

THE UNIVERSITY OF WISCONSIN
Department of History

Semester 1 Year 1979-80

<u>COURSE NO.</u>	<u>COURSE TITLE</u>	<u>INSTRUCTOR</u>
493	Social and Intellectual History of China, 1400 B. C.- 589 A. D.	Yü-sheng Lin

COURSE DESCRIPTION

History 493 is concerned with major topics in the history of Chinese thought, culture, and society from ancient times to the end of the Six Dynasties period. While this course is not conducted at the introductory level, those, who have little or no background in classical and traditional Chinese thought and history but are interested in the subject matter, are also welcome if they are prepared to fill the gap by reading the first five chapters of E. O. Reischauer and J. K. Fairbank, East Asia: The Great Tradition in the first two weeks.

The course will focus on the social and cultural backgrounds of the rise of ancient Chinese philosophies; the formation and nature of the Chou feudal society; the origins of Confucian humanism; the metaphysical, ethical, and socio-political theories of classical Confucianism, the doctrines and evolution of classical Taoism, Moism, and Legalism; the establishment of the Chinese imperial state and its impact on Chinese thought; the transformation of Confucianism in the Han; the disintegration of the Han empire and the rise of Neo-Taoism.

Since it is obviously impossible to cover all aspects of the evolution of Chinese thought and society from 1400 B. C. to 589 A. D. in the span of 15 weeks, it seems more interesting and profitable to engage in in-depth analysis of some major topics as mentioned above. The main aspects of the history of Chinese Buddhism will not be discussed. Anyone, who is interested in the history of Chinese Buddhism, the urged to read independently two excellent studies: E. Zürcher, The Buddhist Conquest (over)

LECTURES

Lectures twice weekly, supplemented by discussion sections.

WRITTEN ASSIGNMENTS and EXAMINATIONS

(1) Two short papers (4-5 pages each) on assigned topics; (2) a mid-term in-class exam; (3) a final in-class exam

GRADING SYSTEM

The final grade will be determined according to the following schedule:
papers - 40%; final exam - 30%; mid-term exam - 20%; performance in discussion sessions - 10%.

REQUIRED READINGS

TOPICS AND REQUIRED READINGS

1. Preliminary Remarks and Conceptions of Social and Intellectual History

Required Readings:

Benjamin I. Schwartz, "The Intellectual History of China: Preliminary Reflections", in J. K. Fairbank, ed., Chinese Thought and Institutions, DS 721 F26.

Benjamin I. Schwartz, "A Brief Defense of Political and Intellectual History...with Particular Reference to Non-Western Cultures", Daedalus (Winter, 1971) RB Hist. Sch 911B.

Suggested Readings:

E. J. Hobsbawm, "From Social History to the History of Society", Daedalus (Winter, 1971) RB Hist. H6562F.

Maurice Mandelbaum, "The History of Ideas, Intellectual History, and the History of Philosophy", History and Theory, Beiheft V (1965), 33-66.

Franklin L. Baumer, "Intellectual History and Its Problems", Journal of Modern History, Vol. 21, No. 3 (September 1949), RB Hist. B 32771.

Michael Polanyi, Personal Knowledge: Towards a Post-Critical Philosophy, (University of Chicago Press, paperback edition available at the University Bookstore) Q175P82.

2. Social and Cultural Backgrounds of the Rise of Ancient Chinese Philosophies

Required Readings:

Benjamin I. Schwartz, "The Chinese Perceptions of World Order, Past and Present", in J. K. Fairbank, The Chinese World Order (Harvard University Press, 1968), pp. 276-288.

Benjamin I. Schwartz, "Transcendence in Ancient China", Daedalus (Spring, 1975), pp. 57-68.

Wm. Theodore de Bary, et al. comp. Sources of Chinese Tradition, Vol. I, chap. 1.

F. W. Mote, Intellectual Foundations of China (New York: Alfred A. Knopf, 1971), Chapters 1 and 2, DS 721 M73.

Suggested Readings:

Fung Yu-lan, A History of Chinese Philosophy (Princeton University Press, 1952), Vol. I, Chapters 2 and 3, B 126 F41.

H. G. Creel, The Birth of China (New York: Ungar, 1954) DS 723 C7.

TOPICS AND REQUIRED READINGS

3. The Formations and Nature of the Chou Feudal Society

Required Reading:

H. G. Creel, The Origins of Statecraft in China, Vol. I (University of Chicago Press, 1970), Chapters 2, 3, 4, 5, JQ 1508 C711.

Suggested Reading:

Cho-yun Hsu, Ancient China in Transition (Stanford University Press, 1965) HN 673 H75.

4. The Moral Idealism of Confucius and Mencius

Required Readings:

F. W. Mote, Intellectual Foundations of China, Chapter 3.

Wm. Theodore de Bary, et al., comp. Sources of Chinese Tradition, Vol. I, Chapter 2 and pp. 86-98.

