes significance (the particular import of an event or a pattern of events). Then identify the factors, topics, or elements that you will explore to test your thesis. Ideally, these factors should serve as a broad outline of the topics that you will explore in the next section of your essay, the argument/evidence. Here are some further reflections on each of these sections:

- 1.) <u>The Problem</u>: In your introduction, state the problem clearly.
 - a.) If necessary, you should give your definition of any key terms that require a specific usage (e.g., "revolution.")
 - b.) In stating your problem, refer to the literature in the syllabus.
 - c.) A standard and often effective device is to identify two differing schools of thought about a single problem.
 - d.) Make sure you are examining the main point, not a minor side issue.
- 2.) <u>The Evidence</u>: In the middle part of your essay, you must present evidence—in logical order—to deal with the problem posed at the beginning of your essay. Be specific-give the reader brief narratives of an event, or provide some statistical evidence.
- 3.) <u>The Conclusion</u>: In the final page or two of your essay, reflect on the problem as stated in the introduction in light of the evidence you presented in the middle part of the essay. Stretch the data you present for clarity, but do not exaggerate or over-extend the usefulness of your data.
- c.) <u>Level of Argument</u>: It is difficult to spell out in precise terms what I mean by "level of argument."
 - 1.) To overstate the case, you should not deal with the question of "the impact of French colonialism in the Mekong Delta" by probing the problem of whether "the French made life in Vietnam happier for the natives."
 - 2.) How do you define an appropriate question and level of analysis? Fundamental. You can sensitize yourself to the question by reading several sources with diverse viewpoints and approaches.
- d.) <u>Nature of History Questions</u>: In courses such as this one, history involves the study of change in large-scale human communities, societies and nations, over time.

Most history essays ask you to understand or explain two aspects of change—events and their causes, or, simply, what happened and why it happened. Thus, most history questions ask you to explain elements of the following:

1.) In a limited period of time, explain the factors underlying a given event. Why did that event happen?

- 2.) Explain the impact that an event, such as a war or revolution, had upon a human community within a period succeeding the actual event.
- 3.) Over a longer period of time, explain how and why complex communities changed in a given way.

3.) *Step Three—Writing the Essay:*

a.) Outline:

- 1.) As discussed above, you will be writing from an outline of one to two pages for a 10 to 12 page essay. Each projected paragraph in the essay should be a line in your outline.
- 2.) Write a first draft. If using a personal computer, there is a very real possibility that it will read like a long, chatty letter home, not a major research essay.
- 3.) Reading aloud to yourself, if necessary, edit the prose and produce a second draft.

b.) Sentences:

- 1.) Each sentence should be a complete sentence with subject, verb, and direct object.
- 2.) Vary your sentences to include short periodic sentences; simple compound sentences; compound sentences with clauses in apposition; and longer sentences communicating detail.

c.) Paragraphs:

- 1.) Start your paragraph with "topic sentence"--that is, a periodic or compound sentence stating the basic message of this particular paragraph.
- 2.) Varying your sentence structure, elaborate and expand this theme into a fully developed paragraph.
- 3.) Within the paragraph, try to link your sentences so that they flow from one to another.
- 4.) Paragraphs should not be too long. If you need a crude guide, have 3 to a page, or 8 to 10 typed lines each.

d.) Aspire to style:

- 1.) There is a music--with melody and rhythm--to your writing. Sensitize your mind's ear to the music of prose and try to make your own word music. Try to make your writing an expression of your inner voice.
- 2.) As in all forms of social discourse, there is an appropriate style for an academic essay.
 - a.) Use a formal voice-not ponderous, just formal.
 - b.) Avoid contractions (can't, didn't).
 - c.) Avoid colloquialisms.
- 3.) In short, adopt a tone or voice somewhere between the chatty colloquial and the ponderously formal.