

University of Wisconsin-Madison
Department of History
Fall 1991
Southeast Asia to 1800

History 457

Thongchai Winichakul

As our world is leaving the Cold War era and entering a new one of economism, it is Asia, and especially Southeast Asia, that shows the signs of rapid economic growth as well as that has wounds and scars of the passing period. Parts of Southeast Asia are enjoying the economic boom uncomparable even by any Western country while other parts are among the poorest and most ignored countries in the world as a result of the protracted wars. Most Americans are being exorcised from their past in that region but they know very little about people in those war-zone countries where the past is still present.

Enriched by both the Chinese and Indic civilizations, Southeast Asia was a place of distinct civilizations since the first millennium, a place where most of the world's religions meet (Hinduism, Buddhism, Islam, Confucianism, and Roman Catholic), but also a place of strikingly different local cultures. These cultural traditions had played a great role in the history of the region despite colonization and are very much alive even in the westernized world today. If the understanding of Asia is crucial for a multicultural world tomorrow and for the world of economism in the next century, then the history of Southeast Asian world in the pre-modern time is necessary.

This course is an introduction to Southeast Asia from its beginning up to the eighteenth century. It will explore major themes and topics in social, economic and political history of the whole region as well as major events in each country rather than discussing the history of an individual country in details.

No previous knowledge is required. Nor the ability to memorize all the dates and unfamiliar names is expected. The enthusiasm to learn about other culture, and to study those unfamiliar materials, plus imagination, is what is hoped for.

Lectures and Discussions

Three 50-min. lectures a week on Monday, Wednesday, and Friday, 9:55 a.m. at 1217 Humanities. Another discussion hour, which will be informal and not compulsory, will be at the end of the Friday lecture (at the room to be announced). Questions are welcome both in class and at the end of each lecture.

Office Hours

Room 5211 Humanities Tel: 263-8931
Monday 1:30-2:30 and Wednesday 11:00-12:00 or by appointment

Grading:

Undergraduate

1. Mid-term exam on Week 7 or 8 (the exact date to be announced in advance) -- 20%.
2. A 2,500 word research essay with notes and references at the end of the essay. Due Fri. Nov. 29 -- 50%.
3. Final exam (see the Time-table) -- 30%.

Graduate

1. A review of literature on a particular topic. Maximum 2,000 words. due Fri. Oct. 18 -- 20%.
2. A 5,000 word research essay with notes and references. Due Fri. Nov. 29 -- 50%.
3. Final exam -- 30%.

[All due dates are non-negotiable]

Note on Reading Materials:

There is no single text for the diversity of southeast Asia, although students will find those books listed in Basic Readings I very useful in this class and as general references in a further study of Southeast Asian history. Some are available in the University Bookstore.

Basic Readings I are books on Southeast Asian history in general. The first two are about pre-modern history. All are in the Reserve Book Room in the College Library. Whenever a book in Basic Reading I is required for a particular week but cannot be found in the Reserve, students should study another one in Basic Readings I, finding the chapter(s) or pages covering the content of that week, as the substitute to the required one.

Basic Readings II are books on the general history of each country in Southeast Asia. Students can consult these books for the interest in a particular country, but in less details and depth than those studies on a specific topic. In addition, these Basic Readings II usually have rich bibliographics on individual countries.

Required Readings are materials (about 100 pp/week) students should read before the lectures each week.

Supplementary Readings are those studies in more details and depth on a specific topic. To write a literature review or a research essay, these materials will be helpful and will be good sources for further references.

Basic Readings I

- Coedes George. The Indianized States of Southeast Asia.
The Making of Southeast Asia.
Hall, D.G.E. History of Southeast Asia.
Cady, John F. Southeast Asia: Its Historical Development.
Walters, O.W. History Culture and Region in Southeast Asian
Perspective.

