

University of Wisconsin-Madison, Department of History
Fall 2004

HISTORY 457: HISTORY OF SOUTHEAST ASIA BEFORE 1800

MWF 11:00-11:50

Rm. 1217, The Mosse Humanities Bldg.

Thongchai Winichakul

Located between the Chinese and Indic civilizations, Southeast Asia has been a region of striking diversity where the complex indigenous cultures encountered with and were enriched by world's major influences – from major religions like Hinduism, Buddhism, Islam, and Confucianism to Westernization-- for more than two thousand years. Yet what makes the region distinctive is also the subtle commonality of local polities, societies and cultures. The complex traditions which have shaped the history of the region are very much alive today despite colonization and Westernization over the past hundred years.

This class explores major issues rather than a chronology or a narrative of major events in the pre-colonial history of the region (roughly before 1800). Among the issues covered in this class are the early settlements, state formation and development from a simple polity to an empire, influences from the Indic, Chinese, and Western civilizations. No prior knowledge is required. But students are expected to work hard to help themselves familiar with significant names, events and chronology.

Office Hours 5211 Humanities/ ph. 263-8931/ Wed 1:30-3:30/ twinicha@wisc.edu

Assignments

1. Two map exercises 10% each = 20% , and Two “Chronology Charts” 10% each = 20% (Instructions and due dates for the “maps” and the “chronological charts” will be given in the class.)
2. Two mid-term take-home exams. Dates and instructions in class. 15% each = 30%
3. Final take-home exam (given Dec 13, due in one week) 30%
4. For the Honor credit: instruction will be given in class.

Textbooks (All are available in the Reserves and for purchase at the University Book Store).

- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From Early Times to c. 1500*
- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From c. 1500 to c. 1800*
Cambridge University Press, 2000.
- Reid, Anthony. *Southeast Asia in the Age of Commerce 1450-1860, Volume One: the Lands Below the Winds*; and *Southeast Asia in the Age of Commerce 1450-1860, Volume Two: Expansion and Crisis*. Yale University Press.
- Higham, Charles. *The Civilization of Angkor*. 2001.
- *History 457 Reader* (available at the Humanities Copy Center Rm. 1611 Humanities)
- Wolters, O.W. *History, Culture, and Region in Southeast Asian Perspectives*, revised edition, Ithaca: Southeast Asia Program Publications, Cornell University, 1999

Readings: All the **Required Readings** (**) are in the textbooks and the Reader for the course. The **Recommended** materials (*) are provided, in case students cannot find the required ones to prepare for the class.

TOPICS AND SCHEDULE

Below are the topics (in order) to be covered in the course. But the schedule may change if extra time is needed for some topics. Any schedule changes will be announced in advance.

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|---|---|
| Week 1 (Sept 3) | Introduction – The course: objectives, scope, contents, assignments, reading materials, and other class business. |
| Week 2 (Sept 6-10) | Intro. to Southeast Asia, its land and peoples, diversity and similarity |
| Week 3 (Sept 13-17) | Prehistory and archaeology: migrations, early settlements and polity |
| Week 4 (Sept 20-24) | The early states, 2 nd to 8 th or 9 th c.: Indianization vs Localization |
| Week 5 (Sept 27 & 29, no class on Oct 1) | The “classical states”, 9 th to 14 th c. and the 13 th c. transition |
| Week 6 (Oct 4-8) | What was a “state” in pre 1800 Southeast Asia? <i>mandala</i> , kingdom and empire |
| Week 7 (Oct 11-15) | “Pre-modern” development, 15 th -18 th c.(1) emerging empires |
| Week 8 (Oct 18-22) | “Pre-modern” development, 15 th -18 th c.(2) trade and states |
| Week 9 (Oct 25- 29) | Kingship, power and legitimacy |
| Week 10 (Nov 1-5) | Religions |
| Week 11 (Nov 8-12) | Slavery, bondage, social stratification |
| Week 12 (Nov 15-19) | Outside influences, foreigners, the Chinese |
| Week 13 (Nov 22, 24) | |
| Week 14 (Nov 29- Dec 3) | Transitions at the dawn of the colonial period |
| Week 15 (Dec 6-10) | Questions on historiography: Is an authentic history of pre-modern Southeast Asia Possible? |
| Week 16 (Dec 13 & 15) | Conclusion |

READING MATERIALS FOR EACH TOPICS

(** = Required; * = Recommended & substitute for the required ones)

Introduction: Southeast Asia

** Reid. Southeast Asia in the Age of Commerce 1450-1860, vol. 1, 1-119

* Emmerson, Donald. "What's in the Name `Southeast Asia'?" Journal of Southeast Asian Studies, 15 (1984): 1-21.

