

H858: PROBLEMS IN ISLAMIC HISTORY: SPRING 2008

THE MONGOL EMPIRE IN RECENT RESEARCH

The seminar will meet on Tuesdays, 3.30-5.30, in Humanities 5255. Students should have copies of J.J. Saunders, *The History of the Mongol Conquests* (London: Routledge, 1971; reprinted Philadelphia: University of Pennsylvania Press, 2001) and T.T. Allsen, *Culture and Conquest in Mongol Eurasia* (Cambridge: Cambridge University Press, 2001). Also very useful is P. Jackson, "The state of research. The Mongol Empire, 1986-1999," *Journal of Medieval History*, 26/2 (May 2000), pp. 189-210. Students will be expected to read a weekly allocation as specified below (they are not expected to read everything listed!), and to come prepared to discuss it. They will also be expected to write a review of one relevant book of their own choice, to be submitted immediately after Spring Break, and a final paper on a topic of their choice, to be submitted towards the end of the semester.

1. General Surveys of the History of the Mongol Empire

- J.J. Saunders, *The History of the Mongol Conquests* (1971)
 D.O. Morgan, *The Mongols* (1986; 2nd ed., 2007)
 G. Lane, *Genghis Khan and Mongol Rule* (2004)
 M. Prawdin, *The Mongol Empire: Its Rise and Legacy* (1940)
 E.D. Phillips, *The Mongols* (1969)
 B. Spuler, *The Mongols in History* (1971) or *The Muslim World part II: The Mongol Period* (1960)
 R. Marshall, *Storm from the East. From Genghis Khan to Khubilai Khan* (1993)
 J-P. Roux, *Genghis Khan and the Mongol Empire* (2003)
 D. Christian, *A History of Russia, Central Asia and Mongolia I* (1998), Part V
 T.T. Allsen, "The Rise of the Mongolian Empire and Mongolian rule in North China," in H. Franke & D. Twitchett (eds), *Cambridge History of China VI: Alien Regimes and Border States, 907-1368* (1994), pp. 321-413.
 J.F. Fletcher, "The Mongols: ecological and social perspectives," *Harvard Journal of Asiatic Studies* 46 (1986), pp. 11-50. Reprinted in Fletcher, *Studies on Chinese and Islamic Inner Asia* (1995)
 "Mongols," *Encyclopaedia of Islam*, 2nd. ed.

2. Background to the Mongol Empire

- R. Grousset, *The Empire of the Steppes* (1970)
 M. Biran, *The Empire of the Qara Khitai in Eurasian History* (2005)
 I. Togan, *Flexibility and Limitation in Steppe Formations. The Kerait Khanate and Chinggis Khan* (1998)

L.N. Gumilev, *Searches for an Imaginary Kingdom. The Legend of the Kingdom of Prester John* (1987)
 T.J. Barfield, *The Perilous Frontier. Nomadic Empires and China* (1989)
 P.B. Golden, *An Introduction to the History of the Turkic Peoples* (1992)
 S. Jagchid & P. Hyer, *Mongolia's Culture and Society* (1979)
 G. Lane, *Daily Life in the Mongol Empire* (2006)
Cambridge History of China VI

3. The Sources

The Secret History of the Mongols:

I. de Rachewiltz (tr.), *The Secret History of the Mongols. A Mongolian Epic Chronicle of the Thirteenth Century*, 2 vols (2004)

F.W. Cleaves (tr.), *The Secret History of the Mongols* (1982)

P. Kahn (tr.), *The Secret History of the Mongols. The Origin of Chingis Khan* (1984; 2nd ed., 1998)

U. Onon (tr.), *The History and the Life of Chinggis Khan* (1990); 2nd ed. as *The Secret History of the Mongols. The Life and Times of Chinggis Khan* (2001)

A. Waley (tr.), *The Secret History of the Mongols and Other Pieces* (1963)

B. Spuler (tr.), *History of the Mongols* (1972)

Juvaini, *The History of the World Conqueror*, tr. J.A. Boyle, 2 vols (1958), 2nd. ed. in 1 vol, as *Genghis Khan. The History of the World Conqueror* (1997)

Rashid al-Din, *The Successors of Genghis Khan*, tr. J.A. Boyle (1971)

Rashid al-Din, Rashiduddin Fazlullah's *Jami'u't-tawarikh. Compendium of Chronicles*, tr. W. Thackston, 3 vols (1998/9)

The Travels of Ibn Battuta A.D. 1325-1354, tr. H.A.R. Gibb & C.F. Beckingham, 5 vols (1958-2000)