Basic Readings II

- Harvey, G.E. History of Burma.
Wyatt, David K. Thailand: A Short History.
Chandler, David P. A History of Cambodia.
Buttinger, Joseph. The Smaller Dragon: A Political History of
Vietnam.
Legge, J.D. Indonesia.
Andaya, Barbara and Leonard, A History of Malaysia
Cushner, Nicholas. Spain in the Philippines

Week 1 (Starts Sept. 4): Introduction

1. What is Southeast Asia?
2. Diversity and Similarity

(No required reading)

Week 2 (Sept. 9): Prehistory

1. Early Settlements
2. Migrations

Required:

- Coedes, G. The Making of Southeast Asia. pp. 10-33
Higham, Charles. The Archaeology of Mainland of Southeast Asia:
From 10,000 B.C. to the Fall of Angkor. ch. 4
Bronson, Bennet. "The Late Prehistory and Early History of Central
Thailand with Special Reference to Chansen".
in Early Southeast Asia: Essays in
Archaeology, History and Historical Geography
eds. R.B. Smith and W. Watson pp. 315-36.

Supplementary

- Smith, R.B. and Watson, W. Early South East Asia. (see above)
pp. 9-14
Solheim, Wilhelm G. II. "The New Look of Southeast Asian
Prehistory" Journal of the Siam Society 60: 1,
(1972) pp. 1-20
Pelzer, Karl J. Pioneer Settlement in the Asiatic Tropics:
Studies in Land Utilization and Agricultural
Colonization in Southeast Asia

Week 3 (Sept 16) Early States (Protohistory): The First Millennium

1. Southeast as a Region
2. Early States

Required

- Wolters, O.W. History, Culture and Region in Southeast Asian Perspective. pp. 34-44
- Coedes, G. The Indianized States of Southeast Asia. pp. 36-109.
- _____ . The Making of Southeast Asia. pp. 39-49

Supplementary

- Higham, Charles. The Archaeology of Mainland Southeast Asia.
ch. 5
- Luce, G.H. "The Ancient Pyu" in The Burma Research Society: 50th Anniversary Publication, v. 2.
pp. 307-321; also in Journal of the Burma Research Society [JBRS] 27,
(1937) pp. 239-253.
- Luce, G.H. "Old Kyause and the Coming of the Burmans." JBRS.
42:1, (1959), pp. 75-109
- Wales, H.G. Quaritch. Dvaravati: The Earliest Kingdom of Siam
Srisakra Vallibhotama. "Political and Cultural Continuities at
Dvaravati Sites" in Southeast Asia in the 9th to 14th Century David Marr and Milner
eds., pp. 229-238.
- Walters, O.W. Early Indonesian Commerce: A Study of the Origins of Srivijaya" esp. ch. 2, 4, 5, 11, 12, 13.
- Hall, Kenneth R. "State and Statecraft in Early Srivijaya" in Explorations in Early Southeast Asian History: The Origins of Southeast Asian Statecraft. eds. K.R. Hall and J.K. Whitmore pp. 61-106
- Ronald, c.y. "The Geographical Habitat of Historical Settlement in Mainland South East Asia" in Smith and Watson eds. Early Southeast Asia. pp. 262-272
- Bronson, Bennet "The Archaeology of Sumatra and the Problems of Srivijaya" in Smith and Watson eds. Early South East Asia. pp. 394-405
- Taylor, Keith W. The Birth of Vietnam

Week 4 (Sept. 23) Indianization and Formation of States

1. Formation of States: economic and religious factors
2. Indianization vs. Localization

Required

- Hall, Kenneth R. Maritime Trade and State Development in Early Southeast Asia. pp. 1-25
- Kulke, Hermann, "The Early and Imperial Kingdoms in Southeast Asia History" in D. Marr and A.C. Milner (eds.) Southeast Asia in the 9th to 14th Century. pp. 1-22
- Coedes, G. The Indianized States of Southeast Asia, pp. 14-35
- Wolters, O.W. History, Cultures and Region in Southeast Asian Perspective, pp. 45-68.
- Mabbett, I.W. "The Indianization of Southeast Asia: Reflections on the Historical Sources." Journal of Southeast Asian Studies 8:2 (1977), pp. 143-161

