

University of Wisconsin-Madison  
Department of History

HISTORY 457: SOUTHEAST ASIA TO 1800

Fall 1992 MWF 11:00-11:50

Thongchai Winichakul

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As our world has left the Cold War era and is now entering the new world order of economism, it is Asia, and especially Southeast Asia, that shows the potential for the new era as well as wounds and scars of the past. Parts of Southeast Asia are enjoying the economic boom unparalleled by any Western country while other parts are among the poorest in the world. This is partly the result of the US role in the region but most Americans know very little about the people there where the past is still present.

Enriched by the Chinese and Indic civilizations, Southeast Asia was the place of a distinct civilization since the first millennium, a place where most of world's religions meet (Hinduism, Buddhism, Islam, Confucianism and Roman Catholic). But it is also a place of strikingly different local cultures. Cultural traditions had shaped the history of the region despite colonization and are very much alive even in the Westernized world today. If the understanding of Asia is crucial for the multicultural world tomorrow and for the new world of economism in the next century, the history of Southeast Asia in the pre-modern time is necessary.

This course will explore major themes and topics as well as major events in the history of the whole region rather than of individual countries in details. No prior knowledge is required. Nor is the ability to memorize the dates and unfamiliar names. The enthusiasm to learn other cultures and to study unfamiliar materials, plus imagination, is hoped for.

Lecture and Discussion

Three 50-min. lectures a week on Monday, Wednesday and Friday, 11:00-11:50 a.m. at 1221 Humanities. One discussion hour, which will be informal and not mandatory, will be announced. Questions are welcome any time.

Office Hours Room 5211 Humanities ph. 263-8931  
Monday 1:30-2:30 and Thursday 4:00-5:00 or by appointment

Grading

1. Mid-term exam (perhaps a take-home in week 7)
2. One 2,500 word research essay with notes and references at the end of it. Due Friday November 20, 1992. 40% (5,000 words and 50% for graduate students)
3. Final exam 40% (30% for graduate students)

Note on Reading Materials

There is no single text for the diversity of the region over such a lengthy period. Each textbook covers a major aspect of a particular lengthy period with implication to the understanding of the entire region. The General Supplemental are the history of individual countries in Southeast Asia, with rich bibliography for each of them. All textbooks can be purchased at bookstores. A few copies of each Required Textbooks and General Supplemental are in the Reserve Book Room

(at College Library). Those weekly required readings which are not in textbooks will be in the course-package (for sale at the Humanities Copy Center).

Students should do the required reading before the lecture each week. Supplementary Reading in each week are those studies in more details and depth on specific topics for your interest and may be useful as starting points for your research paper.

#### Required Textbooks

- Coedes, George. The Making of Southeast Asia.  
Higham, Charles. The Archaeology of Mainland Southeast Asia.  
Hall, Kenneth. The Maritime Trade and Early History of Southeast Asia.  
Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1860, Volume One: the Lands Below the Winds.

#### Recommended Textbooks

- Coedes, George. The Indianized States of Southeast Asia.  
Wolters, O.W. History, Culture, and Region in Southeast Asian Perspective.

General Supplemental (The first two items with the @ mark are the very useful encyclopedia-like political history of the whole region.)

- @ Hall, D.G.E. History of South East Asia.  
@ Cady, John F. Southeast Asia: Its Historical Development.  
Legge, J.D. Indonesia.  
Andaya, Barbara and Leonard. A History of Malaysia.  
Wyatt, David K. A Short History of Thailand.  
Chandler, David P. A History of Cambodia.  
Buttinger, Joseph. The Smaller Dragon: the Political History of Vietnam.  
Harvey, G.E. History of Burma.  
Cushner, Nicholas. Spain in the Philippines.  
Phelan, J.L. The Hispanisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700

#### COURSE SCHEDULE

(\* = required reading, the rest are supplementary)

##### Week 1 (Sept.2) Introduction

- What is Southeast Asia?                      - Diversity and similarity  
No required reading.

##### Week 2 (Sept 7) Prehistory

- The old theory of great migrations  
- The new theory of early settlements

\* Coedes, G. The Making of South-East Asia. pp.10-33.

