As our world is leaving the Cold War era and entering a new one of economism, it is Asia, and especially Southeast Asia, that shows the signs of rapid economic growth as well as that has wounds and scars of the passing period. Parts of Southeast Asia are enjoying the economic boom incomparable even by any Western country while other parts are among the poorest and most ignored countries in the world as a result of the protracted wars. Most Americans are being exorcised from their past in that region but they know very little about people in those war-zone countries where the past is still present.

Enriched by both the Chinese and Indic civilizations, Southeast Asia was a place of distinct civilizations since the first millennium, a place where most of the world's religions meet (Hinduism, Buddhism, Islam, Confucianism, and Roman Catholic), but also a place of strikingly different local cultures. These cultural traditions had played a great role in the history of the region despite colonization and are very much alive even in the westernized world today. If the understanding of Asia is crucial for a multicultural world tomorrow and for the world of economism in the next century, then the history of Southeast Asian world in the pre-modern time is necessary.

This course is an introduction to Southeast Asia from its beginning up to the eighteenth century. It will explore major themes and topics in social, economic and political history of the whole region as well as major events in each country rather than discussing the history of an individual country in details.

No previous knowledge is required. Nor the ability to memorize all the dates and unfamiliar names is expected. The enthusiasm to learn about other culture, and to study those unfamiliar materials, plus imagination, is what is hoped for.

Lectures and Discussions

Three 50-min. lectures a week on Monday, Wednesday, and Friday, 9:55 a.m. at 1217 Humanities. Another discussion hour, which will be informal and not compulsory, will be at the end of the Friday lecture (at the room to be announced). Questions are welcome both in class and at the end of each lecture.

Office Hours

Room 5211 Humanities Tel: 263-8931
Monday 1:30-2:30 and Wednesday 11:00-12:00 or by appointment
Grading:

Undergraduate

1. Mid-term exam on Week 7 or 8 (the exact date to be announced in advance) -- 20%.

2. A 2,500 word research essay with notes and references at the end of the essay. Due Fri. Nov. 29 -- 50%.

3. Final exam (see the Time-table) -- 30%.

Graduate

1. A review of literature on a particular topic. Maximum 2,000 words. Due Fri. Oct. 18 -- 20%.

2. A 5,000 word research essay with notes and references. Due Fri. Nov. 29 -- 50%.

3. Final exam -- 30%.

[All due dates are non-negotiable]

Note on Reading Materials:

There is no single text for the diversity of southeast Asia, although students will find those books listed in Basic Readings I very useful in this class and as general references in a further study of Southeast Asian history. Some are available in the University Bookstore.

Basic Readings I are books on Southeast Asian history in general. The first two are about pre-modern history. All are in the Reserve Book Room in the College Library. Whenever a book in Basic Reading I is required for a particular week but cannot be found in the Reserve, students should study another one in Basic Readings I, finding the chapter(s) or pages covering the content of that week, as the substitute to the required one.

Basic Readings II are books on the general history of each country in Southeast Asia. Students can consult these books for the interest in a particular country, but in less details and depth than those studies on a specific topic. In addition, these Basic Readings II usually have rich bibliographies on individual countries.

Required Readings are materials (about 100 pp/week) students should read before the lectures each week.

Supplementary Readings are those studies in more details and depth on a specific topic. To write a literature review or a research essay, these materials will be helpful and will be good sources for further references.
Basic Readings I

Coedes George.  The Indianized States of Southeast Asia.  The Making of Southeast Asia.
Hall, D.G.E. History of Southeast Asia.
Cady, John F. Southeast Asia: Its Historical Development.
Walters, O.W. History Culture and Region in Southeast Asian Perspective.

Basic Readings II

Harvey, G.E. History of Burma.
Wyatt, David K. Thailand: A Short History.
Chandler, David P. A History of Cambodia.
Buttinger, Joseph. The Smaller Dragon: A Political History of Vietnam.
Legge, J.D. Indonesia.
Andaya, Barbara and Leonard, A History of Malaysia
Cushner, Nicholas. Spain in the Philippines

Week 1 (Starts Sept. 4): Introduction
1. What is Southeast Asia?
2. Diversity and Similarity

(No required reading)

Week 2 (Sept. 9): Prehistory
1. Early Settlements
2. Migrations

Required:
Coedes, G. The Making of Southeast Asia. pp. 10-33
Higham, Charles. The Archaeology of Mainland of Southeast Asia: From 10,000 B.C. to the Fall of Angkor. ch. 4
Bronson, Bennet. "The Late Prehistory and Early History of Central Thailand with Special Reference to Chansen". in Early Southeast Asia: Essays in Archaeology, History and Historical Geography eds. R.B. Smith and W. Watson pp. 315-36.

Supplementary
Smith, R.B. and Watson, W. Early South East Asia. (see above) pp. 9-14
Pelzer, Karl J. Pioneer Settlement in the Asiatic Tropics: Studies in Land Utilization and Agricultural Colonization in Southeast Asia
Week 3 (Sept 16) Early States (Protohistory): The First Millennium

1. Southeast as a Region
2. Early States

**Required**

Wolters, O.W. *History, Culture and Region in Southeast Asian Perspective*. pp. 34-44

Coedes, G. *The Indianized States of Southeast Asia*. pp. 36-109.

