

J. W. Dower
5114 Humanities

He

Course Description. History 454 deals with such broad problems as the transition from a traditional or largely agrarian-based society to a modern industrial state; the nature and social costs of Japanese capitalism; the expansionist thrust; and the breakdown of the state in the 1920's and 1930's. Roughly the first half of the course is devoted to discussion of the nature of late 'feudal' (here 17th to early 19th centuries) society, and its legacy to Japan's modern century; the Western impact and the Restoration of 1867-1868; and the nature of the Meiji state (1868-1912). Particular attention is given thereafter to overseas expansion; the emergence of a dual economy dominated by the zaibatsu oligopolies; social diversification and its accompanying tensions and ideological cleavages; and the crisis of Japanese imperialism between World War One and the late 1930's. The Pacific War and its aftermath are not covered. Socio-economic, political, and international problems receive primary emphasis.

Classes. Tuesday and Thursday, 1-2:10. Additional section meetings will be scheduled. Slides and movies will be utilized throughout the course.

Assignments & Exams. Mid-term and final examinations, plus a term paper of approximately 20 pages. Examinations are largely in take-home format, and the mid-term exam is optional.

Grading. Exams 60%; term paper 40%

Readings. [* indicates available in paperback]

- * W. G. Beasley. THE MODERN HISTORY OF JAPAN. 2nd edition
- * James Crowley, ed. MODERN EAST ASIA: ESSAYS IN INTERPRETATION (chapters on Japan only)
- * Jon Livingston, Joe Moore & Felicia Oldfather, ed. THE JAPAN READER, vol. 1 (IMPERIAL JAPAN 1800-1945)
- * J. W. Dower, ed. ORIGINS OF THE MODERN JAPANESE STATE: SELECTED WRITINGS OF E. H. NORMAN
- * Marlene Mayo, ed. THE EMERGENCE OF IMPERIAL JAPAN
- * Ryusaku Tsunoda, Wm. Theodore De Bary & Donald Keene, comp. SOURCES OF JAPANESE TRADITION, vol. 2 (chapters 25-28)
- Masao Maruyama. THOUGHT AND BEHAVIOR IN MODERN JAPANESE POLITICS (chapters 1-4)
- Takiji Kobayashi. "THE FACTORY SHIP" AND "THE ABSENTEE LANDLORD"
- George M. Beckmann & Genji Okubo. THE JAPANESE COMMUNIST PARTY, 1922-1945 ("1932 Theses," pp. 332-351)

TOPICAL BREAKDOWN OF READINGS [# indicates recommended supplementary readings]

I APPROACHES TO MODERN JAPAN

1. Norman, introduction (pp. 3-101)
2. Shigeki Toyama, "The Meiji Restoration and the Present Day"--to be handed out in class
- # John W. Hall, "Changing Conceptions of the Modernization of Japan," in Marius Jansen, ed. CHANGING JAPANESE ATTITUDES TOWARD MODERNIZATION, pp. 7-41

II THE TOKUGAWA BACKGROUND

1. Hall in Crowley, ed.
2. Beasley, 1-75
3. Norman, pp. 110-115, 321-357
4. Livingston, Part I (esp. Sansom, Borton, "Shank's Mare," Saikaku, Yazaki)
- # George Sansom. THE WESTERN WORLD AND JAPAN, ch. 9-12
- # E. Sydney Crawcour, "The Tokugawa Heritage," in William W. Lockwood, ed. THE STATE AND ECONOMIC ENTERPRISE IN JAPAN, 17-44
- # John W. Hall, "The Nature of Traditional Society," in Robert E. Ward & Dankwart Rustow, ed. POLITICAL MODERNIZATION IN JAPAN AND TURKEY