\* Higham, Charles. The Archaeology of Mainland Southeast Asia. pp.15-30, 153-238.

Smith, R.B. and Watson, W. eds. Early Southeast Asia: Essays in Archaeology, History and Historical Geography. pp.9-14 and the article by Bennet Bronson, pp.315-36, and C.Y. Ronald, pp.262-72.

Solheim, Wilhelm G. "The New Look of Southeast Asian Prehistory," Journal of Siam Society 60, pt.1 (1972): 1-20.

Pelzer, Karl J. Pioneer Settlement in the Asiatic Tropics: Studies in Land Utilization and Agricultural Colonization in Southeast Asia.



Week 3 (Sept 14) The First Millennium: Early States  
- Southeast Asia as a region - Early states

- \* Wolters, O.W. History, Culture and Region. pp.34-44.
- \* Coedes, G. The Making of South-East Asia. pp.39-49.
- \* Higham, Charles. The Archaeology of Mainland SEAsia. pp.239-320.
- Coedes, George. Indianized States of Southeast Asia. pp.36-109.
- Hall, Kenneth R. "State and Statecraft in Early Srivijaya," in Explorations in Early Southeast Asian History: the Origins of Southeast Asian Statecraft. ed. Kenneth R. Hall and John K. Whitmore, pp.61-106.
- Wolters, O.W. Early Indonesian Commerce: A Study of the Origin of Srivijaya. chap.2,4,5,11-13.
- Bronson, Bennet. "The Archaeology of Sumatra and the Problems of Srivijaya," in Early Southeast Asia. ed. Smith and Watson, pp.394-405.
- Taylor, Keith. The Birth of Vietnam.
- Luce, G.H. "The Ancient Pyu," in The Burmese Research Society: 50th Anniversary Publication, vol.2, pp.307-21; or in Journal of the Burmese Research Society [JBRS] 27 (1937), pp. 239-53.
- \_\_\_\_\_. "Old Kyause and the Coming of the Burmans," JBRS 42 (1959), pp.75-109.
- Wales, H.G.Q. Dvaravati: the Earliest Kingdom of Siam.
- Srisakra Vallibhotama. "Political and Cultural Continuities at Dvaravati Sites," in Southeast Asia in the 9th to 14th Century. ed. David Marr and A.C. Milner, pp.229-38.

Week 4 (Sept 21) Indianization and the Formation of Early States  
-Indianization vs. Localization - Economic and religious factors

- \* Hall, Kenneth R. Maritime Trade and State Development in Early Southeast Asia. pp. 1-25, 48-77, 136-68.
- \* Coedes, George. Indianized States of Southeast Asia. pp. 14-35.
- \* Wolters, O.W. History, Culture and region. pp. 45-68.
- Mabbett, I.W. "The Indianization of Southeast Asia: Reflections on the Pre-Historical Sources," Journal of Southeast Asian Studies [JSEAS] 8 (1977), pp. 1-14.
- \_\_\_\_\_. "The Indianization of Southeast Asia: Reflections on the Historical Sources," JSEAS 8 (1977), pp. 143-61.
- Wolters, O.W. "Khmer 'Hinduism' in the Seventh Century," in Early Southeast Asia ed. Smith and Watson, pp. 427-42.
- Shorto, H.L. "The Dewatu Sotapan: A Mon Prototype of the 37 Nats," Bulletin of the School of Oriental and African Studies 30 (1967), pp. 127-41.
- Wales, H.G.Q. The Universe Around Them: Cosmology and Cosmic Renewal in the Indianized Southeast Asia.
- Luce, G.H. "Economic Life of the Early Burman," JBRS 30 (1940), pp.283-335.
- Ishii, Yoneo, Thailand: the Rice-Growing Society. pp. 3-39.
- Geertz, Clifford, Agricultural Involution. pp. 12-37.