Coedes, G. *The Making of Southeast Asia*. pp. 39-49

**Supplementary**

Higham, Charles. *The Archaeology of Mainland Southeast Asia*. ch. 5


Luce, G.H. "Old Kyause and the Coming of the Burmans." *JBRs*. 42:1, (1959), pp. 75-109

Wales, H.G. Quaritch. *Dvaravati: The Earliest Kingdom of Siam*

Srisakra Vallibhotama. "Political and Cultural Continuities at Dvaravati Sites" in *Southeast Asia in the 9th to 14th Century* David Marr and Milner eds., pp. 229-238.


Bronson, Bennet "The Archaeology of Sumatra and the Problems of Srivijaya" in Smith and Watson eds. *Early South East Asia*. pp. 394-405

Taylor, Keith W. *The Birth of Vietnam*

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Week 4 (Sept. 23) Indianization and Formation of States

1. Formation of States: economic and religious factors
2. Indianization vs. Localization
Required
Hall, Kenneth R. *Maritime Trade and State Development in Early Southeast Asia*. pp. 1-25
Kulke, Hermann, "The Early and Imperial Kingdoms in Southeast Asia History" in D. Marr and A.C. Milner (eds.) *Southeast Asia in the 9th to 14th Century*. pp. 1-22
Coedes, G. *The Indianized States of Southeast Asia*, pp. 14-35
Wolters, O.W. *History, Cultures and Region in Southeast Asian Perspective*, pp. 45-68.
Mabbett, I.W. "The Indianization of Southeast Asia: Reflections on the Historical Sources." *Journal of Southeast Asian Studies* 8:2 (1977), pp. 143-161

Supplementary
Luce, G.H. "Economic Life of the Early Burman" *JBRs* 30 (1940) pp. 283-335
Ishii, Yoneo (ed.) *Thailand: A Rice-Growing Society*, pp. 3-39
Geertz, Clifford, *Agricultural Involution* pp. 12-37
Hall, K.R. *Maritime Trade and State Development in Early Southeast Asia*, pp. 48-168
Mabbett, I.W. "Indianization of Southeast Asia: Reflections on Pre-historic Sources" *Journal of Southeast Asian Studies*. 8:1 (1977) pp. 1-14
Wales, H.G. Quaritch *The Universe Around Them: Cosmology and Cosmic Renewal in Indianized Southeast Asia*

Week 5 (Sept. 30)
Classical States (10th-13th c.)
1. Pagan
2. Angkor
3. Namviet to Vietnam
4. Srivijaya and Java

Required
Coedes, G. *The Indianized States of Southeast Asia* pp. 110-188
Coedes, G. *The Making of Southeast Asia* pp. 73-87

Supplementary
Harvey, G.E. *History of Burma* ch. 2 (pp. 21-70)
Aung-Thwin, Michael. *Pagan: The Origins of Modern Burma*
part III (pp. 71-166)
Luce, G.H. *Old Burma. Early Pagan* 3 vols.
Pe Maung Tin. "Women in the Inscriptions of Pagan" *JBRs* 25:1 (1935) pp. 149-159

Coedes, George. Angkor: An Introduction

Groslier, B.P. Angkor: Hommes et pierres

Higham, Charles. The Archaeology of Mainland Southeast Asia pp. 321-55


Hall and Whitmore, "Southeast Asian Trade and the Isthmian Struggle, 1000-1200 A.D." in Ibid. pp. 303-340


Week 6 (Oct. 7) Power and Kingship

1. Cosmology, Macro/Microcosm  2. Symbolism as Power

Required

Heine-Gedern, Robert V. Conceptions of State and Kingship in Southeast Asia.

Wolters, O.W. History, Culture and Region in Southeast Asian Perspective pp. 1-33.


Supplementary

Reynolds, Frank E. and Mani B., The Three Worlds According to King Ruang: A Thai Buddhist Cosmology, see introduction.


Kulke, Hermann. The Devaraja Cult


Kulke, Hermann, "Kingship, the Sangha and Society in Pagan" in Hall and Whitmore eds. Explorations in Early Southeast Asian History. pp. 205-256.

Gesick, Lorraine "The Rise and Fall of King Taksin: A Drama of A Buddhist Kingship" in Gesick ed. Centers, Symbols and Hierarchies. pp. 87-105.

Tambiah, S.J. World Conqueror and World Renouncer.


Week 7 (Oct. 14) The Thirteenth Century Transition
1. The Declines of Classical States
2. The Mongol Invasion
3. Religions and the Rise of New Powers

Required
Coedes, G. The Indianized States of Southeast Asia pp. 180-234
Supplementary
Wolters, O.W. The Fall of Srivijaya in Malay History. ch. 1-5.
Kasetsiri, Charnvit. The Rise of Ayndhya. ch. 3-4 (pp. 30-75).

