

**University of Wisconsin-Madison**  
**Department of History**  
**Fall 2007**  
**HISTORY 457: HISTORY OF SOUTHEAST ASIA BEFORE 1800**  
*Thongchai Winichakul*  
**MWF 11:00-11:50 Rm. 1217 the George Mosse Humanities Bldg.**

Located between the Chinese and Indic civilizations, Southeast Asia has been a region of striking diversity where for more than two thousand years the complex indigenous cultures encountered with and were enriched by world's major influences – from major religions like Hinduism, Buddhism, Islam, and Confucianism to Western influences. Yet what makes the region distinctive is also the subtle commonality of local polities, societies and cultures. The complex traditions which have shaped the history of the region are very much alive today despite colonization and Westernization over the past hundred years.

This class explores both the important phases of changes and major issues before colonialism. Among the issues covered in this class are the early settlements, state formation and development from a simple polity to an empire, influences from the Indic, Chinese, and Western civilizations.

**Office Hours** Wed 1:30-3:30/ 5211 Humanities/ Phone 263-8931/ <twinicha@wisc.edu>

**Assignments**

1. Two-three map exercises 20% (instructions in class)
2. Three take-home exams (including the final, no sit-in final exam). 20%-30%-30% (dates and instructions in class)

**Required Textbooks** (available in the Reserves and for purchase).

- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From Early Times to c. 1500* Cambridge University Press.
- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From c. 1500 to c. 1800* Cambridge University Press.
- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, Volume Two: Expansion and Crisis*. Yale University Press.
- Higham, Charles. *The Civilization of Angkor*. 2001.

**Recommended Textbook**

- Reid. *Southeast Asia in the Age of Commerce 1450-1860, vol. 1*
- Wolters, O.W. *History, Culture, and Region in Southeast Asian Perspectives*, revised edition, Ithaca: Southeast Asia Program Publications, Cornell University, 1999

**Readings:** All the *Required Readings* (\*\*) are either the required textbooks or in the Electronic Reserves. The *Recommended* materials (\*) are suggested readings in case students cannot find the required ones to prepare for the class, and in case students are interested to read more on the topics. Many are in E-Reserves as well. Materials in the E-Reserves for this class are accessible via your My UW. Some journal articles can be found in the library's article databases (search: journal titles → choose article databases → search: year or article title or author)

## TOPICS AND SCHEDULE

This schedule may change if needed. Changes will be announced in advance in class.

|                                   |                                                                                                                   |
|-----------------------------------|-------------------------------------------------------------------------------------------------------------------|
| <b>Week 1</b> (Sept 5)            | Introduction – The course: objectives, scope, contents, assignments, reading materials, and other class business. |
| (Sept 7)                          | Land and peoples, diversity and similarity.                                                                       |
| <b>Week 2</b> (Sept 10-14)        | Prehistory and archaeology: geography, settlements and early polity                                               |
| <b>Week 3</b> (Sept 17-21)        | Early states, 2 <sup>nd</sup> to 8 <sup>th</sup> or 9 <sup>th</sup> c.: Indianization vs Localization             |
| <b>Week 4</b> (Sept 24-28)        | The “classical states”, 9 <sup>th</sup> to 14 <sup>th</sup> c. and the 13 <sup>th</sup> c. transition             |
| <b>Week 5</b> (Oct 1-Oct 5)       | What was a “state” in pre 1800 Southeast Asia? <i>mandala</i> , kingdom and empire                                |
| <b>Week 6</b> (Oct 8 -12)         | Early modern development, 15 <sup>th</sup> -18 <sup>th</sup> c.(1) emerging empires                               |
| <b>Week 7</b> (Oct 15-19)         | Early modern development, 15 <sup>th</sup> -18 <sup>th</sup> c.(2) trade and states                               |
| <b>Week 8</b> (Oct 22-26)         | Kingship, power and legitimacy                                                                                    |
| <b>Week 9</b> (Oct 29, 31, Nov 2) | Religions                                                                                                         |
| <b>Week 10</b> (Nov 5-9)          | Slavery, bondage, social stratification                                                                           |
| <b>Week 11</b> (Nov 12-16)        | The Philippines before 1800                                                                                       |
| <b>Week 12</b> (Nov 19, 21)       | The Philippines before 1800 (continue)                                                                            |
| <b>Week 13</b> (Nov 26-30)        | Chinese and other foreigners. Outside influences                                                                  |
| <b>Week 14</b> (Dec 3-7)          | Transitions at the dawn of the colonial period                                                                    |
| <b>Week 15</b> (Dec 10, 12)       | Questions on evidence and historiography, and Conclusion                                                          |