Week 5 (Sept 28) Classical States

Pagan, Angkor, Srivijaya and central Java, Namviet,

- \* Hall, Kenneth R. Maritime Trade and State Development. pp. 78-102, 169-93
- \* Higham, Charles. Archaeology of Mainland SEAsia. pp. 321-55.
- \* Coedes, George. The Making of South-East Asia. pp. 73-87.
- Coedes, George. Indianized States of Southeast Asia. pp. 110-88.
- Aung-Thwin, Michael. Pagan. part III (pp. 71-166).
- Luce, G.H. Old Burma Early Pagan, (3 vols.)
- Harvey, G.E. History of Burma. chap.2 (pp.21-70)
- Than Tun. Essays on the History of Buddhism in Burma. pp.3-102.
- Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th, pp. 1-22.
- Coedes, George. Angkor.
- Taylor, Keith. "The Rise of Dai Viet and the Establishment of Thang Long," in Explorations in Early Southeast Asian History. ed. Hall and Whitmore, pp. 149-93.
- Hall and Whitmore. "Southeast Asian Trade and the Isthmian struggle, 1000-2000," in Explorations in Early South Asian History. pp.303-340.
- Wolters, O.W. Early Indonesian Commerce. chap.14 (pp. 229-54).

Week 6 (Oct 5) Power, State and Kingship

- Idea of power
- Cosmology, macro/microcosm
- Kingship
- Mandala state

- \* Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, pp.1-69.
- \* Heine-Geldern, Robert. Conceptions of State and Kingship in Southeast Asia.
- \* Wolters, O.W. History, Culture, and Region. pp. 1-33.
- Hall, Kenneth R. "An Introductory Essay on Southeast Asian Statecraft in the Classical Period," in Explorations in Early Southeast Asian History ed. Hall and Whitmore, pp. 1-24.
- Geertz, Clifford. "Centers, Kings and Charisma: Reflections of the Symbolic of Power," in Geertz, Local Knowledge. (Also in Culture and Its Creator ed. J. Ben-David and T.N. Clark pp. 150-71.)
- Hanks, Lucien M. "Merit and Power in Thai Social Order," American Anthropologist 64 (1962), pp. 1247-61.
- Gesick, Lorraine, ed. Centers, Symbols and Hierarchies: Essays on the Classical States of Southeast Asia. See the articles by Shelly Errington, Michael Aung-Thwin, and Lorraine Errington.
- Aung-Thwin, Michael. Pagan. pp.15-68.
- Kulke, Hermann. The Devaraja Cult.
- Mabbett, I.W. "Devaraja," Journal of Southeast Asian History 10 (1969), pp. 202-223.
- Reynolds, Frank E. and Mani B. Three Worlds According to King Ruang: A Thai Buddhist Cosmology. see introduction.
- Shorto, H.L. "The 32 Myos in the Medieval Mon Kingdom," Bulletin of the School of Oriental and African Studies 26 (1963), pp. 572-91.
- \_\_\_\_\_. "A Mon Genealogy of Kings: Observations on the Nidana Arambhakatha," in Historians of Southeast Asia ed. D.G.E.Hall, pp.63-72.
- Kirsch, A. Thomas. "Kinship, Genealogical Claims, and Societal Integration in Ancient Khmer Society: An Interpretation," in Southeast Asian



- History and Historiography ed. C.D.Cowan and O.W.Wolters, pp.190-202
- Tambiah, S.J. World Conqueror and World Renouncer. chap.4; or "The Galactic Polity: the Structure of Traditional Kingdoms in Southeast Asia," Annals of the New York Academy of Sciences 293 (July 1977), pp. 69-97.
- Taylor, Keith. "Authority and Legitimacy in the 11th Century Vietnam," in Southeast Asia in the 9th to 14th Century ed. Marr and Milner, pp.139-76.

Week 7 (Oct 12) The Thirteenth Century Turning Point  
 -the Decline of Classical states - The Mongol invasion  
 (Mid-term exam)

- \* Coedes, George. The Making of South-East Asia. pp.121-34.
- \* Hall, Kenneth R. Maritime Trade and State Development. pp.194-231.
- \* Coedes, George. Indianized States of Southeast Asia. pp. 189-234.
- Aung-Thwin, Michael. Pagan. pp.169-89.
- Bennet, P. "The Fall of Pagan," in Conference under the Tamarind Tree: Three Essays in Burmese History.
- Chandler, David P. A History of Cambodia. pp.55-75.
- Wolters, O.W. The Fall of Srivijaya in Malay History. chap.1-5.
- Kasetsiri, Charnvit. The Rise of Ayudhya. chap. 3-4 (pp.30-75).
- Wyatt, David. A Short History of Thailand. pp.38-53.