Supplementary

- Luce, G.H. "Economic Life of the Early Burman" JBR 30 (1940) pp. 283-335
- Ishii, Yoneo (ed.) Thailand: A Rice-Growing Society, pp. 3-39
- Geertz, Clifford, Agricultural Involution pp. 12-37
- Hall, K.R. Maritime Trade and State Development in Early Southeast Asia, pp. 48-168
- Smith and Watson eds. Early South East Asia. part II. See articles by A.H. Christie, Paul Wheatley, J.M. Jacob, R.B. Smith and Claude Jacques.
- Mabbett, I.W. "Indianization of Southeast Asia: Reflections on Pre-historic Sources" Journal of Southeast Asian Studies. 8:1 (1977) pp. 1-14
- Wolters, O.W. "Khmer 'Hinduism' in the Seventh Century" in Smith and Watson eds. Early Southeast Asia, pp. 427-442
- Shorto, H.L. "the Dewatu Sotapan: A Mon Prototype of the 37 Nats" Bulletin of the School of Oriental and African Studies 30, 1967, pp. 127-141
- Wales, H.G. Quaritch The Universe Around Them: Cosmology and Cosmic Renewal in Indianized Southeast Asia

Week 5 (Sept. 30) Classical States (10th-13th c.)

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| 1. Pagan | 2. Angkor |
| 3. Namviet to Vietnam | 4. Srivijaya and Java |

Required

- Coedes, G. The Indianized States of Southeast Asia pp. 110-188
- Coedes, G. The Making of Southeast Asia pp. 73-87

Supplementary

- Harvey, G.E. History of Burma ch. 2 (pp. 21-70)
- Aung-Thwin, Michael. Pagan: The Origins of Modern Burma part III (pp. 71-166)
- Luce, G.H. Old Burma, Early Pagan 3 vols.
- Pe Maung Tin. "Women in the Inscriptions of Pagan" JBR 25:1 (1935) pp. 149-159

- Than Tun. "Social Life in Burma, 1044-1287" JBR.S. 51:1-2 (1958)
pp. 37-41
- Coedes, George. Angkor: An Introduction
- Groslier, B.P. Angkor: Hommes et pierres
- Higham, Charles. The Archaeology of Mainland Southeast Asia
pp. 321-55
- Taylor, Keith. "The Rise of Dai Viet and the Establishment of
Thang Long" in Hall and Whitmore. Explorations
in Early Southeast Asian History. pp. 149-193.
- Hall and Whitmore, "Southeast Asian Trade and the Isthmian
Struggle, 1000-1200 A.D." in Ibid.
pp. 303-340
- Wolters, O.W. Early Indonesian Commerce ch. 14 pp. 229-254.

Week 6 (Oct. 7) Power and Kingship

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| 1. Cosmology, Macro/Microcosm | 2. Symbolism as Power |
| 3. Kingship | 4. Mandala or Galactic Polity |

Required

- Heine-Gedern, Robert V. Conceptions of State and Kingship in
Southeast Asia.
- Wolters, O.W. History, Culture and Region in Southeast Asian
Perspective pp. 1-33.
- Anderson, Benedict "The Idea of Power in Javanese Culture" in
Clair Holt ed. Culture and Politics
in Indonesia. pp. 1-69.

Supplementary

- Reynolds, Frank E. and Mani B., The Three Worlds According to King
Ruang: A Thai Buddhist Cosmology, see
introduction.
- Shorto, H.L. "The 32 Myos in the Medieval Mon Kingdom Bulletin
of the School of Oriental and African
Studies 26 (1963) pp. 572-91.
- Geertz, Clifford. "Centers, Kings and Charisma: Reflections on
the Symbolics of Power" in Culture and
Its Creator. ed. J. Ben-David and T.N.
Clark. pp. 150-71 [also in his book,
Local Knowledge]
- Hall, K.R. "An Introductory Essay on Southeast Asian Statecraft
in the Classical Period" in Hall and
Whitmore eds. Explorations in Early
Southeast Asian History, pp. 1-24.
- Errington, Shelly. "The Place of Regalia in Luwu" in Lorraine
Gesick ed. Centers, Symbols and
Hierarchies. pp. 194-241.
- Hanks, Lucien M. "Merit and Power in Their Social Order,"
American Anthropologist. 64 (1962)
pp. 1247-61.

