

# HISTORY OF SOUTHEAST ASIA, 1800 TO PRESENT

UNIVERSITY OF WISCONSIN-MADISON  
Department of History  
Spring 2016

History 458

Mr. McCoy

## I. COURSE REQUIREMENTS :-

**Course Description:** Through lectures and readings, this course explores the modern social and political history of Southeast Asia, a region with a tempestuous past that has shaped and been shaped by the modern world order. Instead of narratives individual nations, the course analyzes major trends and transformations across the whole Southeast Asia region during different historical periods—including, the conquest of traditional kingdoms, colonial rule, the impact of World War II, nationalist revolutions, and the emergence of new nations. To lend substance to these broad topics from the nineteenth century to the present, the lectures will combine thematic discussions with detailed case studies of events in individual countries.

As the most intensely colonized region in the world, Southeast Asia offers an ideal arena for exploring the profound impact of European empires upon indigenous societies worldwide. Through such study we can see imperialism as a Promethean fire that shaped the modern world, producing both independent nations and an interdependent global economy.

During the nineteenth century, imperial historians celebrated the achievements of their empires and ignored indigenous history. In our own era, Southeast Asian historians have done the reverse, documenting the nationalist struggle and dismissing the influence of global empires. This course seeks a new synthesis by examining the interaction between European empires and emerging national elites to argue that imperialism transformed both colonizer and colonized alike. More broadly, the course will blend political and social history by placing ordinary lives within the context of emerging nation states.

With all the world's major religions, an extraordinary ethnic diversity, a past with both ancient empires and colonial conquest, and a present of war and revolution, democracy and dictatorship, Southeast Asia has inspired a stimulating literature by some of the world's most distinguished scholars. The syllabus below offers an introduction to this writing in the "required reading" and "suggested readings," along with unconventional historical sources such as novels, short stories, and memoirs in the "reading for texture" for those who wish to earn extra credit.

**Class Meetings:** Lectures are held in Humanities 1111, Tuesdays and Thursdays, 4:00-5:15 p.m.

**Office Hours:** Room 5131 Humanities, Thursdays, 12:00-2:00 p.m. and other hours by appointment. Contact information: (office phone) 263-1855, (email) <awmccoy@wisc.edu>

**Readings:** To follow the lectures, students should read selections from the required readings before the relevant lecture. The *Required Readings* and many of the *Suggested Readings* are found in the textbooks (listed below) and a "Course Pack" sold at the L&S Copy Center in Room 6120, Sewell Social Science Hall, at 1180 Observatory Drive. To gain some sense of the temper of times past and prepare for the final exam, students are also urged to sample the fiction and autobiographies under *Readings for Texture*, which are in Closed Reserve at the College Library.

**Undergraduate Grading:** Students shall attend lectures and complete three (3) pieces of written work, as follows:

**Mid-term:** During the lecture on *Thursday, March 3*, a list of questions—based on the material covered in the lectures and the required readings through Week 7—will be distributed in class. Using footnotes and following the format outlined below in Part V, students will complete a five-page essay and submit their paper at the start of class on *Tuesday, March 8*.

**Essay:** At the start of lecture on *Tuesday, April 5*, students will submit a two-page outline for their major essays that includes: (1.) one-paragraph abstract of the argument, (2.) an outline of the paper's main points, and (3.) a preliminary bibliography. Students who have questions about the outline are urged to meet with the instructor during office hours and by individual appointment to discuss alternative approaches.

At the start of lecture on *Tuesday, April 19*, students shall submit a 2,500 word research essay (about 8 to 10 pages) with footnotes and bibliography. A list of topics is appended below in Part IV, and the format for the essays is detailed in Part V below.

**Final Exam:** Students shall take a two-hour final examination on *Monday, May 9, at 2:45 p.m.* Students will be required to answer two questions—(1.) one on a broad theme from the lectures and some of the Required Readings, and (2.) for 2% extra-credit, a reflection on how literature illuminates history based on any two of the “Texture Readings.”

**Final Grade:** Mark in the course shall be computed as follows:

--mid-term exam:	<b>30%</b>
--research essay:	<b>40%</b>
--final exam:	<b>30%</b>
--extra credit	<b>2%</b>

**Graduate Grading:** Graduate students shall submit a 5,000-word research essay with notes and annotated bibliography examining the interface between theory and empirical evidence for a major theme in the course. The instructor must approve paper topics in advance.

**Text Books:** Sold at University Bookstore, State Street Mall (opposite Memorial Library):

Anderson, Benedict, **Imagined Communities** (New York, 2007).

Reid, Anthony, **Southeast Asia in the Age of Commerce, 1450-1680: Volume Two: Expansion and Crisis** (New Haven, 1993).

Steinberg, David, ed., **In Search of Southeast Asia** (Honolulu, 1987).

Tarling, Nicholas, ed., **The Cambridge History of Southeast Asia: Volume Two, Part 1, Volume 2, Part 1, From c.1800 to the 1930s** (Cambridge, 2000).