### READING MATERIALS FOR EACH TOPICS

(\*\* = Required; \* = Recommended & substitute for the required ones)

#### ***Introduction: Southeast Asia***

- \* Reid. Southeast Asia in the Age of Commerce 1450-1860, vol. 1, 1-119
- \* Emerson, Donald. "What's in the Name 'Southeast Asia'?" Journal of Southeast Asian Studies, 15 (1984): 1-21.

#### ***Prehistory: migrations, early settlements and polity***

- \*\* Tarling (ed.). Cambridge History of Southeast Asia : From Early Times c. 1500, chap 2 by Peter Bellwood.
- \*\* Higham. The Civilization of Angkor. Chapter 2 and 3

#### ***The early states 2<sup>nd</sup> - 9<sup>th</sup> c.***

- \*\* Higham. The Civilization of Angkor. Chapter 3 and 4
- \*\* Tarling (ed.). Cambridge History of Southeast Asia : From Early Times c. 1500, pp. 137-157 and pp.183-215.

#### ***Indianization vs. Localization***

- \*\*Coedes. The Indianized States of Southeast Asia, 14-35.
- \*\*Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives ,15-26, 107-125.

#### ***The “classical states”, 9<sup>th</sup> - 14<sup>th</sup> c.***

- \*\* Tarling (ed.). Cambridge History of Southeast Asia : From Early Times c. 1500, pp.157-181 and 215-272

\*\* Higham. The Civilization of Angkor. Chapter 5-7

\* David K. Wyatt. "Relics, Oaths and Politics in Thirteenth-Century Siam" Journal of Southeast Asian Studies 32, 1 (Feb 2001): 3-66.

### ***What was a "state"?: kingdom, empire and mandala***

\*\*Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th, 1-22

\*\* Day, Tony. "Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia" Journal of Asian Studies, 55, 2 (1996): 384-409

\*Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives, 27-40, 126-154.

### ***"Pre-modern" development, 15<sup>th</sup> -18<sup>th</sup> c.(1) emerging empires***

\*\*Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap2 by Barbara Watson Andaya; OR

\*\* Lieberman, Victor. "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," Modern Asian Studies 27, 3 (1993): 475-572.

(This article is accessible on-line via journal database. MadCat: Basic Search → Modern Asian Studies → JSTOR → browse the journal → choose year 1993 → the article)

### ***"Pre-modern" development, 15<sup>th</sup> -18<sup>th</sup> c. (2) trade and states***

\*\*Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap3 by Anthony Reid; OR

\*\* Reid. Southeast Asia in the Age of Commerce, vol. 2. 1-61, 204-266.

### ***Kingship, power and moral legitimacy***

\*\*Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, pp.1-69.

\* Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives,15-26.

### ***Religions***

\*\* Tarling (ed.). Cambridge History of Southeast Asia : From Early Times c. 1500, chap 5 by de Casparis and Mabbett

\*\* Tarling (ed.).Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 4 by Barbara Andaya and Yoneo Ishii

\* Reid. Age of Commerce, vol. 2. 132-201.

### ***Slavery, bondage, social stratifications***

\*\* Reid, Anthony. ed. Slavery, Bondage and Dependency in Southeast Asia. 1-43

\*\* Andaya, Barbara ed. Other Pasts: women, gender and history in early modern Southeast Asia. See the articles by Barbara Andaya, and Junko Koizumi, pp. 231-268.

\*\* Jones, Eric. "Fugitive Women: slavery and social change in early modern Southeast Asia" Journal of Southeast Asian Studies, 38 (2), June 2007, pp. 215-245.

\* Reid. Age of Commerce, vol.1. 120-28, 137-172.

\* Reid. Age of Commerce, vol.2. 62-93, 114-131.