Prehistory: migrations, early settlements and polity

** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, chap 2 by Peter Bellwood.

** Higham. The Civilization of Angkor. Chapter 2 and 3

The early states 2nd - 9th c.

** Higham. The Civilization of Angkor. Chapter 3 and 4

** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, pp. 137-157 and pp.183-215.

Indianization vs. Localization

**Coedes. The Indianized States of Southeast Asia, 14-35.

**Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives ,15-26, 107-125.

The “classical states”, 9th - 14th c.

** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, pp.157-181 and 215-272

** Higham. The Civilization of Angkor. Chapter 5-7

* David K. Wyatt, “Relics, Oaths and Politics in Thirteenth-Century Siam” Journal of Southeast Asian Studies 32, 1 (Feb 2001): 3-66.

What was a “state”?: kingdom, empire and mandala

**Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives, 27-40, 126-154.

**Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th, 1-22

** Day, Tony. “Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia” Journal of Asian Studies, 55, 2 (1996): 384-409

“Pre-modern” development, 15th -18th c.(1) emerging empires

**Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap2 by Barbara Watson Andaya; OR

** Lieberman, Victor. "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," Modern Asian Studies 27, 3 (1993): 475-572.

“Pre-modern” development, 15th -18th c. (2) trade and states

**Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap3 by Anthony Reid; OR

** Reid. Southeast Asia in the Age of Commerce, vol. 2. 1-61, 204-266

Kingship, power and moral legitimacy

**Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, pp.1-69.

**Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives,15-26.

* Heine-Geldern, Robert. Conceptions of State and Kingship in Southeast Asia.(also in the previous years Readers).

Religions

** Tarling (ed.) Cambridge History of Southeast Asia : From Early Times c. 1500, chap5 by de Casparis and Mabbett

** Tarling (ed.).Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap4 by Barbara Andaya and Yoneo Ishii

* Reid. Age of Commerce, vol. 2. 132-201.

Slavery, bondage, social stratifications

** Reid, Anthony. ed. Slavery, Bondage and Dependency in Southeast Asia. 1-43

** Barbara W. Andaya. ed. Other Pasts: women, gender and history in early modern Southeast Asia. See the articles by Barbara Watson Andaya, and by Junko Koizumi, pp. 231-268.

* Reid. Age of Commerce, vol.1. 120-28, 137-172.

* Reid. Age of Commerce, vol.2. 62-93, 114-131.

Outside influences, foreigners, and the Chinese

** Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 1 by Leonard Andaya

* Reid, Anthony, ed. Sojourners and Settlers: Histories of Southeast Asia and the Chinese, 1996, see the articles by Wang Gangwu, Anthony Reid, and G. William Skinner. **This book is in the Reserves.**

Transition at the dawn of the colonial period

** Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 10 by Kathirithamby-Wells; OR

** Reid. Age of Commerce, vol.2. chap. 5 (267-325).

Historiographical Questions

* Reynolds, Craig. "A New Look at Old Southeast Asia," Journal of Asian Studies, 54, 2 (1995): 419-446.

* Aung-thwin, Michael, "The 'Classical' in Southeast Asia: the Present in the Past" Journal of Southeast Asia Studies 26, 1 (March 1995):75-91.

LIST OF MATERIALS IN THE READER FOR HISTORY 457 - SOUTHEAST ASIA BEFORE 1800

(available for purchase at the Humanities Copy Center, and also available in the Reserves)

Coedes. The Indianized States of Southeast Asia. 1971. pp.14-35.

Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives ,15-26, 107-125.

Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th century. ed. A.C. Milner. 1986. pp.1-22.

Day, Tony. "Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia" Journal of Asian Studies, 55, 2 (1996): 384-409

Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, 1972. pp.1-69.

Reid, Anthony ed. Slavery, Bondage and Dependency in Southeast Asia. 1983. pp.1-43.

Andaya, Barbara W. ed. Other Pasts: women, gender and history in early modern Southeast Asia. – the articles by Barbara Watson Andaya, and by Junko Koizumi, pp. 231-268.

Reynolds, Craig. "A New Look at Old Southeast Asia," Journal of Asian Studies, 54, 2 (1995): 419-446.

Note: An important reading that is not in the textbooks, nor in the Reader, but the journal can be found in the Memorial Library and a copy of the article is in the Reserve is Lieberman, Victor. "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," Modern Asian Studies 27, 3 (1993): 475-572.

Supplementary

(The additional materials below are relevant to particular topics, possibly useful for research papers.)

General Supplementary (Useful collections, general history of individual countries, or classic studies.)

- Hall, D.G.E. History of South East Asia.
- Coedes, George. The Making of South East Asia.
- Coedes, George. The Indianized States of Southeast Asia.
- Hall, Kenneth R. and Whitmore, John eds. Explorations in Early Southeast Asian History: the Origins of Southeast Asian Statecraft.
- Ricklefs, M.C. A History of Modern Indonesia c.1300 to the Present.
- Andaya, Barbara and Leonard. A History of Malaysia.
- Wyatt, David K. A Short History of Thailand.
- Chandler, David P. A History of Cambodia.
- Taylor, Keith. The Birth of Vietnam.
- Aung-Thwin, Michael. Pagan: the Origin of Modern Burma.
- Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760.
- Phelan, J.L. The Hispanisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700

For “Prehistory: migrations and early settlements”

- Higham, Charles. The Bronze Age of Southeast Asia, 1-38, 309-336, summary of every chapter, and one of the following chapters : 4,6,7,8.
- Coedes. The Making of South-East Asia. 10-33.
- Smith, R.B. and Watson, W. eds. Early Southeast Asia: Essays in Archaeology, History and Historical Geography. 9-14, 315-336.

For “The early states 2nd to 8th or 9th c.”

- Coedes. The Indianized States of Southeast Asia, 36-96.
- Coedes, George. The Making of South East Asia, 39-70.
- Christie, Jan Wisseman, “Trade and State Formation in the Malay Peninsula, 300 B.C.- A.D.700”, in J. Kathirithamby-Wells and John Villiers eds. The Southeast Asian Port and Polity: Rise and Demise, 39-60.
- Hall, Kenneth. "State and Statecraft in Early Srivijaya," in Explorations in early Southeast Asian History: The Origin of Southeast Asian Statecraft. 61-106.
- Luce, G.H. "The Ancient Pyu," in The Burmese Research Society: 50th Anniversary Publication, vol.2, 307-21; or in Journal of the Burmese Research Society [JBRS] 27 (1937): 239-253.
- Wales, H.G.Q. Dvaravati: the Earliest Kingdom of Siam.

For “Indianization vs. local/indigenization”

- Mabbett, I.W. "The Indianization of Southeast Asia: Reflections on the Pre-Historical Sources," Journal of Southeast Asian Studies 8 (1977): 1-14.
- _____ . " The Indianization of Southeast Asia: Reflections on the Historical Sources," Journal of Southeast Asian Studies 8 (1977): 143-61.
- Aeusrivongse, Nidhi, “Devaraja Cult and Khmer Kingship at Angkot” in Explorations in Early Southeast Asian History, ed. Hall and Whitmore, 107-149.
- Wolters, O.W. “On Telling a Story of Vietnam in the Thirteenth and Fourteenth Century”, Journal of Southeast Asian Studies 26, 1 (March 1995): 63-74.

For “Classical kingdoms/ empires, 9th to 14th c.”