**Reference Volumes:**

Hall, D.G.E., **A History of Modern Southeast Asia** (London, 1981).

Reid, A.J.S., **Southeast Asia in the Age of Commerce 1450-1680, Volume One: The Lands Below the Winds** (New Haven, 1988).

## **II. REQUIRED READINGS: {N.B.: Readings in the Course Pack\*}:-**

### **WEEK 1 (January 19): UNDER MT. MERU--THE TRADITIONAL STATE**

**Required Reading:**

Heine-Geldern, Robert, “Conceptions of State and Kingship in Southeast Asia,” **Far Eastern Quarterly** 2, no. 1 (1942), pp. 15-30.\*

Lieberman, Victor, **Strange Parallels: Southeast Asia in Global Context, c. 800-1830. Vol. 1** (Cambridge, 2003), pp. 1-66.\*

Reid, A.J.S., **Southeast Asia in the Age of Commerce 1450-1680, Volume One: The Lands Below the Winds** (New Haven, 1988), pp. 1-10.\*

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 1-6, 9-48, 60-90.

**Suggested Reading:**

- Anderson, Benedict, "The Idea of Power in Javanese Culture," in, Claire Holt, ed., **Culture and Politics in Indonesia** (Ithaca, 1972), pp. 1-70.
- Anderson, Benedict, "Introduction," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 1-26.
- Benda, Harry, "The Structure of Southeast Asian History: Some Preliminary Observations," **Continuity and Change in Southeast Asia: Collected Journal Articles of Harry J. Benda** (New Haven, 1972), pp. 121-53.

## WEEK 2 (January 26): EUROPEAN EMPIRES

### Required Reading:

- Phelan, John Leddy, **The Hispanization of the Philippines** (Madison, 1967), pp. 3-28, 41-71.\*
- Reid, Anthony, **Southeast Asia in the Age of Commerce, 1450-1680: Volume Two: Expansion and Crisis** (New Haven, 1993), pp. 1-61.
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 49-59, 91-95.

### Suggested Reading:

- Andaya, Leonard Y, "Interactions with the Outside World and Adaptation in Southeast Asian Society, 1500-1800," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume One** (Cambridge, 1992), pp. 345-95.
- Braudel, Fernand, **The Perspective of the World: Civilization and Capitalism--15th-18th Century, Volume 3** (New York, 1984), pp. 386-87, 484-535.
- Cushner, Nicholas P., **Spain in the Philippines** (Quezon City, 1971), pp. 127-52.
- Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 22-31, 61-80.

## WEEK 3 (February 2): ISLANDS IN THE EARLY MODERN AGE

### Required Reading:

- Andaya, Barbara Watson, "Political Development between the Sixteenth and Eighteenth Centuries," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume One** (Cambridge, 1992), pp. 403-54.\*
- Reid, A.J.S., "Economic and Social Change, c. 1400-1800," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume One** (Cambridge, 1992), pp. 460-504.\*
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 139-170.

### Reading for Texture:

- Baum, Vicki, **Tale of Bali**, Basil Creighton, trans. (New York, 1938), pp. 395-417.
- Conrad, Joseph, **Lord Jim** (London, 1900), chapters 21-45.

### Suggested Reading:

- Carey, Peter, "Waiting for the 'Just King': The Agrarian World of South-Central Java from Giyanti (1755) to the Java War (1825-30)," **Modern Asian Studies** 20, no. 1 (1986), pp. 59-137.
- Cushner, Nicholas P., **Spain in the Philippines** (Quezon City, 1971), pp. 101-26, 153-85.
- Phelan, James L., **The Hispanization of the Philippines** (Madison, 1959), pp. 105-35.
- Reid, Anthony, **Southeast Asia in the Age of Commerce, 1450-1680: Volume Two: Expansion and Crisis** (New Haven, 1993), pp. 62-131.
- Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 81-118.
- Scott, William Henry, **Barangay: Sixteenth-Century Philippine Culture and Society** (Quezon City, 1994), pp. 127-57.

Warren, James, "Slavery and the Impact of External Trade: The Sulu Sultanate in the 19th Century," in, A.W. McCoy and E.C. de Jesus, eds., **Philippine Social History: Global Trade and Local Transformations** (Honolulu, 1982), pp. 415-44.

#### WEEK 4 (February 9): MAINLAND RESPONSE TO COLONIALISM

##### Required Reading:

Reid, A.J.S., **Southeast Asia in the Age of Commerce, 1450-1680. Volume Two: Expansion and Crisis** (New Haven, 1993), pp. 202-66.

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 99-138.

Yoneo Ishii, "History and Rice Growing," in, Yoneo Ishii, ed., **Thailand: A Rice-Growing Society** (Honolulu, 1978), pp. 15-39.\*

##### Reading for Texture:

Landon, Margaret, **Anna and the King of Siam** (New York, 1944), pp. 1-3, 23-78.

##### Suggested Reading:

Anderson, Benedict, "Studies in the Thai State: The State of Thai Studies," in, Eliezer B. Ayal, ed., **The Study of Thailand: Analyses of Knowledge, Approaches and Prospects** (Athens, 1978), pp. 193-257.

