Located between the Chinese and Indic civilizations, Southeast Asia has been a region of striking diversity where the complex indigenous cultures encountered with and were enriched by world's major influences – from major religions like Hinduism, Buddhism, Islam, and Confucianism to Westernization-- for more than two thousand years. Yet what makes the region distinctive is also the subtle commonality of local polities, societies and cultures. The complex traditions which have shaped the history of the region are very much alive today despite colonization and Westernization over the past hundred years.

This class explores major issues rather than a chronology or a narrative of major events in the pre-colonial history of the region (roughly before 1800). Among the issues covered in this class are the early settlements, state formation and development from a simple polity to an empire, influences from the Indic, Chinese, and Western civilizations. No prior knowledge is required. But students are expected to work hard to help themselves familiar with significant names, events and chronology.

Office Hours  5211 Humanities/ ph. 263-8931/ Wed 1:30-3:30/ twinicha@wisc.edu
Assignments
1. Two map exercises 10% each = 20% , and Two “Chronology Charts”10% each = 20%
   (Instructions and due dates for the “maps” and the “chronological charts” will be given in the class.)
2. Two mid-term take-home exams. Dates and instructions in class.  15% each = 30%
3. Final take-home exam (given Dec 13, due in one week) 30%
4. For the Honor credit: instruction will be given in class.

Textbooks (All are available in the Reserves and for purchase at the University Book Store).
- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From Early Times to c. 1500*
- Tarling, Nicholas (ed.). *The Cambridge History of Southeast Asia : From c. 1500 to c. 1800*
- *History 457 Reader* (available at the Humanities Copy Center Rm. 1611 Humanities)

Readings: All the Required Readings (***) are in the textbooks and the Reader for the course. The Recommended materials (*) are provided, in case students cannot find the required ones to prepare for the class.

**TOPICS AND SCHEDULE**
Below are the topics (in order) to be covered in the course. But the schedule may change if extra time is needed for some topics. Any schedule changes will be announced in advance.

**Week 1** (Sept 3)  Introduction – The course: objectives, scope, contents, assignments, reading materials, and other class business.

**Week 2** (Sept 6-10)  Intro. to Southeast Asia, its land and peoples, diversity and similarity

**Week 3** (Sept 13-17)  Prehistory and archaeology: migrations, early settlements and polity

**Week 4** (Sept 20-24)  The early states, 2nd to 8th or 9th c.: Indianization vs Localization

**Week 5** (Sept 27 & 29, no class on Oct 1)  The “classical states”, 9th to 14th c. and the 13th c. transition

**Week 6** (Oct 4-8)  What was a “state” in pre 1800 Southeast Asia? mandala, kingdom and empire

**Week 7** (Oct 11-15)  “Pre-modern” development, 15th - 18th c.(1) emerging empires

**Week 8** (Oct 18-22)  “Pre-modern” development, 15th - 18th c.(2) trade and states

**Week 9** (Oct 25-29)  Kingship, power and legitimacy

**Week 10** (Nov 1-5)  Religions

**Week 11** (Nov 8-12)  Slavery, bondage, social stratification

**Week 12** (Nov 15-19)  Outside influences, foreigners, the Chinese

**Week 13** (Nov 22, 24)

**Week 14** (Nov 29- Dec 3)  Transitions at the dawn of the colonial period

**Week 15** (Dec 6-10)  Questions on historiography: Is an authentic history of pre-modern Southeast Asia Possible?

**Week 16** (Dec 13 & 15)  Conclusion

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**READING MATERIALS FOR EACH TOPICS**

(* = Required; * = Recommended & substitute for the required ones)

**Introduction: Southeast Asia**

** Reid. Southeast Asia in the Age of Commerce 1450-1860, vol. 1, 1-119**


**Prehistory: migrations, early settlements and polity**

** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, chap 2 by Peter Bellwood.**

** Higham. The Civilization of Angkor. Chapter 2 and 3**

**The early states 2nd - 9th c.**

** Higham. The Civilization of Angkor. Chapter 3 and 4**

** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, pp. 137-157 and pp.183-215.**

**Indianization vs. Localization**

** Coedes. The Indianized States of Southeast Asia, 14-35.**

** Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives, 15-26, 107-125.**
**The “classical states”, 9th - 14th c.**
** Tarling (ed.), Cambridge History of Southeast Asia : From Early Times c. 1500, pp.157-181 and 215-272
** Higham. The Civilization of Angkor. Chapter 5-7

**What was a “state”? kingdom, empire and mandala**
** Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives, 27-40, 126-154.
** Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th, 1-22

“Pre-modern” development, 15th -18th c.(1) emerging empires
** Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap2 by Barbara Watson Andaya; OR

“Pre-modern” development, 15th -18th c. (2) trade and states
** Tarling (ed.).The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap3 by Anthony Reid; OR
** Reid. Southeast Asia in the Age of Commerce, vol. 2, 1-61, 204-266

** Kingship, power and moral legitimacy**
** Wolters, O.W. History, Culture and Region in Southeast Asia Perspectives,15-26.
* Heine-Geldern, Robert. Conceptions of State and Kingship in Southeast Asia (also in the previous years Readers).

