

UNIVERSITY OF WISCONSIN
Department of History

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History 449

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THE EMERGENCE OF INDIA UNDER COMPANY RAJ

The main focus is upon processes by which many communities of very different peoples within the continent (or subcontinent) of India (South Asia) formed enduring political relationships and, in the so doing, entered into relationships with peoples from outside the continent. Of central concern are events leading to political integration or disintegration. Underlying structures of political loyalty and stability are questions about cultural and social discontinuities. Also of special concern are conflicting visions of the past: differing concepts and visions about the nature of mankind and about the nature of such elements as power, time, change, and destiny.

In other words, how did 'India' as we now know it come into being? How was it possible for so many kinds of people, speaking so many different languages, and holding such conflicting values to be incorporated within a single 'commonwealth'? What ingredients went into the making of what eventually became the Indian Empire -- and, thereby, gradually came to form those political systems now known as the Republic of India, Pakistan, Bangladesh, Afghanistan, Nepal, and Sri Lanka?

The story begins with the Mughals, the Marathas, and various regional kingdoms and principalities. These gradually gave way before the rising power of Europeans, culminating in a strange and unique Anglo-Indian contraption: a commercial and socio-political amalgam which came to be known as "Company Raj". It was the East India Company which constructed the Indian Empire; and, thereby, brought 'India' into being. This early modern empire, in turn, became the bedrock upon which the present Republic of India was erected. The process was not without costs and consequences, contingencies and discontinuities: divergent forces and movements ('fissiparous tendencies') have always threatened Indian unity. Eventually, as we now know, these kinds of forces sundered the political unity of the continent.

Seen in broad perspective, successive phases of political development and underlying social relations and political structures accompanied the advent of Modern India. This began with the arrival of the Portuguese coming overseas from Europe (1498) and the Mughals coming overland from Central Asia (1526). Albuquerque and Akbar, between them, heralded new interminglings of alien and indigenous cultures. Dutch and English overseas commerce initiated further changes. Then, out of the creative turmoil of the 18th century one ever-growing political system emerged: - Company Raj. This eventually overshadowed all and, in turn gave rise to 'Crown Raj' (1858-1947) and 'Congress Raj' (and breakaway regimes of Pakistan and Bangladesh).

India today is an emerging world power. Some even feel that India, like China, may someday become a superpower. Americans can hardly afford not to acquaint themselves with the circumstances, complexities and contexts out this emergence. The price of uninformed public opinion and public policy can be high. This course offers an opportunity to see challenges and responsibilities in our shrinking world. A deeper historical understanding of one of the world's major civilizations and of its incorporation within political systems can help us to meet such responsibilities.

REFERENCE WORKS¹

- Burgess, James, *The Chronology of Modern India*. Edinburgh: J. Grant, 1913.
- Davies, C. Collin, *An Historical Atlas of the Indian Peninsula*. Madras and (Oxford: Oxford University Press, 1949, & later editions. Dated, but useful.
- Davis, Kingsley, *The Population of India and Pakistan*. Princeton: Princeton University Press, 1951. A classic, now dated, still insightful.
- Gilbert, W.H., *The Peoples of India*. Washington: 1944. Dated, but still useful.
- Habib, Irfan, *An Atlas of Mughal India*. New Delhi: Oxford University Press, 1983. The latest and best work of its kind.
- Mahar, J. Michael, *India: A Critical Bibliography*. Tucson: University of Arizona Press (for the Association of Asian Studies), 1964, 1966. Starter only. Also see bibliographies by Maureen Patterson and Patrick Wilson.
- Schwartzberg, J., *Historical Atlas of South Asia*. Chicago: University of Chicago Press, 1978. The finest work of its kind; a goldmine.
- Wilson, H.H., *Glossary of Judicial and Revenue Terms for India*. Calcutta and London: W.H. Allen, 1855; Thacker & Spink, &c later editions. Classic, still in print.
- Yule, H. & Burnell, A.C. *Hobson-Jobson: A Glossary of Colloquial Anglo-Indian Words and Phrases...* London: R & K Paul, 1886, 1968. Classic. Crooke.

¹ Works listed here and below are available, on reserve, in various UW Libraries: Memorial Library, College (Helen White) Library, History Library or South Asian Studies Center/Department Library on the 14th Floor of Van Hise Hall.