Week 8 (Oct 19) Consolidation of Power in the Mainland and the Battles for Supremacy ( 14th-18th c.)  
 - Pre-modern states - Politics of overlordship and tributaries

- \* Coedes, George. The Making of South-East Asia. pp.137-230.
- Wyatt, David. A Short History of Thailand. pp.52-138.
- Kasetsiri, Charnvit. The Rise of Ayudhya. chap.7 (pp. 119-47).
- Harvey, G.E. History of Burma. chap.3 & 6 (pp.71-125, 151-216).
- Buttinger, Joseph. The Smaller Dragon. chap.3 (pp.129-76).
- Lieberman, Victor. "Ethnic Politics in Eighteenth Century Burma," Modern Asian Studies 12 (1978), pp.455-82.
- Chandler, David. History of Cambodia. chap.5 (pp.77-98).
- Andaya, Barbara & Leonard. History of Malaysia. pp.62-68, 106-8.
- Bonney, R. Kedah 1771-1821: the Search for Security and Independence.
- Mangrai, Sao Saimuang. The Shan States and British Annexation.
- Cotter, Michael G. "Towards a History of the Vietnamese Southward Movement," JSEAH 9 (1968), pp. 12-34.

Week 9 (Oct 19) Islam and the Archipelago

- \* Hall, Kenneth. Maritime Trade and State Development. pp. 232-60.
- \* Reid, Anthony. "Trade and State Power in the 16th and 17th Century Southeast Asia," Proceedings of the Seventh IAHA Conference vol.1, pp. 391-419.
- \* Hooker, M.B. ed. Islam in Southeast Asia. pp.1-22.
- \* Ibrahim, Ahmad, et al eds. Readings on Islam in Southeast Asia. pp.7-19.
- Lapidus, Ira M. A History of Islamic Societies. chap.19 (pp.467-88).
- Wolters, O.W. The Fall of Srivijaya. pp. 77-127, 154-80.
- Cowan, C.D. "Continuity and Change in International History of Maritime Southeast Asia," JSEAH 9 (1968), pp. 1-11.

Ricklefs, M.C. A History of Modern Indonesia c.1300 to the Present. chap.1

Week 10 (Nov 2) Religion, State and Society

- \* Steinberg, David J. In Search of Southeast Asia. pp.37-48, 59-91.
- \* Geertz, Clifford. Religion of Java. pp. 121-30, 227-48.
- \* Hooker, M.B. ed. Islam in Southeast Asia. chap 2 by Milner pp.23-49.
- Holt, P.M. et al eds. The Cambridge History of Islam vol.2, part VI, chap.1 "Southeast Asian Islam to the eighteenth Century," pp.123-54.
- Reynolds, Frank E. and Mani B. Three Worlds According to King Ruang: A Thai Buddhist Cosmology. intro.  
\_\_\_\_\_. "Rituals and Social Hierarchies: An Aspect of Traditional Religion in Buddhist Laos," History of Religions 9 (1969), pp.78-89; also published in Religion and Legitimation of Power in Thailand, Laos and Burma. pp.166-74.
- Keyes, Charles F. "Millennialism, Theravada Buddhism and Thai Society," JAS 36, pp.283-302 .
- Hue-Tam Ho Tai. "Religion in Vietnam: A World of Gods and Spirits," pp. 22-39.
- Rafael, Vicente. "Confession, Conversion, and Reciprocity in Early Tagalog Society," pp. 320-39.
- Aung-Thwin, Michael. "The Role of Sasana Reform in Burmese History: Economic Dimensions of A Religious Purification," JAS 38 (1979), pp.671-88.  
\_\_\_\_\_. "A Reply to Lieberman," JAS 40 (1980), pp. 87-90.
- Lieberman, Victor. "The Political Significance of Religious Wealth in Burmese History," JAS 39 (1980), pp. 753-69.