Ibn Battuta, *Travels in Asia and Africa 1325-1354*, tr. H.A.R. Gibb (1929)

A. Waley (tr.), *The Travels of an Alchemist* (1931)

E. Bretschneider (tr.), *Mediaeval Researches from Eastern Asiatic Sources*, 2 vols (1888, 1967)

Bar Hebraeus, *The Chronography of Bar Hebraeus*, vol. 1, tr. E.A.W. Budge (1932)

E.A.W. Budge (tr.), *The Monks of Kublai Khan, Emperor of China* (1928)

J.A. Montgomery (tr.), *History of Yaballaha III* (1927)

Grigor of Akner, *The History of the Nation of the Archers*, tr. R.P. Blake & R.N. Frye (1954)

C. Dawson (ed.), *The Mongol Mission* (1955), aka *Mission to Asia*

The Mission of Friar William of Rubruck. His Journey to the Court of the Great Khan Möngke 1253-1255, ed. P. Jackson & D.O. Morgan

Marco Polo, *The Travels* (numerous translations)

R. Michell & N. Forbes (tr.), *The Chronicle of Novgorod 1016-1471* (1914)

4. The Career of Chinggis Khan

- P. Ratchnevsky, *Genghis Khan. His Life and Legacy*, tr. T.N. Haining (1991)
 L. de Hartog, *Genghis Khan. Conqueror of the World* (1989)
 M. Hoang, *Genghis Khan* (1990)
 J. Weatherford, *Genghis Khan and the Making of the Modern World* (2004)
 J. Man, *Genghis Khan. Life, Death and Resurrection* (2004)
 M. Biran, *Chinggis Khan* (2007)
 H.D. Martin, *The Rise of Chinggis Khan and his Conquest of North China* (1950)
 R. Grousset, *Conqueror of the World* (1967)
 B. Vladimirtsov, *The Life of Chinggis Khan* (1930)
 R. Fox, *Genghis Khan* (1936)
 C.C. Walker, *Jenghiz Khan* (1939)
 H. Lamb, *Genghis Khan. The Emperor of All Men* (1927)

5. The Mongol Military Achievement

- T. May, *The Mongol Art of War* (2007)
 H. Kennedy, *Mongols, Huns and Vikings. Nomads at War* (2002)
 B.H. Liddell Hart, "Jenghiz Khan and Sabutai," in *Great Captains Unveiled* (1927), pp. 1-34
 S.R. Turnbull, *The Mongols* (Men-at-Arms Series) (1980)
 M. Biran, "The Battle of Herat (1270): a case of inter-Mongol warfare," in N. Di Cosmo (ed.), *Warfare in Inner Asian History (500-1800)* (2002), pp. 175-219
 R. Amitai, "Whither the Ilkhanid army? Ghazan's first campaign into Syria (1299-1300)," in Di Cosmo, *Warfare.....*, pp. 221-64. Reprinted in Amitai, *The Mongols in the Islamic Lands* (2007)
 R. Amitai-Preiss, *Mongols and Mamluks. The Mamluk-Ilkhanid War, 1260-1281* (1995)
 P. Jackson, "The crisis in the Holy Land in 1260," *English Historical Review* 95 (1980), pp. 481-513
 D.O. Morgan, "The Mongols in Syria, 1260-1300," in P.W. Edbury (ed), *Crusade and Settlement* (1985), pp. 231-5
 D.O. Morgan, "The Mongol armies in Persia," *Der Islam* 56/1 (1979), pp. 81-96
 J.M. Smith, "'Ayn Jalut: Mamluk success or Mongol failure?'," *Harvard Journal of Asiatic Studies* 44/2 (1984), pp. 307-45
 J.M. Smith, "Mongol society and military in the Middle East: antecedents and adaptations," in Y. Lev (ed.), *War and Society in the Eastern Mediterranean, 7th-15th Centuries* (1997), pp. 249-66
 J.M. Smith, "Hülegü moves west: high living and heartbreak on the road to Baghdad," in L. Komaroff (ed.), *Beyond the Legacy of Genghis Khan* (2006), pp. 111-34
 A.P. Martinez, "Some notes on the Il-Xanid army," *Archivum Eurasiae Medii Aevi* 6 (1986), pp. 129-242
 D. Sinor, "The Inner Asian warriors," *Journal of the American Oriental Society* 101/2 (1981), pp. 133-44. Reprinted in Sinor, *Studies in Medieval Inner Asia* (1997)

6. The Contribution of Thomas Allsen

Mongol Imperialism. The Policies of the Grand Qan Möngke in China, Russia and the Islamic Lands, 1251-1259 (1987)