SCHEDULE OF TOPICS, READINGS, AND SELECTED RESOURCES²

INTRODUCTION

1. General Approaches to India

- [R] P. Spear, *India, Pakistan and the West* (Oxford: 1949,&c).
[R] Ved Mehta, *The New India* (New York: Penguin, 1978).
[R] V.S. Naipaul, *India: A Million Mutinies Now* (New York: Viking, 1991).

2. Historiographic Perspectives

- [R/H] William A. Green and John P. Deasy, Jr., "Unifying Themes in the History of British India, 1757-1858: An Historiographical Analysis," *Albion* 17, 1 (Spring 1985): 15-45.
[R/H] R.E. Frykenberg, "Traditional Processes of Power and Administration in South India...", *IESHR*, 1: 2 (October-December 1963), 1-21.
[R/H] Burton Stein, "Politics, Peasants and the Deconstruction of Feudalism in Medieval India," *Journal of Peasant Studies*, 12, 2/3 (January/April 1985): 54-86.
[R/H] Burton Stein, "The Segmentary State in South Indian History," *Realm and Region in Traditional India*. Durham, NC: Duke, 1977. Ed. by R.G.Fox.

3. Contemporary Perspective and Relevance

- [R] Stephen P. Cohen & Richard L. Park, *India: Emergent Power?* (NY: 1978).

I. CONTEXTUAL ELEMENTS AND ESSENTIALS

1. The Continent: Its Geographic Unity and Diversity
2. The Peoples: Its Demographic and Linguistic Variety

Readings:

- [R] B.H. Farmer, *An Introduction to South Asia* (London & New York: Methuen, 1984), pp. 1-11.
TEXT Penderal Moon, *The British Conquest and Dominion of India* (London: 1989). Introduction.

² Books cited [R] or [R/H] are on reserve in the College Library, the History Department Library, the Memorial Library, or South Asian Studies Library.

Alternative or Parallel Readings: (On Reserve in Memorial and White Libraries).

- [R] Spear, *A History of India - 2* (New York: Penguin, 1965, &c), 11-14. Abbr.: AHOI-2
- [R] Spear, *India, Pakistan, and the West*, 1-32
- [R] Spear, *India: A Modern History* (Ann Arbor: Michigan, 1961, 1972). Abbr.: IAMH.
- [R] Spear, *Oxford History of Modern India* (Oxford: OUP, 1964, &c). Abbr.: OHMI.
- [R] Adam Watson, *A Concise History of India* (London/New York: 1974), 3-38. Abbr.: CHI.
- [R] Stanley Wolpert, *A New History of India* (New York: OUP, 1977), 3-23. Abbr: NHI.

II. SOCIAL STRUCTURES

1. The Family - Kith, Kin, and Lineage (*Vamsha*).
2. The Community - Caste (*Jāti*), Category or Class (*Varna*).
3. The Village - Place, Space and Locality.

Readings:

- [R] Pauline Kolenda, *Caste in Contemporary India: Beyond Organic Solidarity* (Menlo Park CA: Benjamin/Cummings Pub.Co., Inc. 1978), 1-111.

Parallel Readings:

- [R] Charlotte & William Wiser, *Behind Mud Walls, 1930-1960* (Berkeley: UCP, 1969). Classic.
- [R] S.C. Dube, *Indian Village* (New York: Harper, 1967 edition).
- [R] David G. Mandelbaum, "Family, Jati, and Village," *Structure and Change in Indian Society* (Chicago: Aldine, 1968), 29-50. Edited by B.S. Cohn and M. Singer.
- [R] Morton Klass, *Caste: The Emergence of the South Asian Social System* (Philadelphia: 1980).

III. SACRED TRADITIONS

1. Brahmanical, Classical, and 'High' 'Hindu' Cultures
2. Particular, Pluralistic, and Popular Local Cults

Readings: TEXT: &/or CHI, 39-86; NHI, 24-103; MI, 16-36.

Parallel Readings:

- [R] Theodore de Bary, ed., *Sources of Indian Tradition* (New York: ColumbiaUP, 1958).
 [R] Pratima Bowes, *The Hindu Religious Tradition* (London: Routledge & Kegan Paul, 1977).
 [R/SA] T.J. Hopkins, *The Hindu Religious Tradition* (Encino CA: Dickinson, Inc., 1971). Paperback.
 [R] K.M. Sen, *Hinduism* (New York: Penguin A515, 1961 & later).
 [R] J.A.B. Van Buitenen, ed., *Tales of Ancient India* (Chicago: 1959; NY: 1961).