Lieberman, Victor, "Local Integration and Eurasian Analogies: Structuring Southeast Asian History, c.1350-c.1830," **Modern Asian Studies** 27, no. 3 (1993), pp. 475-572.

Winichakul, Thongchai, **Siam Mapped: A History of the Geo-Body of a Nation** (Honolulu, 1994), pp. 62-80.

#### WEEK 5 (February 16): ECOLOGICAL & ECONOMIC TRANSFORMATIONS

##### Required Reading:

Adas, Michael, **The Burma Delta: Economic Development and Social Change on an Asian Rice Frontier, 1852-1941** (Madison, 1974), pp. 3-38.

\*Elson, Robert, "International Commerce, the State, and Society: Economic and Social Change," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 127-191.

McCoy, Alfred W., "Introduction," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 1-14.\*

Reid, Anthony, **Southeast Asia in the Age of Commerce, 1450-1680: Volume Two: Expansion and Crisis** (New Haven, 1993), pp. 267-325.

##### Reading for Texture:

Lederer, William J. and Eugene Burdick, **The Ugly American** (New York, 1958), pp. 205-231.

Jose, F. Sionel, **Po-on** (Manila, 1984), Part I (chapters 3-9).

##### Suggested Reading:

Brocheux, Pierre, **The Mekong Delta: Ecology, Economy, and Revolution, 1860-1960** (Madison, 1995), pp. 1-50.

Cushner, Nicholas P., **Spain in the Philippines** (Quezon City, 1971), pp. 186-209.

McLennan, Marshall S., "Changing Human Ecology on the Central Luzon Plain: Nueva Ecija, 1705-1939," in, A.W. McCoy and E.C. de Jesus, eds., **Philippine Social History: Global Trade and Local Transformations** (Honolulu, 1982), pp. 57-91.

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 219-44.

Wolf, Eric, **Europe and the People Without History** (Berkeley, 1982), pp. 310-53.

**WEEK 6 (February 23): PLANTATION & PROLETARIAT**Required Reading:

Geertz, Clifford, **Agricultural Involution** (Berkeley, 1963), pp. 12-37, 83-123.\*  
 Murphey, Rhoads, "Traditionalism and Colonialism: Changing Urban Roles in Asia,"  
**Journal of Asian Studies** 29, no. 1 (1969), pp. 67-84.\*  
 Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 247-68.

Reading for Texture:

Maugham, W. Somerset, **The Complete Short Stories W. Somerset Maugham** (New York, 1934), pp. 184-216 ("The Letter"), 641-70 ("Footprints in the Jungle.")  
 Marr, David G., ed., **The Red Earth: A Vietnamese Memoir of Life on a Colonial Rubber Plantation**, by Tran Tu Binh, John Spragens, Jr., trans. (Athens, 1985), pp. 15-45.  
 Multatuli, **Max Havelaar; or, The Coffee Auctions of the Dutch Trading Company** (Amherst, 1982), pp. 62-77, 114-32.  
 Szekely, Ladislao, **Tropic Fever: The Adventures of a Planter in Sumatra**, Marion Saunders, trans. (New York, 1979), pp. 45-111.  
 Vu Trong Phung, "Household Servants," in, Greg Lockhart and Monique Lockhart, trans., **The Light of the Capital** (New York, 1996), pp. 121-156.

Suggested Reading:

Knight, G.R., "The Java Sugar Industry as a Capitalist Plantation: A Reappraisal," in, E. Valentine Daniel, Henry Bernstein, and Tom Brass, eds., **Plantations, Proletarians and Peasants in Colonial Asia** (London, 1992), pp. 68-86.  
 Murray, Martin J., "White Gold' or 'White Blood'?: The Rubber Plantations of Colonial Indochina, 1910-40," in, E. Valentine Daniel, Henry Bernstein, and Tom Brass, eds., **Plantations, Proletarians and Peasants in Colonial Asia** (London, 1992), pp. 41-67.  
 Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 119-30.  
 Scott, James C., **Moral Economy of the Peasant** (New Haven, 1976), pp. 56-90.

{N.B.: Thursday, March 3, Take-Home Midterm Exam Distributed in Class}

**WEEK 7 (March 1): HIGH COLONIALISM**Required Reading:

Friend, Theodore, **Blue Eyed Enemy: Japan Against the West in Java and Luzon, 1942-1945** (Princeton, 1988), pp. 14-53.\*  
 Steinberg, David J., ed., **In Search of Southeast Asia** (New York, 1971), pp. 173-218.  
 Trocki, Carl A., "Political Structures in the Nineteenth and Early Twentieth Centuries," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 79-127.