Commodity and Exchange in the Mongol Empire (1997)

Culture and Conquest in Mongol Eurasia (2001)

The Royal Hunt in Eurasian History (2006)

“The Yüan dynasty and the Uighurs of Turfan in the thirteenth century,” in M. Rossabi (ed.), *China among Equals* (1983), pp. 243-80

“Biography of a cultural broker. Bolad Ch’eng-Hsiang in China and Iran,” in J. Raby & T. Fitzherbert (eds), *The Court of the Il-khans, 1290-1340* (1996), pp. 7-22

“Ever closer encounters: the appropriation of culture and the apportionment of peoples in the Mongol Empire,” *Journal of Early Modern History* 1/1 (1997), pp. 2-23

“The circulation of military technology in the Mongolian Empire,” in Di Cosmo, *Warfare...*, pp. 265-93

7. The Great Yasa of Chinggis Khan

Juvaini, *World Conqueror*, pp. 23-34

V.A. Riasanovsky, *Fundamental Principles of Mongol Law* (1937)

Ratchnevsky, *Genghis Khan*, pp. 187-96

D. Ayalon, “The Great Yasa of Chinggis Khan. A reexamination” *A. Studia Islamica* 33 (1971), pp. 97-140. Reprinted in Ayalon, *Outsiders in the Lands of Islam* (1988)

D.O. Morgan, “The ‘Great Yasa of Chingiz Khan’ and Mongol law in the Ilkhanate,” *Bulletin of the School of Oriental and African Studies* 49 (1986), pp. 163-76. Reprinted in G.R. Hawting (ed.), *Mamluks, Mongols and Crusaders* (2005)

I. de Rachewiltz, “Some reflections on Cinggis Qan’s *Jasagh*,” *East Asian History* 6 (December 1993), pp. 91-103.

R.G. Irwin, “What the partridge told the eagle: a neglected Arabic source on Chinggis Khan and the early history of the Mongols,” in R. Amitai-Preiss & D.O. Morgan (eds), *The Mongol Empire and its Legacy* (1999), pp. 5-11

R. Amitai-Preiss, “Ghazan, Islam and Mongol tradition: a view from the Mamluk sultanate,” *BSOAS* 59/1 (1996), pp. 1-10. Reprinted in Amitai, *The Mongols in the Islamic Lands*

D.O. Morgan, “The ‘Great Yasa of Chinggis Khan’ revisited,” in R. Amitai & M. Biran (eds), *Mongols, Turks and Others* (2005), pp. 291-308

R. McChesney, *Central Asia: Foundations of Change* (1996)

8. Mongol Rule in Iran (the Ilkhanate)

- C. Cahen, "The Mongols and the Near East," in K.M. Setton (gen.ed.), *A History of the Crusades II: The Later Crusades 1189-1311* (1969), pp. 715-34
- D.O. Morgan, *Medieval Persia 1040-1797* (1988), chs 6-8
- D.O. Morgan, "The Mongols in Iran: a reappraisal," *Iran* 42 (2004), pp. 131-6
- J.A. Boyle, "Dynastic and Political History of the Il-Khans", in Boyle (ed.), *Cambridge History of Iran V: the Saljuq and Mongol Periods* (1968), pp. 303-421
- I.P. Petrushevsky, "The Socio-economic Condition of Iran under the Il-Khans," in *CHI V*, pp. 483-537
- A.K.S. Lambton, *Continuity and Change in Medieval Persia. Aspects of Administrative, Economic and Social History, 11th-14th Century* (1988)
- G. Lane, *Early Mongol Rule in Thirteenth-Century Iran. A Persian Renaissance* (2003)
- J. Kolbas, *The Mongols in Iran. Chingiz Khan to Uljaytu 1220-1309* (2006)
- D.O. Morgan, "Cassiodorus and Rashid al-Din on Mongol rule in Italy and Persia," *BSOAS* 40/2 (1977), pp. 302-20. Reprinted in Hawting, *Mamluks, Mongols and Crusaders*
- D.O. Morgan, "Mongol or Persian: the government of Ilkhanid Iran," *Harvard Middle Eastern and Islamic Review* 3, 1/2 (1996), pp. 62-76
- D.O. Morgan, "Reflections on Mongol communications in the Ilkhanate," in C. Hillenbrand (ed.), *The Sultan's Turret* (1999), pp. 375-85
- A.J. Silverstein, *Postal Systems in the Pre-Modern Islamic World* (2007), ch. 4
- C.P. Melville, "The Mongols in Iran," in L. Komaroff & S. Carboni (eds), *The Legacy of Genghis Khan. Courtly Art and Culture in Western Asia, 1256-1353* (2002), pp. 36-61
- C.P. Melville, *The Fall of Amir Chupan and the Decline of the Ilkhanate, 1327-1337. A Decade of Discord in Mongol Iran* (1999)
- C.P. Melville, "The *Keshig* in Iran: the survival of the royal Mongol household," in Komaroff (ed.), *Beyond the Legacy of Genghis Khan*, pp. 135-66
- B.G. Fragner, "Iran under Ilkhanid rule in a world history perspective," in D. Aigle (ed.), *L'Iran face à la domination mongole* (1997), pp. 121-31
- B.G. Fragner, "Ilkhanid rule and its contributions to Iranian political culture," in Komaroff, *Beyond the Legacy of Genghis Khan*, pp. 68-82