IV. INDO-ISLAMIC LEGACIES

1. Indo-Arabian Belief: Religion and Sacred Language
2. Indo-Persian Style: Schism and Sophistication in Taste
3. Indo-Turkish Might: Organization, Power and Rulership
 - (1) The Delhi Sultanate: Hindustan and Indo-Islamic Hegemony
 - (2) The Deccan Sultanates & the 'Hindu Sultanate' of Vijayanagar
 - (3) The Mughal Expansion & Maratha Reaction (*Fitna*)

Parallel Readings: TEXT: Moon or CHI, 87-128; NHI, 104-167; IAMH, 1-60; and AHOI:2, 1-50.

Selected Resources:

- [R] R.E. Frykenberg "The Study of Delhi: An Analytical Introduction and a Historiographic Overview," *Delhi Through the Ages* (Delhi & Oxford: Oxford University Press), xx-xxxix.
 [R] *Delhi Through the Ages* (New Delhi: OUP 1986), edited by R.E. Frykenberg. Pp 63-128; 129-191; 18-62. Abbr.: DTA. Chapters: Digby, Goswami, Naqvi, Blake, Jackson, Hambly, c.
 [R] André Wink, *Al-Hind: The Making of the Indo-Islamic World* (Leiden/New York: E.J. Brill, 1990. Vol.I: Early Medieval India and the Expansion of Islam.
 [R] André Wink, *Land and Sovereignty in India: Agrarian Society and Politics under the Eighteenth-century Maratha Svarājya* (Cambridge: 1986).

Other Selected Resources:

- [R] S.M. Ikram & A.T. Embree, *Muslim Civilization in India* (New York: Columbia, 1964).
 [R] Simon Digby, *Warhorse and Elephant in the Delhi Sultanate* (Oxford: 1971).
 M.N. Pearson, *Merchants and Rulers of Gujarat: The Response to the Portuguese in the Sixteenth Century* (Berkeley CA: 1976).
 Adam Watson, *War of the Goldsmith's Daughter* (London: 1964).

V. FARANGI ENCLAVES

- 1 The Portuguese Conquests: Goa and Seaborne Empire
- 2 The Dutch Encroachments: Batavia and Maritime/Merchant Empire
- 3 The English Enterprises: Madras and Country Trade
- 4 The French Competition: Pondicherry and Country Power

Parallel Readings:

TEXT: Moon; or AHOI-2, 51-79; NHI, 135-185; CHI, 105-125; I:AMI, 146-184; OHMI, 1-20. MI, 37-43.

Further Selected Resources:

- [R] Arasaratnam, Sinnappah, *Merchants, Companies and Commerce on the Coromandal Coromandel Coast 1650-1740* (New Delhi: OUP, 1986).
- Boxer, C.R., *Four Centuries of Portuguese Expansion, 1415-1825* (Johannesburg and Berkeley: 1972). A Succinct Survey.
- [R] Boxer, C.R., *The Portuguese Seaborne Empire, 1415-1825* (London: 1969).
- [R] Boxer, C.R., *The Dutch Seaborne Empire, 1600-1800* (London: 1965).
- [R] Chaudhuri, K.N., *The Trading World of Asia and the English East India Company, 1660-1760* (Cambridge: CUP, 1978).
- [R] Chaudhuri, K.N., *Trade and Civilization in the Indian Ocean* (Cambridge: CUP, 1985).
- Das Gupta, Ashin, *Malabar in Asian Trade, 1740-1800* (Cambridge: CUP, 1966).
- Das Gupta, Ashin, "The Merchants of Surat, c.1700-50," *Elites in South Asia* (Cambridge: CUP, 1970), edited by E. Leach & S.N. Mukherjee.
- [R] Pearson, M.N., *The Portuguese in India* (Cambridge: CUP, 1987).
- Prakash, Om, *The Dutch East India Company and the Economy of Bengal* (Princeton: PUP, 1985).
- Raychaudhuri, Tapan, *Jan Company in Coromandel, 1605-1690* (The Hague: 1692).