Reading for Texture:

Maugham, W. Somerset, **The Complete Short Stories W. Somerset Maugham** (New York, 1934), pp. 267-95 ("The Outstation"), 296-321 ("The Yellow Streak.")  
 Orwell, George, "Shooting an Elephant," **A Collection of Essays by George Orwell** (New York, 1954), pp. 154-62.  
 Orwell, George, **Burmese Days** (London, 1934), chapters 1-3.  
 Tam Lang, "I Pulled a Rickshaw," in, Greg Lockhart and Monique Lockhart, trans., **The Light of the Capital** (New York, 1996), pp. 51-120.

Suggested Reading:

May, Glenn A., **Social Engineering in the Philippines: The Aims, Execution, and Impact of American Colonial Policy, 1900-1913** (Westport, 1980), pp. 3-38.

Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 131-62.

Sutherland, Heather, **The Making of a Bureaucratic Elite** (Singapore, 1979), pp. 1-18.

Taylor, Robert H., **The State in Burma** (Honolulu, 1987), pp. 66-147.

{N.B.: Tuesday, March 8, Midterm Exam Due at Start of Class}

## WEEK 8 (March 8): ORIGINS OF NATIONALISM

### Required Reading:

Anderson, Benedict, **Imagined Communities: Reflections on the Origin and Spread of Nationalism** (London, 1983), pp. 1-46.

Benda, Harry, "Political Elites in Colonial Southeast Asia," **Continuity and Change in Southeast Asia: Collected Journal Articles of Harry J. Benda** (New Haven, 1972), pp. 186-204.\*

Cushner, Nicholas P., **Spain in the Philippines** (Quezon City, 1971), pp. 210-29.\*

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 247-81, 292-311.

### Reading for Texture:

Rizal, Jose, **Noli Me Tangere** (Makati City, 1996), pp. 31-40 (chapter 6), 123-30 (chapter 21), 423-31 (chapter 50).

Truong Buu Lam, **Patterns of Vietnamese Response to Foreign Intervention: 1858-1900** (New Haven, 1967), pp. 66-72.

### Suggested Reading:

Ileto, Reynaldo, **Pasyon and Revolution: Popular Movements in the Philippines, 1840-1910** (Manila, 1979), pp. 37-91.

Ileto, Reynaldo C., "Religion and Anti-Colonial Movements," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992) pp. 193-244.

Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 163-80

## WEEK 9 (March 15): MODERN NATIONALIST MOVEMENTS

### Required Reading:

Anderson, Benedict, **Imagined Communities: Reflections on the Origin and Spread of Nationalism** (London, 1983), pp. 113-54, 163-85.

Kratoska, Paul, and Ben Batson, "Nationalism and Modernist Reform," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 245-320.

### Reading for Texture:

U Nu, **Saturday's Son: Memoir of the Former Prime Minister of Burma** (New Haven, 1975), pp. 87-101.

### Suggested Reading:

McCoy, Alfred W., "Quezon's Commonwealth: The Emergence of Philippine Authoritarianism," in, Ruby Paredes, ed., **Philippine Colonial Democracy** (New Haven, 1989), pp. 114-60.

Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 181-95.

Scott, James C., **Moral Economy of the Peasant** (New Haven, 1976), pp. 114-56.

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 282-91, 312-45.

{N.B.: SPRING BREAK, March 19-27}

**WEEK 10 (March 29): WORLD WAR II & JAPANESE OCCUPATION**

Required Reading:

- Anderson, Benedict, **Java in a Time of Revolution: Occupation and Resistance, 1944-46** (Ithaca, 1972), pp. 1-60.\*
- Anderson, B.R. O'G., "Japan: 'The Light of Asia,'" in, Josef Silverstein, ed., **Southeast Asia in World War II: Four Essays** (New Haven, 1966), pp. 13-31.\*
- Benda, Harry J., "The Japanese Interregnum in Southeast Asia," in, Grant Goodman, ed., **Imperial Japan and Asia: A Reassessment** (New York, 1967), pp. 65-79.\*
- McCoy, Alfred, "Introduction," in, Alfred W. McCoy, ed., **Southeast Asia Under Japanese Occupation** (New Haven, 1980), pp. 1-11.\*
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 349-55.

Reading for Texture:

- Baw Maw, **Breakthrough in Burma** (New Haven, 1968), pp. 51-137.
- Keith, Agnes Newton, **Three Came Home** (Boston, 1947), pp. 29-44.
- Tran Van Mai, "Who Committed This Crime," in, Ngo Vinh Long, **Before the Revolution** (New York, 1973), pp. 221-276.
- U Nu, **Saturday's Son: Memoir of the Former Prime Minister of Burma** (New Haven, 1975), pp. 102-34.

Suggested Reading:

- Cheah Boon Kheng, "The Social Impact of the Japanese Occupation of Malaya (1942-1945)," in, Alfred W. McCoy, ed., **Southeast Asia Under Japanese Occupation** (New Haven, 1980), pp. 91-124.
- Reid, A.J.S., "Indonesia: From Briefcase to Samurai Sword," in, Alfred W. McCoy, ed., **Southeast Asia Under Japanese Occupation** (New Haven, 1980), pp. 16-32.
- Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 199-211.
- Steinberg, David J., "The Philippine 'Collaborators': Survival of an Oligarchy," in, Josef Silverstein, ed., **Southeast Asia in World War II: Four Essays** (New Haven, 1966), pp. 67-86.
- Truong Buu Lam, "Japan and the Disruption of the Vietnamese Nationalist Movement," in, Walter E. Vella, ed., **Aspects of Vietnamese History** (Honolulu, 1973), pp. 237-70.

