

**University of Wisconsin-Madison, Department of History**  
**Spring 2016**  
**HISTORY 457: HISTORY OF SOUTHEAST ASIA BEFORE 1800**  
***Thongchai Winichakul***  
***Tue & Thur 11:00-12:15 Rm. 1641 the George Mosse Humanities Bldg.***

Located between the Chinese and Indic civilizations, Southeast Asia has been a region of striking diversity where for more than two thousand years the complex indigenous cultures encountered with and were enriched by the world's major influences – from major religions like Hinduism, Buddhism, Islam, and Confucianism to Western influences. Yet what makes the region distinctive is also the subtle commonality of local polities, societies and cultures. The complex traditions which have shaped the history of the region are very much alive today despite colonization and Westernization over the past hundred years.

This class explores both the important phases of changes and major issues before colonial period of the region. Among the issues covered in this class are the early settlements, state formation and development, influences from the Indic, Chinese, and Western civilizations, the region as part of world trade system since the 13<sup>th</sup> c., the emergence of the states that were the foundation of Southeast Asian nations today, and the coming of the European powers.

**Office Hours** Tue 1:30-3:30 pm at 5123 Humanities, 608-263-8931/ twinicha@wisc.edu

**Assignments**

1. Two map exercises 5% each (tentative dates Jan 28 and Feb 25; instruction in class)
2. Three take-home exams. 10%-20%-30% (Exam questions to be given in class on Feb 16, Mar 15, and May 3). DISREGARD THE SCHEDULED FINAL SIT-IN EXAM ON 5/10/16.
3. Glossary of 30 terms relevant to this class (more explanation in class) Due April 26 -- 30% [Further instructions for the Honor credits, and for graduate students will be given in class.]

**Required Textbooks** (available in the Reserves and for purchase)

- M.C. Ricklefs, Bruce Lockhart, Albert Lau and Portia Reyes, *A New History of Southeast Asia*, Palgrave Macmillan, 2010
- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, volume two: Expansion and Crisis*, Yale University Press.
- Higham, Charles. *The Civilization of Angkor*. University of California Press, 2001

**Recommended Textbook**

- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, volume one*, Yale University Press
- Janet Abu-Lughod, *Before European Hegemony: The World Systems A.D. 1250-1350*, Oxford University Press

**Readings:** All the *Required Readings* (\*\*) are either in the required textbooks or in the eReserves. The *Recommended* materials (\*) are suggested in case students cannot find the required ones, and in case students are interested to read more on the topics. Most of them are also in the e-Reserves (see the last page of this syllabus).

## TOPICS AND SCHEDULE

This schedule may change if necessary. Changes will be announced in advance in class.

<b>Week 1</b> (Jan 19)	The course: objectives, contents, assignments, readings, and other class business
(Jan 21)	Land and peoples, diversity and similarity
<b>Week 2</b> (Jan 26, 28)	Prehistory and archaeology: geography, settlements and early polity
<b>Week 3</b> (Feb 2, 4)	Early states, 2 <sup>nd</sup> to 8 <sup>th</sup> or 9 <sup>th</sup> c.: Indianization vs Localization
<b>Week 4</b> (Feb 9, 11)	“Classical states”, 9 <sup>th</sup> to 14 <sup>th</sup> c
<b>Week 5</b> (Feb 16, 18)	What was a “state” in pre 1800 Southeast Asia? The <i>mandala</i> kingdom and empire; kingship and moral power
<b>Week 6</b> (Feb 23, 25)	The 13 <sup>th</sup> - 14 <sup>th</sup> c. transition
<b>Week 7</b> (Mar 1, 3)	Early modern 15 <sup>th</sup> -18 <sup>th</sup> c. Archipelago: trade and fragmentation
<b>Week 8</b> (Mar 8, 11)	Early modern 15 <sup>th</sup> -18 <sup>th</sup> c Archipelago (cont.) and Mainland
<b>Week 9</b> (Mar 15, 18)	Early modern 15 <sup>th</sup> -18 <sup>th</sup> c. Mainland: consolidation of empires
<b>Week 10</b> (Mar 22, 24)	Spring Recess
<b>Week 11</b> (Mar 29)	Religions 14th-17th c.: Theravada Buddhism and Islamization
<b>Week 12</b> (Apr 5, 7)	Slavery, bondage, social stratification
<b>Week 13</b> (Apr 12, 14)	Women, Chinese
<b>Week 14</b> (Apr 19, 21)	Other foreigners, Outside influences
<b>Week 15</b> (Apr 26, 28)	Transitions at the dawn of the colonial period
<b>Week 16</b> (May 3, 5)	Questions on evidence and historiography, and Closing

### READING MATERIALS FOR EACH TOPICS

(\*\* = Required; \* = Recommended and /or substitute for the required ones)

#### ***Southeast Asia: Land and peoples, diversity and similarity***

\* Reid. Southeast Asia in the Age of Commerce 1450-1860, vol. 1, 1-119

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 1

#### ***Prehistory: migrations, early settlements and polity***

\*\* Higham. The Civilization of Angkor. Chapter 2 and 3

\*\* George Coedes, The Making of Southeast Asia, chapter 2: Prehistory, pp. 10-33