VI. ANGREZI EXPANSION

1. Struggles for Survival: Local Positions, Profits and Power
2. Struggles for Succession: Within the Shadow of Imperium

(1) Commercial Enterprises of the Baniya Company - Mercantile Power

- a) Madras : 1639: - Emergent City-State on the Coromandel
- b) Bombay : 1661: - Emergent City-State and Island Refuge
- c) Calcutta: 1692: - Emergent City-State on the Sundarbans

Parallel Selected Readings:

- [R] R.E. Frykenberg (ed.), *Land Control and Social Structure in Indian History* (Madison: 1969). Chapters by Cohn and Stein, 53-122, 175-216.
- [R/H] R.E. Frykenberg, "The Socio-Political Morphology of Madras: An Historical Interpretation," *Changing South Asia: City and Culture* (London: SOAS, University of London; Hong Kong:, 1982, 21-41. Edited by K.A. Ballhatchet and J.B. Harrison.

Other Selected Resources:

- Athar Ali, M., *The Mughal Nobility Under Aurangzeb* (Aligarh and Bombay: Asia Publishing House, 1968).
- Das Gupta, Ashin, "The Merchants of Surat, c. 1700-50", *Elites in South Asia*, (Cambridge: CUP), edited by E. Leach and S.N. Mukherjee.
- Marshall, P.J., *East Indian Fortunes* (Oxford: 1976).
- [R] Mason, Philip, *The Men Who Ruled India* (New York: 1953; Norton 1985 abridged edition), 3-92. Abbr.: MWRI.
- Prakash, Om, *The Dutch East India Company and the Economy of Bengal, 1630-1720* (Princeton: 1985).
- Richards, J.F., *Mughal Administration in Golconda* (Oxford:1975).
- [R] Schweinitz, Karl de Jr., *The Rise and Fall of British India: Imperialism as Inequality* (New York: Methuen, 1983), 37-117. Abbr.: RFBI.
- Watson, Ian Bruce, *Foundation for Empire: English Private Trade in India, 1659-1760* (New Delhi: Vikas Publishing House, 1980).

(2) Political Engagements of the Company Bahadur - Military Power

- (1) Carnatic Wars: 1745 - 1761, 1763 - 1801
- (2) Bengal Wars : 1757 - 1765, 1772 - 1801
- (2) Deccan Wars : 1750 - 1779, 1792 - 1801 - 1818

Parallel Readings:

TEXT: Moon, 11-38, 39-116, 87-209, 246-61, 314-338. AHOI-2, 81-92; CHI, 125-49; IAMH, 186-214; OHMI, 37-129; RFBI, 86-117; MWRI, 22-75;

Further Selected Resources:

- [R] Philip Mason, *A Matter of Honour* (London & New York: Penguin, 1974)
S.P. Sen, *The French in India* (Calcutta: 1947).

Other Resources:

- H. H. Dodwell, *Dupliex and Clive* (London: 1920).
James Grant Duff, *A History of the Marathas* (London: 1826).
Brijen K. Gupta, *Sirajuddaulah and the East India Company* (Leiden: 1968).
Abdul Majed Khan, *The Transition in Bengal, 1756-1775* (Cambridge: 1969)
Dirk H.A. Kolff, *Naukar, Rajput and Sepoy*: (Cambridge: CUP, 1990).

VII. IMPERIAL ESTABLISHMENT: COMPANY RAJ

1. The Indian Empire and Its Dependencies

- (1) Direct Rule: - *Presidencies* of 'British India'
- The Presidency of Bengal [Fort William]
- The Presidency of Madras [Fort St. George]
- The Presidency of Bombay [Fort.]
- (2) Indirect Rule: - *Residencies* of 'Princely India'
- Delhi and the Princes of Hindustan
- Deccan Princes of Hyderabad and Mysore
- Poona's Peshwa and the Maratha Warlords
- Forest Chiefs and Frontier Barons

2. The 'Home' Government: Direction and Control of Policy and Patronage

- (1) Corporate Direction: The East India Company
- The Charter: Mandate of Incorporation (20 Years)
- The Court of Directors: Chairman and Committees
- The Court of Proprietors: Stockholders
- (2) Cabinet Control: The 'Crown-in-Parliament'
- The 'Board of Control': Chairman, a Cabinet Member
- The British Government: Cabinet and Houses of Parliament
- The British Society of Britain: A 'Limited' Public

Parallel Readings:

- TEXT: Moon, 61-80, 117-32, 148-86, 223-245, 262-306, 245-269.
NHI, 139-49; AHOI-2, 93-144; OHMI, 57-150; IAHI, 207-34; CHI, 129-58.