{N.B.: Tuesday, April 5, Essay Outlines Due at Start of Class.}

**WEEK 11 (April 5): NATIONAL REVOLUTIONS**

Required Reading:

- Huynh Kim Khanh, "The Vietnamese August Revolution," **Journal of Asian Studies** 30:4 (1970), pp. 761-82.\*
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 356-73.

Suggested Reading:

- Anderson, Benedict, **Java in a Time of Revolution: Occupation and Resistance, 1944-46** (Ithaca, 1972), pp. 125-66.
- Friend, Theodore, **Blue-Eyed Enemy: Japan against the West in Java and Luzon, 1942-1945** (New Haven, 1988), pp. 211-39.
- Marr, David, "World War II and the Vietnamese Revolution," in Alfred W. McCoy, ed., **Southeast Asia Under Japanese Occupation** (New Haven, 1980), pp. 125-51.
- Marr, David, **Vietnam 1945: The Quest for Power** (Berkeley, 1995), pp. 347-401.
- Reid, A.J.S., **The Indonesian Revolution** (Melbourne, 1974), pp. 19-76

- Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 212-33.
- Wolf, Eric R., **Peasant Wars of the Twentieth Century** (New York, 1969), pp. 159-207.

## WEEK 12 (April 12): COMMUNIST MOVEMENTS

### Required Reading:

- Fegan, Brian, "The Social History of a Central Luzon Barrio," in, Alfred McCoy & Ed. C. de Jesus, eds., **Philippine Social History** (Honolulu, 1982), pp. 91-130.\*
- Kerkvliet, Ben, **The Huk Rebellion** (Berkeley, 1977), pp. 1-25, 110-55.\*
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 374-86.

### Reading for Texture:

- Greene, Graham, **The Quiet American** (New York, 1996), chapters 1-5 (Part I).
- Lartéguy, Jean, **Yellow Fever** (New York, 1965), pp. 191-276.
- Pomeroy, William J., **The Forest** (New York, 1963), pp. 7-44.
- Suyin, Han, **And the Rain My Drink** (London, 1956), chapters 1-4 (pp. 11-69).
- Taruc, Luis, **Born of the People** (New York, 1954), pp. 17-54.
- Truong Nhu Tang, **A Vietcong Memoir: An Inside Account of the Vietnam War and Its Aftermath**, with David Chanoff and Doan Van Toai (New York, 1985), pp. 1-32, 63-80.

### Suggested Reading:

- Duiker, William J., **The Communist Road to Power in Vietnam** (Boulder, 1981), pp. 7-55.
- Lintner, Bertil, **The Rise and Fall of the Communist Party of Burma** (Ithaca, 1990), pp. 1-54
- Mortimer, Rex, "Traditional Modes and Communist Movements: Change and Protest in Indonesia," in, J.W. Lewis, ed., **Peasant Rebellion and Communist Revolution in Asia** (Stanford, 1974), pp. 99-123.
- Stenson, Michael, "The Ethnic and Urban Bases of the Communist Revolution in Malaya," in, J. Lewis, ed., **Peasant Rebellion and Communist Revolution in Asia** (Stanford, 1974), pp. 125-50.

{N.B.: Tuesday, April 19, Essays Due at Start of Class.}

## WEEK 13 (April 19): DECLINE OF DEMOCRACY

### Required Reading:

- Crouch, Harold, "The Trend to Authoritarianism: The Post-1945 Period," in, Harry Aveling, ed., **The Development of Indonesian Society** (St. Lucia, 1979), pp. 166-204.\*
- Kingsbury, Damien, **The Politics of Indonesia** (Oxford, 1998), pp. 39-70.\*
- Scott, James, "The Erosion of Patron-Client Bonds and Social Change in Southeast Asia," **Journal of Asian Studies** 32:1 (1972), pp. 5-37.\*
- Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 394-404, 418-30, 431-42.
- Thompson, Mark R., **The Anti-Marcos Struggle: Personalistic Rule and Democratic Transition in the Philippines** (New Haven, 1995), pp. 15-48.\*

### Reading for Texture:

- Anderson, Benedict R. O'G., and Ruchira Mendiones, eds. and trans., **In the Mirror: Literature and Politics in Siam in the American Era** (Bangkok, 1985), pp. 109-140. (Witthayakon Chiangkun's "As If It had Never Happened"; Lao Khamhon's "You'll Learn Soon Enough.")



Stewart, Frank, and John H. McGlynn, **Silenced Voices** (Honolulu, 2000), pp. 13-23, 193-198, 203-213 (Ahmad Tohari's "Village Dancer"; Abdul Latief's "I, the Accused"; Seno Gumira Ajidarma's "The Incident.")