III THE MEIJI RESTORATION

1. Beasley, 76-116
2. Norman, 156-210
3. Livingston (Smith on "Japan's Aristocratic Revolution")
- # Harry Harootunian. TOWARD RESTORATION (esp. introduction & conclusion)
- # Tetsuo Najita. JAPAN (chapters 1-4)
- # Yoshio Sakata & John W. Hall, "The Motivation of Political Leadership in the Meiji Restoration," in John A. Harrison, ed. JAPAN, 179-198 (originally in Journal of Asian Studies, XVI,1; November 1956)

IV THE MEIJI STATE

1. Jansen in Crowley, ed.
2. Beasley, 117-195
3. Norman, 211-273; 435-464
4. Tsunoda, chapter 25
- # Jon Halliday. A POLITICAL HISTORY OF JAPANESE CAPITALISM. Chapter 1
- # George Sansom. THE WESTERN WORLD AND JAPAN. Chapters 13-16

V EARLY JAPANESE IMPERIALISM

1. Mayo, vii-xvi, 1-12, 59-88
 2. Livingston, Part III (Mayo, Crowley)
- # Shigeki Toyama, "Politics, Economics, and the International Environment in the Meiji and Taisho Periods," in The Developing Economies, IV,4 (December 1966), 419-426
- # Marius Jansen, "Modernization and Foreign Policy in Meiji Japan," in Robert Ward, ed. POLITICAL DEVELOPMENT IN MODERN JAPAN, 149-188
- # Hilary Conroy. THE JAPANESE SEIZURE OF KOREA, 1868-1910

VI STATE & SOCIETY IN THE EARLY TWENTIETH CENTURY

1. Dues in Crowley, ed.
 2. Beasley, 196-235
 3. Livingston, Part III
 4. Kobayashi
 5. Beckmann & Okubo, 332-351 (The 1932 Theses of the Communist Party)
- # K. Ohkawa & H. Rosovsky, "A Century of Japanese Economic Growth," in W. W. Lockwood, ed. THE STATE AND ECONOMIC ENTERPRISE IN JAPAN, 47-92
- # Takayoshi Matsuo, "The Development of Democracy in Japan--Taisho Democracy, Its Flowering and Breakdown," in The Developing Economies IV,4 (December 1966), 612-637
- # Ronald P. Dore. LAND REFORM IN JAPAN, 1-125
- # Barrington Moore. SOCIAL ORIGINS OF DICTATORSHIP AND DEMOCRACY, 228-313
- # Bernard S. Silberman & H. D. Harootunian. JAPAN IN CRISIS: ESSAYS ON TAISHO DEMOCRACY
- # John K. Fairbank, Edwin O. Reischauer & Albert M. Craig. EAST ASIA: THE MODERN TRANSFORMATION, chapter 7
- # Natsume Soseki. KOKORO (translated by E. McClellan)

VII IDEOLOGY & PROTEST IN IMPERIAL JAPAN

1. Maruyama, chapters 1-4
 2. Tsunoda, chapters 27-28
- # James W. Morley, ed. DILEMMAS OF GROWTH IN PREWAR JAPAN (especially articles by Spaulding, Beckmann, Tiedemann, Crowley & Najita)
- # Ben-Ami Shillony. REVOLT IN JAPAN: THE YOUNG OFFICERS AND THE FEBRUARY 26, 1936 INCIDENT
- # Chalmers Johnson. AN INSTANCE OF TREASON: OZAKI HOTSUMI AND THE SORGE SPY RING
- # Tetsuo Najita. JAPAN, chapter 5

VIII COLLAPSE OF THE EMPIRE

1. Beasley, 236-257
2. Livingston, Part IV
3. Crowley in Crowley, ed.

Dorothy Borg & Shumpei Okamoto, ed. PEARL HARBOR AS HISTORY: JAPANESE-AMERICAN RELATIONS, 1931-1941

James Crowley. JAPAN'S QUEST FOR AUTONOMY, 3-34 and 379-398

Nobutake Ike, tr. JAPAN'S DECISION FOR WAR: RECORDS OF THE 1941 POLICY CONFERENCES