Week 11 (Nov 9) Pre-modern Societies: State Mechanism and Social organization

- \* Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1680: vol.1 the Lands Below the Winds. pp.11-31, 120-72.
- \* \_\_\_\_\_ ed. Slavery, Bondage and Dependency in Southeast Asia. pp.1-43.
- Geertz, Clifford. Agricultural Involution. pp. 38-46.
- Hanks, Lucien M. Rice and Man: Agricultural Ecology in Southeast Asia.
- Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760. pp. 15-32, 63-198.
- Moertono, Soemarsaial. State and Statecraft in Old Java: A Study of the Later Mataram Period, 16th to 19th Century.
- Geertz, Clifford. Negara: The Theater State in the Nineteenth Century Bali.
- Hanks, Lucien M. "The Thai Social Order as Entourage and Circle," in Change and Persistence in Thai Society ed. G. William Skinner and A. Thomas Kirsch, pp. 197-218.
- Akin Rabibhadana. "The Clientship and Class Structure in Early Bangkok Period," in ibid. pp. 93-124.  
\_\_\_\_\_. The Organization of Thai Society in the Early Bangkok Period, 1782-1873.
- Manguin, Pierre. "Shipshape Societies: Boat Symbolism and Political Systems in Insular Southeast Asia,": in Southeast Asia 9th to 14th Century. ed. Marr and Milner, pp. 187-214.
- Wales, H.G.Q. Ancient Siamese Government and Administration.
- Reid, Anthony, ed. Slavery, Bondage and Dependency in Southeast Asia. see another article by Reid in pp.156-81; and by others on individual



countries.

Popkin, Samuel. The Rational Peasant: the Political Economy of Rural Society in Vietnam.

Woodside, Alexander. Vietnam and the Chinese Model. chap. 1-2.

Week 12 (Nov 16) The Coming of the West (I)

-Mercantilism and the Voyage to the East -Malacca -Philippines

\*Hall, D.G.E. History of South East Asia. chap. 11,12,17 (pp.205-37, 321-34) or

\*Cady, John Southeast Asia. chap.9,11 (pp.173-90, 232-59)

Reid, Anthony. "Trade and Problem of Royal Power in Aceh: Three Stages c.1550-1700," in Pre-colonial State Systems in Southeast Asia: The Malay Peninsula, Sumatra, Bali-Lombok, South Celebes.

Andaya, Barbara & Leonard. History of Malaysia. pp.55-62, 76-113.

Meilink-Roelofs. Asian Trade and European Influences in the Indonesian Archipelago between 1500 and about 1630.

Boxer, C.R. The Portuguese Seaborne Empire, 1415-1825.

Smith, George V. The Dutch in Seventeenth Century Thailand.

Hall, D.G.E. Early English Intercourse with Burma 1587-1743.

Lieberman, Victor. "European, Trade and the Unification of Burma c. 1540-1620," Oriens Extremes 27 (1980), pp. 203-26.

Van Leur, J.C. The Indonesian Trade and Society. pp. 159-245.

Week 13 (Nov 23) The Coming of the West (II)

- The European rivalries in SEAsian arena - The Dutch V.O.C.

\* Cady, John Southeast Asia. chap.10 (pp.203-28).

\* Hall, D.G.E. History of South East Asia. chap.15,16 (pp.290-320).

\* Geertz, Clifford. Agricultural Involution. chap.4 (pp.47-82).

Legge, J.D. Indonesia. chap.4 (pp.71-89).

Furnival, J.S. Colonial Policy and Practice: A Comparative Study of Burma and Netherlands India.

Chauduri, K.N. The Trading World of Asia and the English East India Company 1660-1760.

(See also readings in Week 12)

Week 14 (Nov 30) Twilights of the Old World

\* Steinberg, David J. ed. In Search of Southeast Asia. pp.97-164.

\* Reynolds, Craig. "Buddhist Cosmography in Thai History, With Special Reference to Nineteenth Century Culture Change," Journal of Asian Studies [JAS] 35 (1976), pp. 203-220.

[Week 15 (Dec 15) Summary

Benda, Harry J. "The Structure of Southeast Asian History: Some Preliminary Observations," JSEAH 3 (1962), pp. 106-38.]