Suggested Reading:

Anderson, Benedict, "Cacique Democracy in the Philippines," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 192-226.

Anderson, Benedict, "Elections in Southeast Asia," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 265-84.

Hewison, Kevin J., "The State and Capitalist Development in Thailand," in, Richard Higgott & Richard Robison, eds., **Southeast Asia: Essays in the Political Economy of Structural Change** (London, 1985), pp. 266-293.

Owen, Norman, "Economic and Social Change," in, Nicholas Tarling, ed., **The Cambridge History of Southeast Asia: Volume Two** (Cambridge, 1992), pp. 467-525.

Ricklefs, M.C., **A History of Modern Indonesia Since c. 1300** (Stanford, 1993), pp. 237-83.

**WEEK 14 (April 26): DICTATORSHIP**

Required Reading:

Anderson, Benedict R., "Old State, New Society: Indonesia's New Order in Comparative Historical Perspective," **Journal of Asian Studies** 42 (1983), pp. 477-96.\*

Kingsbury, Damien, **The Politics of Indonesia** (Oxford, 1998), pp. 77-98, 198-218.\*

Schwarz, Adam, **A Nation in Waiting: Indonesia in the 1990s** (Boulder, 1994), pp. 24-48, 133-61.\*

Steinberg, David J., ed., **In Search of Southeast Asia** (Honolulu, 1987), pp. 387-93.

Thompson, Mark R., **The Anti-Marcos Struggle: Personalistic Rule and Democratic Transition in the Philippines** (New Haven, 1995), pp. 49-63.\*

Wurfel, David, **Filipino Politics: Development and Decay** (Ithaca, 1988), pp. 114-53.\*

Reading for Texture:

Ali, S. Husin, **Two Faces: Detention without Trial** (Kuala Lumpur, 1996), pp. v-vi, 1-37, 151-165.

Roeder, O.G., **The Smiling General: President Soeharto of Indonesia** (Jakarta, 1969), pp. 1-3, 7-45, 93-135, 139-71, 179-89.

Spence, Hartzell, **Marcos of the Philippines** (Cleveland, 1969), pp. 7-48, 123-93, 298-324.

Suggested Reading:

Bresnan, John, **Managing Indonesia: The Modern Political Economy** (New York, 1993), pp. 7-28.

Emmerson, Donald K., "Understanding the New Order: Bureaucratic Pluralism in Indonesia," **Asian Survey** 23, no. 11 (1983), pp. 1220-1239.

Ricklefs, M.C., **A History of Modern Indonesia Since c.1300** (Stanford, 1993), pp. 284-309.

Robison, Richard, "Class, Capital and the State in New Order Indonesia," in, Richard Higgott & Richard Robison, eds., **Southeast Asia: Essays in the Political Economy of Structural Change** (London, 1985), pp. 295-335.

**WEEK 15 (May 3): PEOPLE-POWER REVOLUTIONS**

Required Reading:

Hewison, Kevin, "Emerging Social Forces in Thailand: New Political and Economic Roles," in, Richard Robison and David S.G. Goodman, eds., **The New Rich in**

- Asia: Mobile Phones, McDonald's and Middle Class Revolution** (London, 1993), pp.137-57.\*
- McCargo, Duncan, **Chamlong Srimuang and the New Thai Politics** (New York, 1997), pp. 19-66, 239-74.\*
- Sidel, John T., "Macet Total: Logics of Circulation and Accumulation in the Demise of Indonesia's New Order," **Indonesia** 66 (October 1998), pp. 159-94.\*
- Thompson, Mark R., **The Anti-Marcos Struggle: Personalistic Rule and Democratic Transition in the Philippines** (New Haven, 1995), pp. 114-61.\*

Reading for Texture:

- Aung San Suu Kyi, **Letters from Burma** (New York, 1996), pp. 3-17, 23-25, 43-45, 71-81, 107-109, 119-129, 135-137, 147-157, 179-197, 203-209.
- Pascal Khoo Thwe, **From the Land of the Green Ghosts: A Burmese Odyssey** (New York, 2002), pp. 155-213.

Suggested Reading:

- Anderson, Benedict, "Withdrawal Symptoms," **The Spectre of Comparisons: Nationalism, Southeast Asia and the World** (London, 1998), pp. 139-173.
- Emmerson, Donald K., "Exit and Aftermath: The Crisis of 1997-98," in, Donald K. Emmerson, ed., **Indonesia Beyond Suharto: Polity, Economy, Society, Transition** (Armonk, 1999), pp. 295-343.
- Hedman, Eva-Lotta E. and John T. Sidel, **Philippine Politics and Society in the Twentieth Century** (London, 2000), pp. 13-29.
- McCoy, Alfred W., **Closer Than Brothers: Manhood at the Philippine Military Academy** (New Haven, 1999), chapter 7.
- Wurfel, David, **Filipino Politics: Development and Decay** (Ithaca, 1988), pp. 233-324.