- Coedes. The Indianized States of Southeast Asia, 97-246.
- Coedes, George. The Making of South East Asia, 73-134.
- Hall, Kenneth and John Whitmore, “Southeast Asian Trade and the Isthmian Struggle, 1000-1200 A.D.” ibid., 303-340.
- Aung-Thwin, Michael. Pagan. 71-189.
- Luce, G.H. Old Burma Early Pagan.
- Than Tun. Essays on the History of Buddhism in Burma.
- Bennet, P. "The Fall of Pagan," in Conference under the Tamarind Tree: Three Essays in Burmese History.
- Taylor, Keith. "The Rise of Dai Viet and the Establishment of Thang Long," in Explorations in Early Southeast Asian History, ed. Hall and Whitmore, 149-93.
- Chandler, David P. A History of Cambodia. 55-75.
- Wolters, O.W. The Fall of Srivijaya in Malay History. chap.1-5.
- Kasetsiri, Charvut. The Rise of Ayudhya. chap. 3-4 (30-75).
- Wyatt, David. A Short History of Thailand. 38-53.
- Aung-Thwin, Michael, “The ‘Classical’ in Southeast Asia: the Present in the Past” Journal of Southeast Asia Studies 26, 1 (March 1995):75-91.

For “Pre-modern” development, 15th - 18th c.: political development, emerging empires

- Tana, Li. Nguyen Cochinchina: southern Vietnam in the seventeenth and eighteenth century, 1998.
- Taylor, Kieth W. "Nguyen Hoang and the Beginning of Vietnam's Southward Expansion," in Southeast Asia in the Early Modern Era. ed. Reid, 1993. 42-65, also in History 755 Reader, Fall 1998.)
- Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760.
- Wyatt, David. A Short History of Thailand. pp.52-138.
- Kasetsiri, Charvut. The Rise of Ayudhya. chap.7 (pp. 119-47).
- Mangrai, Sao Saimuang. The Shan States and British Annexation.
- Chandler, David. History of Cambodia. chap.5 (pp.77-98).
- Andaya, Barbara & Leonard. History of Malaysia. pp.62-68, 106-8.

For “Trade and states in the 15th - 18th c.”

- Review article by Victor Lieberman, “An Age of Commerce in Southeast Asia: Problem of Regional Coherence” Journal of Asian Studies 34, 3 (1995): 796-807, which is also a synopsis of Lieberman’s article in Week 6.
- Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993; the articles by Luis Filipe Ferreira Reis Thomaz on “Melaka” (69-90) and by Barbara Watson Andaya, on “Upstream-Downstream Tension,” (122-148).

For “What was a state?”

- Christie, Jan Wisseman, “Negara, Mandala, and Despotism” in Southeast Asia in the 9th to 14th Century, ed. David G. Marr and A.C. Milner, 1986.
- Christie, Jan Wisseman. Raja and Rama: the Classical State in Early Java” in Gesick, Lorraine, ed. Centers, Symbols and Hierarchies: Essays on the Classical States of Southeast Asia, 1983.
- Chutintaranond, Sunait. “Mandala, Segmentary State, and Politics of Centralization in Medieval Ayudhya”, Journal of the Siam Society 78, 1 (1990).

For “Kingship, power, moral legitimacy”

- Hall, K. "An Introductory Essay" Early Southeast Asian History 1-24.
- Geertz, Clifford. "Centers, Kings and Charisma: Reflections of the Symbolic of Power," in Geertz, Local Knowledge. (Also in Culture and Its Creator ed. J. Ben-David and T.N. Clark. 150-71.)
- Gesick, Lorraine, ed. Centers, Symbols and Hierarchies: Essays on the Classical States of Southeast Asia. See the articles by Shelly Errington, Michael Aung-Thwin, and Lorraine Gesick.
- Aung-Thwin, Michael. Pagan. 15-68.
- Kulke, Hermann. The Devaraja Cult.
- Mabbett, I.W. "Devaraja," Journal of Southeast Asian History 10 (1969), 202-223.
- Reynolds, Frank E. and Mani B. Three Worlds According to King Ruang: A Thai Buddhist Cosmology, introduction.
- Shorto, H.L. "The 32 Myos in the Medieval Mon Kingdom," Bulletin of the School of Oriental and African Studies 26 (1963). 572-91.
- Kirsch, A. Thomas. "Kinship, Genealogical Claims, and Societal Integration in Ancient Khmer Society: An Interpretation," in Southeast Asian History and Historiography ed. C.D.Cowan and O.W.Wolters.190-202
- Tambiah, S.J. World Conqueror and World Renouncer. chap.4 [also in Annals of the New York Academy of Sciences 293 (July 1977). 69-97].
- Taylor, Keith. "Authority and Legitimacy in the 11th Century Vietnam," in Southeast Asia in the 9th to 14th Century ed. Marr and Milner, 139-176.