** Religions**
** Tarling (ed.) Cambridge History of Southeast Asia : From Early Times c. 1500, chap5 by de Casparis and Mabett
** Tarling (ed.).Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap4 by Barbara Andaya and Yoneo Ishii

** Slavery, bondage, social stratifications**
** Reid, Anthony. ed. Slavery, Bondage and Dependency in Southeast Asia. 1-43
Outside influences, foreigners, and the Chinese
** Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 1 by Leonard Andaya

Transition at the dawn of the colonial period
** Tarling (ed.). The Cambridge History of Southeast Asia: From c. 1500 to c. 1800, chap 10 by Kathirithamby-Wells; OR

Historiographical Questions

LIST OF MATERIALS IN THE READER FOR HISTORY 457 - SOUTHEAST ASIA BEFORE 1800
(available for purchase at the Humanities Copy Center, and also available in the Reserves)


Note: An important reading that is not in the textbooks, nor in the Reader, but the journal can be found in the Memorial Library and a copy of the article is in the Reserve is Lieberman, Victor. "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," *Modern Asian Studies* 27, 3 (1993): 475-572.
Supplementary
(The additional materials below are relevant to particular topics, possibly useful for research papers.)

General Supplementary (Useful collections, general history of individual countries, or classic studies.)
- Hall, D.G.E. History of South East Asia.
- Coedes, George. The Making of South East Asia.
- Coedes, George. The Indianized States of Southeast Asia.
- Ricklefs, M.C. A History of Modern Indonesia c.1300 to the Present.
- Wyatt, David K. A Short History of Thailand.
- Chandler, David P. A History of Cambodia.
- Phelan, J.L. The Hispanicisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700.

For “Prehistory: migrations and early settlements”
- Higham, Charles. The Bronze Age of Southeast Asia. 1-38, 309-336, summary of every chapter, and one of the following chapters: 4,6,7,8.
- Coedes. The Making of South-East Asia. 10-33.
- Smith, R.B. and Watson, W. eds. Early Southeast Asia: Essays in Archaeology, History and Historical Geography. 9-14, 315-336.

For “The early states 2nd to 8th or 9th c.”
- Coedes. The Indianized States of Southeast Asia. 36-96.
- Coedes, George. The Making of South East Asia. 39-70.

For “Indianization vs. local/indigenization”
For “Classical kingdoms/ empires, 9th to 14th c.”
- Coedes. The Indianized States of Southeast Asia, 97-246.
- Coedes, George. The Making of South East Asia, 73-134.
- Luce, G.H. Old Burma Early Pagan.
- Chandler, David P. A History of Cambodia, 55-75.
- Wolters, O.W. The Fall of Srivijaya in Malay History, chap.1-5.
- Kasetsiri, Charnvit. The Rise of Ayudhya, chap. 3-4 (30-75).

For “Pre-modern” development, 15th - 18th c.: political development, emerging empires
- Kasetsiri, Charnvit. The Rise of Ayudhya, chap.7 (pp. 119-47).
- Mangrai, Sao Saimuang. The Shan States and British Annexation.
- Chandler, David. History of Cambodia, chap.5 (pp.77-98).

For “Trade and states in the 15th - 18th c.”
- Southeast Asia in the Early Modern Era: Trade, Power and Belief, ed. Anthony Reid, 1993; the articles by Luis Filipe Ferreira Reis Thomaz on “Melaka” (69-90) and by Barbara Watson Andaya, on “Upstream-Downstream Tension,” (122-148).

For “What was a state?”
For “Kingship, power, moral legitimacy”
- Kulke, Hermann. The Dvaraja Cult.
- Reynolds, Frank E. and Mani B. Three Worlds According to King Ruang: A Thai Buddhist Cosmology, introduction.

For “Slavery, bondage and social classes”
- Akin Rabibhadana. The Organization of Thai Society in the Early Bangkok Period, 1782-1873.
- Reid, ed. Slavery, Bondage. see another article by Reid pp.156-81; and by others on individual countries.

For “Religions”

For “Transition at the dawn of colonialism”
- Lieberman. "Structuring of Southeast Asian History" (see week 6), 552-569.
- Boxer, C.R. The Portuguese Seaborne Empire, 1415-1825.
- Smith, George V. The Dutch in Seventeenth Century Thailand.
- Geertz, Clifford. Agricultural Involution. chap.4 (47-82), about the Dutch “Culture” system.
- Phelan, J.L. The Hispanisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700.
LIST OF MATERIALS IN THE READER FOR HISTORY 457 - SOUTHEAST ASIA BEFORE 1800
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HISTORY 457

HISTORY OF

SOUTHEAST ASIA BEFORE 1800

Thongchai Winichakul

University of Wisconsin-Madison