{N.B.: Final Examination on *Monday, May 9, at 2:45 p.m.*}

**IV. ESSAY TOPICS:-**

- 1.) Analyze the impact of export agriculture upon the emergence of the modern Filipino elite in the 19th and 20th centuries.
- 2.) Discuss the historical causality of the outbreak and defeat of the Philippine Revolution of 1896.
- 3.) Explain the factors underlying the Filipinos unique allegiance to its colonial power, the United States, during World War II.
- 4.) Would you agree with Benedict Kerkvliet that the Huk peasant rebellion after World War II can be traced largely to the decline of patron-client relations, or did it spring from more complex factors?
- 5.) Assess the impact of American colonialism upon Philippine society and politics.
- 6.) Analyze the impact of the culture system upon Java in the 19th and early 20th centuries.
- 7.) Explain the rise of secular nationalism in Indonesia before and during World War II, and assess its success in achieving its goals.
- 8.) Explain the impact of the Japanese occupation on Indonesia in terms of social, economic and political change.
- 9.) Analyze the factors underlying Indonesia's move from parliamentary democracy to military dictatorship in the two decades after World War II.

- 10.) Can the events of September 1965 in Indonesia be interpreted as a failed communist coup?
- 11.) Analyze the reasons for the failure of armed communist uprisings by the Malayan Communist Party and the Philippine Huks.
- 12.) Would it be correct to style Thailand's Chakri dynasty from 1782 to 1850 as a typical Hindu-Buddhist mainland Southeast Asian state?
- 13.) Is it right to call Mongkut (1851-1868) a “modern” monarch in contrast to his predecessors?
- 14.) In what sense did the reforms of King Chulalongkorn lead to the “Revolution” of 1932?
- 15.) Why did the military dominate the Thai government from the 1930s to the 1970s?
- 16.) Were pre-colonial states in Southeast Asia “feudal,” “oriental despotisms,” “segmental states” or something else?
- 17.) Drawing evidence from two or more countries and using appropriate theory, analyze the impact of colonial rule on the evolution of the modern Southeast Asian state since World War II.
- 18.) Compare the conditions of slavery in Siam with those in the Sulu Sultanate.
- 19.) Compare the character of nationalist opposition to colonialism in any two Southeast Asian colonies.
- 20.) Compare the reasons for the failure of the communist parties in postwar Indonesia and the Philippines.
- 21.) Analyze the difference in the impact of export agriculture upon peasant societies in Central Luzon and Lower Burma.
- 22.) In what way did the Japanese occupation during World II transform Southeast Asian political history? Draw your evidence from across the region, or compare any two or three countries.
- 23.) Compare the economic and political impact of Dutch colonialism on Indonesia with Spanish and American colonialism upon the Philippines.
- 24.) Explain the persistence of authoritarian rule in Indonesia, the Philippines, and Thailand.
- 25.) Compare the character of authoritarian leadership in postwar Indonesia and the Philippines, and explain why Suharto's rule proved much more tenacious than Marcos's.
- 26.) Explain the causality underlying the mass urban uprisings against authoritarian rule in the Philippines (1986), Thailand (1991), and Indonesia (1998).

## **V. HOW TO WRITE A RESEARCH ESSAY—THE THREE-STEP METHOD:**

### 1.) *STEP ONE--Reading & Research:-*

#### a.) Sources/Research:

- 1.) All good essays begin with the three “Rs”—reading, research, and reflection.
- 2.) Like most essays, a History term paper is a distillation of its author's reading and reflections upon the subject whose quality usually reflects the depth of the author's research.

b.) How to Read for an Essay:

- 1.) Using the course syllabus, begin with a general text to get an overview of the problem.
- 2.) Using the syllabus or textbooks, select more specific sources.
- 3.) As you read, begin forming ideas in your mind about:
  - a.) your overall hypothesis, and;
  - b.) the evidence you will need to support your argument.
- 4.) As you read, take notes, either on paper, or in the margin of a photocopy of the source. As you take notes, make sure you have the bibliographic information for your source: author, title, place of publication, publisher, and relevant pages.
- 5.) Towards the end of your reading, draw up an outline of the essay. If you are missing sources for your argument, then do some supplemental reading.

c.) Citing Sources:

- 1.) Assuming three paragraphs per page, you should have a minimum of one source or note per paragraph.
- 2.) Every idea that is not your own and every major body of data you use in your essay should be sourced. In particular, quotations must be sourced.
- 3.) Use endnotes or footnotes in the following format:

25. Alfred W. McCoy, ed., *Southeast Asia Since 1800* (Madison: University of Wisconsin Press, 1989), pp. 134-35.

- 4.) For a second, non-sequential citation of the same work use a short citation:

27. McCoy, *Southeast Asia Since 1800*, pp. 77-78.

- 5.) If you are citing the same source in sequence, use Ibid for second citation.

27. McCoy, *Southeast Asia Since 1800*, pp. 77-78.

28. Ibid., pp. 79-80.

29. Ibid., pp. 80-81.

- 6.) For details, see, The University of Chicago Press, *A Manual of Style*.