- Taylor, Keith. "Authority and Legitimacy in the 11th century Vietnam" in Marr and A.C. Milner (eds.) Southeast Asia in the 9th to 14th Century. pp. 139-176.
- _____. "The Rise of Dai Viet..." (see week 5)
- Kulke, Hermann. The Devaraja Cult
- Mabbett, I.W. "Devaraja" Journal of Southeast Asian History 10:2 (1969) pp. 202-223
- Aung-Thwin, Michael "Divinity, Spirit and Human: Conceptions of Classical Burmese Kingship" in L. Gesick. Centers, Symbols and Hierarchies: Essays on the Classical States of Southeast Asia. pp. 45-86.
- _____, Pagan: The Origins of Modern Burma. pp. 15-68.
- _____, "Kingship, the Sangha and Society in Pagan" in Hall and Whitmore eds. Explorations in Early Southeast Asian History. pp. 205-256.
- Gesick, Lorraine "The Rise and Fall of King Taksin: A Drama of A Buddhist Kingship" in Gesick ed. Centers, Symbols and Hierarchies. pp. 87-105.
- Tambiah, S.J. World Conqueror and World Renouncer.
- _____. "The Galactic Polity: The Structure of Traditional Kingdoms in Southeast Asia," Annals of the New York Academy of Sciences 293 (Jul. 1977) pp. 69-97.
- Shorto, H.L. "A Mon Genealogy of Kings: Observations on the Nidana Arambhakathor" in D.G.E. Hall ed. Historians of Southeast Asia. pp. 63-72.
- Kirsch, A. Thomas "Kinship, genealogical Claims and Societal Integration in Ancient Khmer Society: An Interpretation" in C.D. Cowan and O.W. Wolters (eds.) Southeast Asian History and Historiography pp. 190-202.

Week 7 (Oct. 14) The Thirteenth Century Transition

1. The Declines of Classical States
2. The Mongol Invasion
3. Religions and the Rise of New Powers

Required

- Coedes, G. The Indianized States of Southeast Asia pp. 180-234
- _____. The Making of Southeast Asia pp. 121-134.

Supplementary

- Aung-Thwin, Michael Pagan. pp. 169-189.
- Bennet, p. "The Fall of Pagan" in Conference under the Tamarind Tree: Three Essays in Burmese History.
- Chandler, David P. A History of Cambodia. pp. 55-75.
- Wolters, O.W. The Fall of Srivijaya in Malay History. ch. 1-5.

Hall, K.R. Maritime Trade and State Development in Early Southeast Asia. pp. 194-231.

Kasetsiri, Charnvit. The Rise of Ayndhya. ch. 3-4 (pp. 30-75).

Wyatt, David K. Thailand: A Short History pp. 38-53.

Week 8 (Oct. 21) Premodern Kingdoms (14th-15th c.)

Consolidation of Power in the Mainland

Sukhothai, Ayudhya, LanSang, Lanna, Ava (Shan), Taungoo, Annam.

Required

Coedes, A. The Making of Southeast Asia pp. 137-230

(Hall, D.G.E. History of South East Asia. pp. 158-189)

Supplementary

Wyatt, David K. Thailand: A Short History. pp. 52-85

Kasetsiri, Charnvit. The Rise of Ayndhya. ch. 7 pp. 119-147.

Griswold, A.B. and Prasert na Nagara, "A Fifteenth-Century Siamese Historical Poem" in C.D. Cowan and O.W. Wolters ed. Southeast Asian History and Historiography pp. 123-163.

Harvey, G.E. History of Burma. ch. 3, pp. 71-125.

Buttinger, Joseph. The Smaller Dragon ch. 3 pp. 129-176.

Cotter, Michael G. "Towards a Social History of the Vietnamese Southward Movement. Journal of Southeast Asian History. 9:1 (1968) pp. 12-34.

Week 9 (Oct. 28) Islam and the Archipelago

1. Majapalast
2. Muslim Malacca
3. The Islamic Entrepots in the Archipelago

Required

Hall, D.G.E. History of Southeast Asia. pp. 190-204, 255-89.

Cady, J.F. Southeast Asia. ch. 8, pp. 152-171.

Andaya, Barbara and Leonard History of Malaysia. pp. 31-55.

Supplementary

Legge, John D. Indonesia. ch. 3 pp. 49-70.

Cowan, C.D. "Continuity and Change in International History of Maritime Southeast Asia." Journal of Southeast Asian History. 9:1 (1968) pp. 1-11.

Wolters, O.W. The Fall of Srivijaya. pp. 77-127, 154-180.

Pigeaud, Theodore and H.J. de Graaf. Islamic States in Java 1500-1700.

Reid, Anthony and Castles, Lance (eds.) Pre-colonial State Systems in Southeast Asia: The Malay Peninsula, Sumatra, Bali-Lombok, South Celebes.