Judith Brown, *Modern India* (Oxford: 1985), 44-80.

Further Selected Resources:

- Fay, Eliza, *Original Letters from India* (London: Hogarth Press, repr.1987).
 [R] P.J. Marshall, *The New Cambridge History of India: Bengal: The British Bridgehead: Eastern India 1740-1828* (Cambridge: CUP, 1987).
 [R] P.E. Roberts, *India Under Wellesley* (Oxford: 1929).
 [R] Philip [Mason] Woodruff, *The Rulers of India, I* (London: 1954), 93-144.

Other Selected Resources:

- A. Aspinall, *Cornwallis in Bengal* (London: 1931).
 Richard B. Barnett, *North India Between the Empires* (Berkeley: 1980).
 Iris Butler, *The Marquis Wellesley, 1760-1842* (London: 1973).
 C. C. Davies, *Warren Hastings and Oudh* (Oxford: 1939).
 Ainslie T. Embree, *Charles Grant and British Rule in India* (Columbia: 1962)
 Michael H. Fisher, *Clash of Cultures: Awadh, The British and the Mughals* (Riverdale, MD: Riverdale Company, 1987).
 Holden Furber (ed.), *The Private Record of an Indian Governor-Generalship* (Cambridge, MA: HUP, 1933). John Shore (Lord Melville).
 Penderal Moon, *Warren Hastings and British India* (London: 1939).
 Pamela Nightingale, *Trade and Empire in Western India, 1784-1806* (Cambridge: CUP, 1870).
 L.S.S. O'Malley, *Modern India and the West* (Oxford: 194), 1-104.
 C.H. Philips, *The East India Company, 1784-1834* (Manchester: 1940).
 T.G. Percival Spear, *The Nabobs* (Cambridge: 1932).
 T.H. Percival Spear, *Twilight of the Mughals* (Cambridge: 1952).
 Lucy Sutherland, *The East India Company in 18th Century Politics* (Oxford: 1952).

VIII. IMPERIAL POLICY AND PRACTICE - THE "INDIAN EMPIRE"

1. Consolidation and Reform Within Government and Society

- (1) The Impact of *Jamabandi* Systems: Land (Revenue) Settlements
- (2) The Impact of *Adalat* Systems: Courts, Law & Judicial Processes
- (3) The Impact of Modern Education: Cultural Interactions
- (4) The Impact of Radical Religion and Social Reform:

2. Paramount Sway Within and Beyond the Frontiers of India

- (1) Pax Indica: Assam, the Burma Wars, and China
- (2) Pax Indica: The Indian Ocean, Persian Gulf and Red Sea
- (3) Pax Indica: War and Annexations of Sindh and Punjab
- (4) Pax Indica: The Aftermath of Calamity in Afghanistan

Parallel Readings: TEXT: Moon, 421-635.

AHOI-2, 106-57; OHMI, 151-248; IAMI, 235-63; CHI, 129-58; MI, 58-81; RFBI, 118-171. Woodruff, I: 344-80; and II, 1-114: "The Punjab School".

Further Selected Resources:

- Eden, Emily, *Up the Country: Letters written to her sister from the Upper Provinces of India* (London: Virago [Pb. reprint], 198?).
- [R] Bernard S. Cohn, "Structural Change in Indian Rural Society," *Land Control and Social Structure in Indian History* (Madison: UWP, 1969), edited by Frykenberg.
- [R] R. E. Frykenberg, "Modern Education in South India, 1784-1854: Its Roots and Its Role as a Vehicle of Integration under Company Raj", *American Historical Review* 91, 1 (February, 1986), 37-65
- [R/H] R. E. Frykenberg, "The Emergence of Modern Hinduism In the Light of Changing Historical Knowledge: A Reappraisal With Special Reference to South India," *Hinduism Reconsidered* (Heidelberg: South Asia Institute). Edited by Gunther Sontheimer and Hermann Kulke. (27pp.)
- [R/H] [R]E. Frykenberg, *Guntur District, 1788-1848: A History of Local Influence and Central Authority in South India* (Oxford: 1965).
- [R] Maya Gupta, *Lord William Bentinck in Madras and the Vellore Mutiny, 1803-7* (New Delhi: Capital Publishers &c, 1986).
- Patrick Macrory, *Kabul Catastrophe: the story of the disastrous retreat from Kabul, 1842* (Oxford & NY: Oxford University Press [Pb.].)
- Meadows Taylor, Philip, *Confessions of a Thug* (Oxford & NY: OUP [Pb.], 198?).
- [R] Eric Stokes, *English Utilitarians in India* (Oxford: 1959).