2.) STEP TWO—Framing the Argument:-

a.) Outline: With your reading done, restrain the urge to plunge right into writing and instead take some time to outline your argument. Begin by articulating the single, central question you will ask and answer in the course of this paper. Next, write a one- or two-page outline of your essay's basic components, which are discussed below.

b.) Overall structure: Almost every scholarly essay has three basic components—(1.) the problem/hypothesis, (2.) the evidence/argument, and (3.) the conclusion. To summarize very broadly, the introduction asks a question and poses a hypothesis, the argument arrays evidence to establish that hypothesis, and the conclusion reflects upon the original hypothesis in light of the evidence presented.

Of these three elements, the opening hypothesis is, by far, the most difficult and the most essential for the success of your essay. In your opening paragraph, try to stand back from the mass of material you have read and articulate an historical thesis, which usually explains causality (why events occurred) or analyzes significance (the particular import of an event or a pattern of events). Then identify the key elements--whether factors, themes, or topics--that you will explore to test your thesis in the

second part of the essay, the analytical narrative that contains the evidence to support your thesis.

By the time you start writing, you should be able to summarize your argument in the essay's first sentence with something akin to the following formulation: "By applying A to B, the essay will establish C." To illustrate, an essay on the impact of the Japanese occupation on emerging nationalism in Southeast Asia, might begin: "By comparing the Japanese occupation of Indonesia and the Philippines, we can see that World War II produced a spectrum of varying impact upon the emergence of new nations across Southeast Asia."

Here are some further reflections on each of these three sections:

- 1.) Hypothesis: In your introduction, state your thesis clearly.
  - a.) If necessary, you should give your definition of any key terms that require a specific usage (e.g., "revolution.")
  - b.) In stating your problem, refer to the literature in the syllabus.
  - c.) A standard and often effective device is to identify two differing schools of thought about a single problem and offer resolution.
  - d.) Make sure you are examining the main point, not a secondary or side issue.

- 2.) The Evidence: In the middle part of your essay, you must present evidence—through an analytical intertwining of events and factors—to deal with the problem posed at the beginning of your essay. Be specific. Give the reader a brief narrative of an event grounded in some statistical or anecdotal evidence.

- 3.) The Conclusion: In the final page or two of your essay, reflect on the problem as stated in the introduction in light of the evidence you presented in the middle part of the essay. Stretch the data you present for clarity, but do not exaggerate or over-extend the usefulness of your data.

c.) Level of Argument: Some students produce papers that fill all the demands of the format but do not produce an argument that fully engages the problem.

- 1.) Drawing upon reading and lectures, try to frame an argument that seems to address the question in the most direct and significant manner possible, drawing the most convincing evidence to support the case you are making.
- 2.) By reading several sources with diverse viewpoints and reflecting on the authors' approaches, you can define an appropriate question and level of analysis.

d.) Nature of History Questions: Whether in books or courses such as this one, History usually explores the study of change in human communities, societies or nations over time. In general, History essays ask you to explain two key aspects of such change: *causality*, that is the underlying reasons for long-term change; or *significance*, that is the import or impact of an event upon a society over the longer term. Thus, most History questions, in both essays and exams, ask you to:

- 1.) analyze the forces or factors that explain how or why a human community changed in a particular way during a specific time period, or;
- 2.) explain the significance or lasting impact that an event, such as a war or revolution, had upon a society in the years following that event.

3.) *STEP THREE—Writing the Essay*:

a.) Procedure:

- 1.) As explained above, start by writing an outline of about 2 pages for a 10 to 15 page essay. Ideally, each projected paragraph in the essay should be a line in your outline.
- 2.) Following your outline, write a first draft taking care to introduce transitional clauses or sentences that allow the reader to follow your argument paragraph by paragraph.

3.) Reading aloud to yourself if necessary, edit the prose to produce a second draft.

b.) Sentences:

- 1.) Each sentence should be a complete sentence with subject, verb, and direct object.
- 2.) Vary your sentences to include short periodic sentences, simple compound sentences, compound sentences with clauses in apposition, and longer sentences communicating detail.

c.) Paragraphs:

- 1.) Start your paragraph with “topic sentence”--that is, a periodic or compound sentence stating the basic message of this particular paragraph and its relation, in brief, to your overall argument.
- 2.) Varying your sentence structure, elaborate and expand this theme into a fully developed paragraph.
- 3.) Within the paragraph, try to link your sentences with words such as “similarly,” “moreover,” and “however” so that they flow from one sentence to another.
- 4.) Paragraphs should not be too long. If you need a rough guide, have 3 paragraphs to a page with 8 to 10 typed lines in each.

d.) Aspire to style:

- 1.) As in all forms of discourse, there is an appropriate style for an academic essay somewhere between the chatty colloquial and the overly formal. Avoid contractions (can't, didn't) and colloquialisms.
- 2.) There is a melody and tempo to good writing. Sensitize your mind's ear to the rhythms of your prose, thus giving written expression to your inner voice.