### ***The Philippines before 1800***

\*\* Hutterer, Karl. "A Balance of Trade: The Social Nature of Late Pre-Hispanic Philippines"

(published lecture, 1985, 12 pages)

\*\* Scott, William H. "Why Did Tupas Betray Dagami?" in Looking for the Prehispanic Filipino, 1992, pp. 159-170.

\*\* Owen, Norman G. "The Spanish Philippines" in The Emergence of Modern Southeast Asia, 2002, pp. 147-157.

### ***Outside influences, foreigners, and the Chinese***

\*\* Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 1 by Leonard Andaya

\* Reid, Anthony, ed. Sojourners and Settlers: Histories of Southeast Asia and the Chinese, 1996, see the articles by Anthony Reid, G. William Skinner, and Mary Somers Heidhues.

### ***Transition at the dawn of the colonial period***

\*\* Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 10 by Kathirithamby-Wells; OR

\*\* Reid. Age of Commerce, vol.2. chap. 5 (267-325).

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## ***LIST OF MATERIALS IN THE E-RESERVES FOR THIS CLASS***

- Emmerson, Donald. "What's in the Name `Southeast Asia'?" Journal of Southeast Asian Studies, 15 (1984): 1-21.

- Coedes. The Indianized States of Southeast Asia. 1971. pp.14-35.

- Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives, 15-26, 107-125.

- Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14<sup>th</sup> century. ed. A.C. Milner. 1986. pp.1-22.

- Wyatt, David . "Relics, Oaths and Politics in Thirteenth Century Siam," Journal of Southeast Asian Studies, 32, 1 (2001): 3-66.

- Day, Tony. "Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia" Journal of Asian Studies, 55, 2 (1996): 384-409

- Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, 1972. pp.1-69.

- Reid, Anthony ed. Slavery, Bondage and Dependency in Southeast Asia. 1983. pp.1-43.

- Articles by Barbara Andaya, and by Junko Koizumi from Other Pasts: women, gender and history in early modern Southeast Asia, ed. Barbara Andaya, pp. 231-268, notes pp. 318-338

- Andaya, Barbara. "Women and Economic Change: the pepper trade in pre-modern Southeast Asia," Journal of the Economic and Social History of the Orient, 38, 2 (1995): 165-190

- Jones, Eric. "Fugitive Women: slavery and social change in early modern Southeast Asia" Journal of Southeast Asian Studies, 38 (2), June 2007, pp. 215-245.

- Hutterer, Karl. "A Balance of Trade: The Social Nature of Late Pre-Hispanic Philippines" (published lecture, 1985, 12 pages)

- Scott, William H. "Why Did Tupas Betray Dagami?" in Looking for the Prehispanic Filipino, 1992, pp. 159-170.

- Owen, Norman G. "The Spanish Philippines" in The Emergence of Modern Southeast Asia, 2002, pp. 147-157.

- Articles by Anthony Reid, G. William Skinner, and Mary Somers Heidhues, from Sojourners and Settlers: Histories of Southeast Asia and the Chinese, ed. Anthony Reid, 1996.

August 14, 2007

From: Thongchai Winichakul, Professor  
Department of History  
3-8931 twinicha@wisc.edu

To: Reserves Acquisition Office  
College Library

Re: Materials for Reserves and E-Reserves for History 457, Fall 2007

I would like to request the following for my class, History 457: Southeast Asia Before 1800, in the Fall 2007. Enrollment – 55.

1. Please put the following books on Reserves. ALL for three hours. All the books are available for purchase at University Book Store. But all have been used in previous years. There should be copies in our libraries.

- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From Early Times to c. 1500*  
Cambridge University Press.

- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From c. 1500 to c. 1800*  
Cambridge University Press.

- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, Volume Two: Expansion and Crisis*. Yale University Press.

- Higham, Charles. *The Civilization of Angkor*. 2001.

- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, Volume One: Lands Below the Winds*. Yale University Press.

- Wolters, O.W. *History, Culture, and Region in Southeast Asian Perspectives*, revised edition,  
Ithaca: Southeast Asia Program Publications, Cornell University, 1999.

2. Please reactivate the E-Reserves materials for this class, last time taught in 2005.

3. Please add the materials (eight articles) delivered with this letter to the E-Reserves for this class.

Enclosed is the syllabus for this class in Fall 2007. The full list of materials in the E-Reserves is on the last page of the syllabus.

Thank you very much.