Ricklefs, M.C. A History of Modern Indonesia c. 1300 to the Present.

Aveling, Harry ed. The Development of Indonesian Society from the Coming of Islam to the Present Day.

Brissenden, R. "Patterns of Trade and Maritime Society Before the Coming of the Europeans" in Elaine McKay ed. Studies in Indonesian History.

Week 10 (Nov. 4) Premodern States and Societies

1. Economics - entrepots, inland states
2. Social Order and Hierarchies: - Negara, Patron-client, Slavery and Bondage.
3. State Mechanism: - Control of Manpower, Internal Rivalries.

Required

Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1680, Vol. 1 The Lands Below the Winds. see pp. 11-31, 90-107, 127-172, 201-225, 229-235.

Supplementary

- Reid, Anthony "Trade and State Power in 16th and 17th Century Southeast Asia" Proceedings Seventh IAHA Conference, vol. 1, pp. 391-419.
- Hall, K.R. Maritime Trade and State Development in Early Southeast Asia. ch. 9 pp. 232-260.
- Geertz, Clifford Agricultural Involution. pp. 38-46
- Lieberman, Victor B. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760. pp. 15-32, 63-198.
- Moertono, Soemarsaial. State and Statecraft in Old Java: A Study of the Later Mataram Period, 16th to 19th Century.
- Geertz, Clifford. Negara: The Theatre State in Nineteenth-Century Bali.
- Aung-Thwin, Michael. "Divinity, Spirit, and Human... (see week 6) Errington, Shelly. "The Place of Regalia in Luwu" (see week 6).
- Reynolds, Craig J. "Buddhist Cosmography in Thai History, With Special Reference to Nineteenth Century Culture Change" Journal of Asian Studies 35 (1976) pp. 203-220.
- Reynolds, Frank E. and Mari B. Three Words (see week 6), intro.
- Reynolds, Frank E. "Rituals and Social Hierarchies: An Aspect of Traditional Religion in Buddhist Law," History of Religions 9, (1969), pp. 78-89.
- Hanks, Lucien M. "The Thai Social Order as Entourage and Circle in G. William Skinner and A. Thomas Kirsch (eds.) Change and Persistence in Thai Society pp. 197-218.
- Akin Rabibhadana "Clientship and Class Structure in the Early Bangkok Period," in Ibid. pp. 93-124.
- _____ . The Organization of Thai Society in the Early Bangkok Period, 1782-1873:

- Manguin, Pierre "Shipshape Societies: Boat Symbolism and Political Systems in Insular Southeast Asia," in D. Marr and A.C. Milner (eds.) Southeast Asia 9th to 14th century. pp. 187-214.
- Mendelson, E.M. Sangha and State in Burma.
- Aung-Thwin, Michael. "The Role of Sasana Reform in Burmese History: Economic Dimensions of a Religious Purification" Journal of Asian Studies 38:4 (1979) pp. 671-88.
- Lieberman, Victor B. "The Political Significance of Religious Wealth in Burmese History: Some Further Thoughts" Journal of Asian Studies 39:4 (1980) pp. 753-69.
- Aung-Thwin, Michael "A Reply to Lieberman" JAS 40, 1 (1980) pp. 87-90.
- Wales, H.G. Quaritch. Ancient Siamese Government and Administration.
- Reid, Anthony (ed.) Slavery, Bondage and Dependency in Southeast Asia see articles by A. Reid, pp. 1-43 and 156-181 and by others on individual countries.
- Popkin, Samuel L. The Rational Peasant: The Political Economy of Rural Society in Vietnam.
- Keyes, Charles F. "Millennialism, Theravada Buddhism and Thai Society" Journal of Asian Studies 36:2 (1977) pp. 283-302.