Other Selected Resources:

- [R] B.H. Baden-Powell, *Manual of Land Revenue Systems and Land Tenures of British India* (Calcutta: 1882). *Land Systems of British India* (Oxford: 1892, 1894 abridged).
- [R] C.A. Bayly, *Rulers, Townsmen and Bazaars: North Indian society in the age British Expansion, 1770-1870* (Cambridge: CUP, 1983).
- [R] Michael H. Fisher, *A Clash of Cultures: Awadh, The British and the Mughals* (Riverdale

Md.: Riverdale Press, 1987).

- [R] Kenneth Ingham, *Reformers in India: 1793-1833* (Cambridge: CUP, 1958).
 [R] John Kaye, *The Administration of the East India Company* (London: 1853, &c). A classic.
 B.B. Misra, *The Central Administration of the East India Company* (Manchester: 1959).
 L.S.S. O'Malley, *The Indian Civil Service, 1600-1930* (Oxford: 1931).
 [R] Roseberry, J. Royal, III, *Imperial Rule in Punjab: The Conquest and Administration Multan, 1818-1881* (Riverdale, MD.: Riverdale Press, 1987).

Still More Selected Resources:

- W.K. Fraser-Tytler, *Afghanistan: A Study...* (London: OUP, 1950), 47-119.
From Sepoy to Subedar: Being the Life and Adventures of Subedar Sita Ram, A Native Officer of the Bengal Army... (Lahore: 1873; London: 1970). Translated by Lt. Col. Norgate.
 Edited by James Lunt.
 J.W. Kaye, *History of the War in Afghanistan* (London: 1851). Two volumes.
 Oliver Roy, *Islam and Resistance in Afghanistan* (Cambridge: CUP Pb., 1987?). This deals with Russia's recent Afghan adventure.

IX. CLIMACTERIC OF COMPANY RAJ: THE GREAT "MUTINY" AND/OR "REBELLION"

1. The High Noon of Empire: European Arrogance, Blundering, and Ignorance
2. The Deep Insecurity: Fears Among Peasant Lords of Hindustan
3. The Sparks of Conflagration: Betrayal of Sepoy Loyalty and Trust
4. The Rampage, Recovery and Retribution: Legacies of Bitterness
5. The Queen's Proclamation: Company Abolition and Crown Succession
6. The Victorian Veneer: "Colonialism" and "The Raj".

TEXT: Moon, 636-781

Parallel Readings: MI, 81-89; NHI, 201-93; and pages in AHOI-1, IAMH, OHMI, or CHI.

- [R] Sayyad Ahmad Khan, *An Essay on the Causes of the Indian Revolt* (Calcutta: 1860). Translated by Captain W.N. Lees.
 [R] Philip Mason, *A Matter of Honour*, "The Storm," pp. 219-309.
 [R] Eric Stokes, *The Peasant Armed: The Indian Rebellion of 1857* (Oxford: 1986). Edited by C.A. Bayly.

Other Selected Resources:

- [R] Wayne G. Broehl, Jr., *Crisis of the Raj: The Revolt of 1857 through British Lieutenants' Eyes* (Hanover NH and London: Dartmouth, 1986).
- [R] Thomas R. Metcalf, *The Aftermath of Revolt* (Berkeley: UCP, 1964).
- John Pemble, *The Raj, the Indian Mutiny, and the Kingdom of Oudh, 1801-1859* (Hassocks, Sussex: Harvester Press, 1977).
- Edward Thompson, *The Other Side of the Medal* (London: 1925).
- Edward Thompson and G.T. Garratt, *Rise and Fulfillment of British Rule in India* (London: 1934), 435-498, 499-525.