9. Conversion of the Mongols to Islam

- D. DeWeese, *Islamization and Native Religion in the Golden Horde* (1994)
- C.P. Melville, "Padshah-i Islam: the conversion of Sultan Mahmud Ghazan Khan," in Melville (ed.), *Pembroke Papers I: Persian and Islamic studies in Honour of P.W. Avery* (1990), pp. 159-77
- R. Amitai-Preiss, "Ghazan, Islam..."
- R. Amitai-Preiss, "Sufis and Shamans: some remarks on the Islamization of the Mongols in the Ilkhanate," *Journal of the Economic and Social History of the Orient* 42 (1999), pp. 27-46. Reprinted in Amitai, *The Mongols in the Islamic Lands*
- R. Amitai, "The conversion of Tegüder Ilkhan to Islam," *Jerusalem Studies in Arabic and Islam* 25 (2001), pp. 15-43. Reprinted in Amitai, *The Mongols in the Islamic Lands*

- J. Pfeiffer, "Conversion versions: Sultan Öljeitü's conversion to Shi'ism (709/1309) in Muslim narrative sources," *Mongolian Studies* 22 (1999), pp. 35-67
- J. Pfeiffer, "Reflections on a 'double rapprochement': conversion to Islam among the Mongol elite during the early Ilkhanate," in Komaroff, *Beyond the Legacy of Genghis Khan*, pp. 369-89
- L.G. Potter, "Sufis and Sultans in post-Mongol Iran," *Iranian Studies* 27/1-4 (1994), pp. 77-102
- P. Jackson, "The Mongols and the faith of the conquered," in Amitai & Biran, *Mongols, Turks and Others*, pp. 245-90
- M. Biran, "True to their ways: why the Qara Khitai did not convert to Islam," in Amitai & Biran, *Mongols, Turks and Others*, pp. 175-200

10. Rashid al-Din: historian, statesman, polymath, letter-writer?

- "Rashid al-Din Tabib," *Encyclopaedia of Islam*, 2nd. ed.
- A.K.S. Lambton, "The *Athar wa ahya'* of Rashid al-Din Fadl Allah and his contribution as an agronomist, arboriculturist and horticulturalist," in Amitai-Preiss and Morgan, *The Mongol Empire and its Legacy*, pp. 126-54
- A.H. Morton, "The Letters of Rashid al-Din: Ilkhanid fact or Timurid fiction?", in Amitai-Preiss & Morgan, *The Mongols Empire and its Legacy*, pp. 155-99
- A. Soudavar, "In defense of Rashid-od-din and his Letters," *Studia Iranica* 32 (2003), pp. 77-122
- R. Amitai-Preiss, "New material from the Mamluk sources for the biography of Rashid al-Din," in Raby & Fitzherbert, *The Court of the Ilkhans*, pp. 23-38
- S. Blair, "Patterns of patronage and production in Ilkhanid Iran. The case of Rashid al-Din," in Raby & Fitzherbert, *The Court of the Ilkhans*, pp. 39-62
- D.O. Morgan, "Rasid al-Din and Gazan Khan," in Aigle, *L'Iran...*, pp. 179-88
- B. Hoffmann, "The gates of piety and charity. Rasid al-Din Fadl Allah as founder of pious endowments," in Aigle, *L'Iran...*, pp. 189-201
- T.T. Allsen, *Culture and Conquest in Mongol Eurasia*, ch. 11