For “Slavery, bondage and social classes”

- Manguin, Pierre. "Shipshape Societies: Boat Symbolism and Political Systems in Insular Southeast Asia,": in Southeast Asia 9th to 14th Century. ed. Marr and Milner, pp. 187-214.
- Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760. pp. 15-32, 63-198.
- Moertono, Soemarsaial. State and Statecraft in Old Java: A Study of the Later Mataram Period, 16th to 19th Century.
- Akin Rabibhadana. The Organization of Thai Society in the Early Bangkok Period, 1782-1873.
- Reid, ed. Slavery, Bondage. see another article by Reid pp.156-81; and by others on individual countries.
- Journal of Southeast Asian Studies 15, 2 (Sept 1984) published papers from the Symposium on Societal Organization in Mainland Southeast Asia Prior to the Eighteenth Century. See the articles by Aung-Thwin, Wyatt, Ebihara, Whitmore.
- Woodside, Alexander. Vietnam and the Chinese Model. chap. 1-2.
- Geertz, Clifford. Agricultural Involution. pp. 38-46.

For “Religions”

- Wolters, O.W. "Khmer 'Hinduism' in the Seventh Century," in Early Southeast Asia ed. Smith and Watson. 427-42. (Also in History 755 Reader, Fall 1998).
- Geertz, Clifford. Religion of Java. pp. 121-30, 227-48.
- Hue-Tam Ho Tai. "Religion in Vietnam: A World of Gods and Spirits," Vietnam: Essays on History, Culture and Society. ed. David W.P. Elliott, 1985. 22-39. (Also in History 755 Reader, Fall 1998)

For “Transition at the dawn of colonialism”

- Kathirithamby-Wells, "Restraints on the Development of the Merchant Capitalism in Southeast Asia before 1800", in Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993, pp.123-148
- Lieberman, Victor, "Was the Seventeenth Century a Watershed in Burmese History" in ibid., Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993, pp.197-249.
- Lieberman. "Structuring of Southeast Asian History" (see week 6), 552-569.
- Boxer, C.R. The Portuguese Seaborne Empire, 1415-1825.
- Smith, George V. The Dutch in Seventeenth Century Thailand.
- Geertz, Clifford. Agricultural Involution. chap.4 (47-82), about the Dutch "Culture" system.
- Phelan, J.L. The Hispanisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700

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Coedes. *The Indianized States of Southeast Asia*. 1971. pp.14-35.

Wolters, O.W. *History, Culture and Region in Southeast Asia Perspectives* ,15-26, 107-125.

Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in *Southeast Asia in the 9th to 14th century*. ed. A.C. Milner. 1986. pp.1-22.

Day, Tony. "Ties That (Un)Bind: Families and States in Pre-modern Southeast Asia" *Journal of Asian Studies*, 55, 2 (1996): 384-409

Anderson, Benedict. "The Idea of Power in Javanese Culture," in *Culture and Politics in Indonesia* ed. Claire Holt, 1972. pp.1-69.

Reid, Anthony ed. *Slavery, Bondage and Dependency in Southeast Asia*. 1983. pp.1-43.

Andaya, Barbara W. ed. *Other Pasts: women, gender and history in early modern Southeast Asia*. – the articles by Barbara Watson Andaya, and by Junko Koizumi, pp. 231-268.

Reynolds, Craig. "A New Look at Old Southeast Asia," *Journal of Asian Studies*, 54, 2 (1995): 419-446.

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