\* Peter Bellwood, “Southeast Asia Before History” in Cambridge History of Southeast Asia : From Early Times c. 1500, vol.1, ed. Nicholas Tarling, chapter 2, pp. 55-136

#### ***The early states 2<sup>nd</sup> - 9<sup>th</sup> c.: Indianization vs. Localization***

\*\* Higham. The Civilization of Angkor. Chapter 3 and 4

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 2

#### ***The “classical states”, 9<sup>th</sup> - 14<sup>th</sup> c.***

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 3

\*\* Higham. The Civilization of Angkor. Chapter 5-7

#### ***What was a “state”? The “mandala”; Kingship and power***

\*\*Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in

Southeast Asia in the 9th to 14th, 1-22

\*\* Day, Tony. "Ties That (Un)bind: Families and States in Pre-modern Southeast Asia" Journal of Asian Studies, 55, 2 (1996): 384-409

\* Wyatt, David. "Relics, Oaths and Politics in Thirteenth Century Siam," Journal of Southeast Asian Studies, 32, 1 (2001): 3-66.

### ***The mid 13<sup>th</sup> – mid 14<sup>th</sup> centuries transitions***

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 5

\* Victor Lieberman, "Charter State Collapse in Southeast Asia, ca. 1250–1400, as a Problem in Regional and World History," American Historical Review, 2011, pp. 936-963

\* Janet Abu-Lughod, Before European Hegemony: The World Systems A.D. 1250-1350, Part III, esp. chapter 9

### ***Early modern 15th -18th c. Archipelago: trade and fragmentation***

\*\* M.C. Ricklefs et.al, A New History of Southeast Asia, chapter 7 p. 134, 149-164

\*\* Reid. Southeast Asia in the Age of Commerce, vol. 2. 1-61, 204-266.

### ***Early modern 15th -18th c. Mainland: consolidation of empires***

\*\* M.C. Ricklefs et.al, A New History of Southeast Asia, chapter 7 p. 134-149

\*\* Lieberman, Victor. Strange Parallel: Southeast Asia in Global Context, c. 800-1830, vol.2, pp. 11-48

\* Lieberman, Victor, "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," Modern Asian Studies 27, 3 (1993): 475-572 (accessible on-line via journal database)

### ***Religions and moral legitimacy***

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 4

\*\* Reid. Age of Commerce, vol. 2. 132-201.

### ***Slavery, bondage, social stratifications***

\*\* Reid, Anthony. ed. Slavery, Bondage and Dependency in Southeast Asia. 1-43

\*\* Reid. Age of Commerce, vol.2. 114-131.

\* Reid. Age of Commerce, vol.1. 120-28, 137-172.

### ***The Chinese and other foreigners; Outside influences***

\*\* M.C. Ricklefs et.al., A New History of Southeast Asia, chapter 6

\*\* Leonard Andaya, "Interactions with the Outside World and Adaptations in Southeast Asian Society, 1500-1800", in Cambridge History of Southeast Asia : From Early Times c. 1500, vol.1, ed. Nicholas Tarling, chapter 6, pp. 345-401.

### ***Women***

\*\* Anthony Reid, "Female Roles in Pre-Colonial Southeast Asia," Modern Asian Studies, Vol. 22, No. 3, (1988), pp. 629-645

\* Barbara Andaya ed., Other Pasts: women, gender and history in early modern Southeast Asia, see articles by Barbara Andaya and Junko Koizumi, pp. 231-268, notes pp. 318-338

### ***Transition at the dawn of the colonial period***

\*\* Reid. Age of Commerce, vol.2. chap. 5 (267-325).

***MATERIALS IN THE E-RESERVES FOR THIS CLASS***

- George Coedes, The Making of Southeast Asia, chapter 2: Prehistory, pp. 10-33
- Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14<sup>th</sup> century. ed. A.C. Milner. 1986. pp.1-22.
- Day, Tony. "Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia" Journal of Asian Studies, 55, 2 (1996): 384-409
- Wyatt, David. "Relics, Oaths and Politics in Thirteenth Century Siam," Journal of Southeast Asian Studies, 32, 1 (2001): 3-66.
- Lieberman, Victor, "Charter State Collapse in Southeast Asia, ca. 1250–1400, as a Problem in Regional and World History," American Historical Review, 2011, pp. 936-963
- Lieberman, Victor, Strange Parallel: Southeast Asia in Global Context, c. 800-1830, vol.2, pp. 11-48
- Leonard Andaya, "Interactions with the Outside World and Adaptations in Southeast Asian Society, 1500-1800", in Cambridge History of Southeast Asia : From Early Times c. 1500, vol.1, ed. Nicholas Tarling, chapter 6, pp. 345-401.
- Reid, Anthony ed. Slavery, Bondage and Dependency in Southeast Asia. 1983. pp.1-43.
- Anthony Reid ed., Sojourners and Settlers: Histories of Southeast Asia and the Chinese, 1996  
The articles by Anthony Reid, G. William Skinner, and Mary Somers Heidhues.
- Anthony Reid, "Female Roles in Pre-Colonial Southeast Asia," Modern Asian Studies, Vol. 22, No. 3, (1988), pp. 629-645
- Barbara Andaya ed., Other Pasts: women, gender and history in early modern Southeast Asia, see articles by Barbara Andaya and Junko Koizumi, pp. 231-268, notes pp. 318-338