Week 11 (Nov. 11) The 16th-18th c. Mainland: Battle for Supremacy

1. Politics of the Overlordship
2. Politics of Tributaries.

Required

- Hall, D.G.E. History of South East Asia ch. 13, 19, 21-24.
(Cady, J.F. Southeast Asia pp. 190-194, 260-302)

Supplementary

- Wyatt, David K. Thailand: A Short History pp. 86-138
- Phraiso Salarak, Phra (U Aung Thein) "Intercourse between Siam and Burma as Recorded in the "Royal Autograph Edition of the History of Siam" Journal of the Burma Research Society 25:2 (1935) pp. 49-108; 28:2 (1938) pp. 109-76 and 28:3 (1938) p. 232.
- Harvey, G.E. History of Burma ch. 6 pp. 151-216.
- Lieberman, Victor B. "Ethnic Politics in Eighteenth-Century Burma" Modern Asian Studies 12:3 (1978) pp. 455-482.
- Chandler, David P. History of Cambodia. ch. 5 pp. 77-98.
- Andaya, Barbara and Leonard. History of Malaysia pp. 62-68, 106-108.
- Bonney, R. Kedah 1771-1821: The Search for Security and Independence.

Mangrai, Sao Saimong. The Shan States and British Annexation.

Week 12 (Nov. 18) The Coming of the West (I)

1. The Coming of the West
2. Portuguese Malacca
3. Spain and the Philippines

Required

Hall, D.G.E. History of South East Asia, ch. 11, 12, 17.
Cady, J.F. Southeast Asia ch. 9, 11.

Supplementary (See also week 13)

- Reid, A. "Trade and the Problem of Royal Power in Aceh
Three Stages c. 1550-1700" in A. Reid and L. Castles
(eds.) Pre-colonial State Systems. (see week 9)
- Andaya, Barbara and Leonard, History of Malaysia pp. 55-62, 76-
113.
- Meilink-Roelofs, M.A.P. Asian Trade and European Influence
in the Archipelago between 1500 and about 1630.
- Boxer, C.R. The Portuguese Seaborne Empire 1415-1825
_____. "Asian Potentates and European Artillery in the
16th-18th centuries" Journal of the Malaysian
Branch of the Royal Asiatic Society 38:2 (1968)
pp. 156-172.
- Smith, George V. The Dutch in Seventeenth-Century Thailand
_____. "Princes, Nobles and Traders: Ethnicity and
Economic Activity in Seventeenth Century
Thailand" Contributions to Asian Studies 15
(1980) pp. 6-14.
- Hall, D.G.E. Early English Intercourse with Burma 1587-1743.
- Lieberman, Victor B. "Europeans, Trade, and the Unification of
Burma c. 1540-1620" Oriens Extremes 27:2 (1980)
pp. 203-26.
- Van Leur, J.C. The Indonesian Trade and Society pp. 159-245.

Week 13 (Nov. 25) The Coming of the West (II)

4. European Rivalries in Southeast Asian Arena
5. The Dutch V.O.C. and the Island States

Required

Cady, J.F. Southeast Asia ch. 10
Hall, D.G.E. History of South East Asia ch. 15, 16
Geertz, Clifford. Agricultural Involution ch. 4.

Supplementary (see also week 12)

Van Leur, J.C. Indonesian Trade and Society pp. 159-245.
Legge, J.D. Indonesia ch. 4.
Aveling, Harry (ed.) The Development of Indonesian Society
from the Coming of Islam to the Present
Day.

- Furnival, J.S. Colonial Policy and Practice: A Comparative Study of Burma and Netherlands India.
- Chauduri, K.N. The Trading World of Asia and the English East India Company 1660-1760.
- Ricklefs, M.C. A History of Modern Indonesia from 1300 to Present.

Week 14 (Dec. 2) Twilights of the Old World

Required

- Steinberg, David Joel (ed.) In Search of Southeast Asia: A Modern History. see part I pp. 11-91.

Supplementary

- Wyatt, David K. "The Subtle Revolution of King Rama I of Siam" in D. Wyatt and A. Woodside (eds.) Moral Order and the Question of Change: Essays on Southeast Asian Thought. pp. 9-52.
- Harvey, G.E. History of Burma ch. 7.
- Lieberman, Victor B. Burmese Administrative Cycles. ch. 4-5.
- Woodside, Alexander. Vietnam and the Chinese Model.
- Buttinger, Joseph. The Smaller Dragon. ch. 4.
- Wyatt, David K., Thailand: A Short History pp. 139-160.

Week 15 (Dec. 9) Summary, Discussion and Questions

Required

- Benda, Harry J., "The Structure of Southeast Asian History: Some Preliminary Observations" Journal of Southeast Asian History 3:1 (1962) pp. 106-38.