D. C. Lau, tr., Mencius (Penguin Books, 1970), "Introductions", pp. 7-46; "Appendix 5: On Mencius' Use of the Method of Analogy in Argument", pp. 235-263, B128 M33 E59.

Suggested Readings:

D. C. Lau, tr., Mencius, pp. 49-204.

James Legge, tr., Confucian Analects in the Four Books (Paragon Reprinting Corp.) or The Chinese Classics (Hong Kong University Press, 1960) PL 2461 R43.

5. The Metaphysical and Political Thought of Classical Confucianism

Required Readings:

Sources of Chinese Tradition, Vol. I, pp. 113-121.

Benjamin I. Schwartz, "Some Polarities in Confucian Thought", in A. F. Wright and D. Nivison, eds., Confucianism in Action (Stanford University Press, 1959), pp. 50-62, BL 1840 N55.

Suggested Reading:

James Legge, tr., The Doctrine of the Man & The Great Learning in The Four Books or The Chinese Classics.

TOPICS AND REQUIRED READINGS

6. Moism

Required Reading: F. W. Mote, Intellectual Foundations of China, Chapter 5.

Suggested Reading: Burton Watson, tr., Motzu: Basic Writings (Columbia University Press, 1963) B128 M6W3.

7. Classical Taoism

Required Readings:

Wing-tsit Chan, tr., The Way of Lao Tzu.

F. W. Mote, Intellectual Foundations of China, Chapter 4.

Suggested Readings:

D. C. Lau, "The Treatment of Opposites in Lao Tzu", Bulletins of the School of Oriental and African Studies, Vol. 21 (1958), pp. 344-360
RB Hist. L3621 TR.

Burton Watson, tr., The Complete Works of Chuang Tzu (Columbia University Press, 1968) BL 1900 C5 W34.

Max Kaltenmark, Lao Tzu and Taoism (Stanford University Press, 1969)
BL 1930 K 313.

8. The Founding of the Chinese Poetical Tradition: The Book of Songs (the Shih-ching) and The Songs of Ch'u (the Ch'u-tz'u)

Suggested Readings:

Arthur Waley, tr., The Book of Songs

David Hawkes, tr., Ch'u Tz'u: the Songs of the South (Oxford University Press).

Shih-hsiang Chen, "The Shih Ching: Its Generic Significance in Chinese Literary History and Poetics", Chung-yang yen-chiu yüan li-shih yu-yen yen-chiu so chi-k'an (Bulletin of the Institute of History and Philosophy, Academia Sinica), Vol. 39, Pt. 1 (1969), pp. 371-413.

Shih-hsiang Chen, "The Genesis of Poetic Time: the Greatness of Ch'ü Yüan, Studied With a New Critical Approach", Ch'ing-hua hsüeh-pao (Tsing Hua Journal of Chinese Studies), New Series, Vol. 10, No. 1 (June, 1973), pp. 1-44.

TOPICS AND REQUIRED READINGS

9. Hsüntzu, Legalism, and the Establishment of the Chinese Empire

Required Readings:

D. C. Lau, "Theories of Human Nature in Mencius and Shyuntzzy [Hsüntzu]", Bulletin of the School of Oriental and African Studies, Vol. 15 (1953), pp. 541-565 RB Hist. L3621 T.

F. W. Mote, Intellectual Foundations of China, Chapter 7.

Suggested Readings:

Burton Watson, tr., Hsüntzu: Basic Writings (Columbia University Press, 1963) B128 H66 E55.

Burton Watson, tr., Han Fei Tzu: Basic Writings (Columbia University Press, 1964) PL 2662 H3 A28.

10. Chinese Thought on Natural Law

Suggested Reading: Joseph Needham, Science and Civilization in China, Vol. 2, Chapter 18: "Human Law and the Laws of Nature in China and the West", pp. 518-583.

11. The Transformations of Confucianism in the Han

Required Reading: Sources of Chinese Traditions, Vol. I, Chapters 7 & 8, pp. 145-210.

Suggested Reading: Fung Yu-lan, History of Chinese Philosophy, Vol. II, Chapters 2 & 3.

12. Han Society

Required Reading: Lien-sheng Yang, "Great Families of Eastern Han", in E-tu Zen Sun & John de Francis, tr., Chinese Social History (Washington, D. C., 1965), pp. 103-134, HN 675 S8.

13. Social Consciousness on the Eve of the Breakdown of the Han

Required Reading: E. Balazs, Chinese Civilization and Bureaucracy, Chapter 13, DS 721 B213.

14. Social and Political Conditions of the Wei, Chin, Southern and Northern Dynasties and the Rise of Neo-Taoism

Required Reading: E. Balazs, Chinese Civilization and Bureaucracy, Chapter 14.

Suggested Readings:

Fung Yu-lan, History of Chinese Philosophy, Vol. II, Chapters 5 & 6.

E. Zürcher, The Buddhist Conquest of China, Vol. I, pp. 86-95, BL 1430 Z8.