11. The Yüan Dynasty in China

Cambridge History of China VI: Alien Regimes and Border States

- J.D. Langlois (ed.), *China under Mongol Rule* (1981)
- P.D. Buell, *Historical Dictionary of the Mongol World Empire* (2003), Essay 3
- H. Franke, *China under Mongol Rule* (1994)
- F.W. Mote, *Imperial China 900-1800* (1999), part 3
- D. Farquhar, *The Government of China under Mongol Rule. A Reference Guide* (1990)
- E. Endicott-West, *Mongolian Rule in China. Local Administration in the Yuan Dynasty* (1989)
- I. de Rachewiltz et al (eds), *In the Service of the Khan. Eminent Personalities of the Early Mongol-Yüan Period* (1993)
- M. Rossabi, *Khubilai Khan. His Life and Times* (1988)

J. Man, *Kublai Khan. The Mongol King who remade China* (2006)

12. Marco Polo

- P. Jackson, "Marco Polo and his 'Travels'", *BSOAS* 61 (1998), pp. 82-101. Reprinted in Hawting, *Mamluks, Mongols and Crusaders*
- L. Olschki, *Marco Polo's Asia* (1960)
- J. Critchley, *Marco Polo's Book* (1992)
- J. Larner, *Marco Polo and his Discovery of the World* (1999)
- L. Bergreen, *Marco Polo. From Venice to Xanadu* (2007)
- F. Wood, *Did Marco Polo go to China?* (1995)
- F. Wood, "Did Marco Polo go to China?", *Asian Affairs* 27/3 (October 1996), pp. 296-304
- I. de Rachewiltz, "Marco Polo went to China," *Zentralasiatische Studien* 27 (1997), pp. 34-92
- D.O. Morgan, "Marco Polo in China – or not," *Journal of the Royal Asiatic Society* 3rd series 6/2 (1996), pp. 221-5.

13. Relations with Europe, and Other Travellers

- P. Jackson, *The Mongols and the West 1221-1410* (2005)
- P. Jackson, "The Mongols and Europe," in D. Abulafia (ed.), *New Cambridge Medieval History V: c. 1198-1300* (1999), pp. 703-19
- P. Jackson, "William of Rubruck in the Mongol Empire: perception and prejudices," in Z. von Martels (ed.), *Travel Fact and Travel Fiction* (1994) pp. 54-71
- I. de Rachewiltz, *Papal Envoys to the Great Khans* (1971)
- A. Ruotsala, *Europeans and Mongols in the Middle of the Thirteenth Century: Encountering the Other* (2001)
- J. Muldoon, *Popes, Lawyers and Infidels* (1979)
- L. Olschki, *Guillaume Boucher. A French Artist at the Court of the Khans* (1946)
- J. Chambers, *The Devil's Horsemen. The Mongol Invasion of Europe*, 2nd ed. (1988)
- M. Rossabi, *Voyager from Xanadu. Rabban Sauma and the First Journey from China to the West* (1992)
- R. Dunn, *The Adventures of Ibn Battuta*, 2nd ed. (2005)
- D.O. Morgan, "Ibn Battuta and the Mongols," *JRAS* 3rd series 11 (2001), pp. 23-33
- H. Yule & H. Cordier, *Cathay and the Way Thither*, 4 vols (1913-16)
- G. Milton, *The Riddle and the Knight. In Search of Sir John Mandeville, the World's Greatest Traveller* (1996)
- Jacob d'Ancona, *The City of Light*, "tr." D. Sellbourne (1997)

14. Tamerlane and the Timurids

H. Hookham, *Tamburlaine the Conqueror* (1962)

J. Marozzi, *Tamerlane: Sword of Islam, Conqueror of the World* (2004)

B.F. Manz, *The Rise and Rule of Tamerlane* (1989)

B.F. Manz, *Power, Politics and Religion in Timurid Iran* (2007)

B.F. Manz, "Mongol history rewritten and relived," *Revue du monde musulman et la Méditerranée* (2001), pp. 129-49

H.R. Roemer, "Timur in Iran," and "The Successors of Timur," in P. Jackson & L. Lockhart (eds), *Cambridge History of Iran VI: The Timurid and Safavid Periods* (1986), pp. 42-146

W. Barthold, *Ulugh Beg* (1958)

T.W. Lentz & G.D. Lowry, *Timur and the Princely Vision. Persian Art and Culture in the Fifteenth Century* (1989)

D.O. Morgan, "The empire of Tamerlane: an unsuccessful re-run of the Mongol state?," in J.R. Maddicott & D.M. Palliser (eds), *The Medieval State. Essays presented to James Campbell* (2000), pp. 233-42

S.F. Dale, *The Garden of the Eight Paradises. Babur and the Culture of Empire in Central Asia, Afghanistan and India (1483-1530)* (2004)