Week 8 (Oct. 21) Premodern Kingdoms (14th-15th c.)
Consolidation of Power in the Mainland
Sukhothai, Ayudhya, LanSang, Lanna, Ava (Shan), Taungoo, Annam.

Required
Coedes, A. The Making of Southeast Asia pp. 137-230
(Hall, D.G.E. History of South East Asia. pp. 158-189)

Supplementary
Wyatt, David K. Thailand: A Short History. pp. 52-85
Kasetsiri, Charnvit. The Rise of Ayndhya. ch. 7 pp. 119-147.
Buttinger, Joseph. The Smaller Dragon ch. 3 pp. 129-176.

Week 9 (Oct. 28) Islam and the Archipelago
1. Majapalist 2. Muslim Malacca
3. The Islamic Entrepots in the Archipelago

Required
Cady, J.F. Southeast Asia. ch. 8, pp. 152-171.
Andaya, Barbara and Leonard History of Malaysia. pp. 31-55.

Supplementary
Legge, John D. Indonesia. ch. 3 pp. 49-70.
Pigeaud, Theodore and H.J. de Graaf. Islamic States in Java 1500-1700.
Reid, Anthony and Castles, Lance (eds.) Pre-colonial State Systems in Southeast Asia: The Malay Peninsula, Sumatra, Bali-Lombok, South Celebes.
Ricklefs, M.C. A History of Modern Indonesia c. 1300 to the Present.
Aveling, Harry ed. The Development of Indonesian Society from the Coming of Islam to the Present Day.

Week 10 (Nov. 4) Premodern States and Societies

1. Economics - entrepots, inland states
3. State Mechanism: - Control of Manpower, Internal Rivalries.

Required
Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1680, Vol. 1 The Lands Below the Winds. see pp. 11-31, 90-107, 127-172, 201-225, 229-235.

Supplementary
Geertz, Clifford Agricultural Involvement. pp. 38-46
Moertono, Soemarsaial. State and Statecraft in Old Java: A Study of the Later Mataram Period, 16th to 19th Century.
Aung-Thwin, Michael. "Divinity, Spirit, and Human... (see week 6)
Errington, Shelly. "The Place of Regalia in Luwu" (see week 6).
Reynolds, Frank E. and Mari B. Three Words (see week 6), intro.

The Organization of Thai Society in the Early Bangkok Period, 1782-1873:

Mendelson, E.M. Sangha and State in Burma.


Reid, Anthony (ed.) Slavery, Bondage and Dependency in Southeast Asia see articles by A. Reid, pp. 1-43 and 156-181 and by others on individual countries.


Week 11 (Nov. 11) The 16th-18th c. Mainland: Battle for Supremacy
1. Politics of the Overlordship
2. Politics of Tributaries.

Required
Hall, D.G.E. History of South East Asia ch. 13, 19, 21-24. (Cady, J.F. Southeast Asia pp. 190-194, 260-302)

Supplementary
Wyatt, David K. Thailand: A Short History pp. 86-138

Harvey, G.E. History of Burma ch. 6 pp. 151-216.

Chandler, David P. History of Cambodia. ch. 5 pp. 77-98.
Mangrai, Sao Saimong. The Shan States and British Annexation.

Week 12 (Nov. 18) The Coming of the West (I)
1. The Coming of the West
2. Portuguese Malacca
3. Spain and the Philippines

Required
Hall, D.G.E. History of South East Asia, ch. 11, 12, 17.
Cady, J.F. Southeast Asia ch. 9, 11.

Supplementary (See also week 12)
Reid, A. "Trade and the Problem of Royal Power in Aceh
Three Stages c. 1550-1700" in A. Reid and L. Castles
(eds.) Pre-colonial State Systems. (see week 9)
Meilink-Roelofsz, M.A.P. Asian Trade and European Influence
in the Archipelago between 1500 and about 1630.
Boxer, C.R. The Portuguese Seaborne Empire 1415-1825
Asian Potentates and European Artillery in the
16th-18th centuries" Journal of the Malaysian
Branch of the Royal Asiatic Society 38:2 (1968)
pp. 156-172.
Smith, George V. The Dutch in Seventeenth-Century Thailand
Princes, Nobles and Traders: Ethnicity and
Economic Activity in Seventeenth Century
Thailand" Contributions to Asian Studies 15
Hall, D.G.E. Early English Intercourse with Burma 1587-1743.
Lieberman, Victor B. "Europeans, Trade, and the Unification of
Van Leur, J.C. The Indonesian Trade and Society pp. 159-245.

Week 13 (Nov. 25) The Coming of the West (II)
4. European Rivalries in Southeast Asian Arena
5. The Dutch V.O.C. and the Island States

Required
Cady, J.F. Southeast Asia ch. 10
Hall, D.G.E. History of South East Asia ch. 15, 16
Geertz, Clifford. Agricultural Involution ch. 4.

Supplementary (see also week 12)
Van Leur, J.C. Indonesian Trade and Society pp. 159-245.
Legge, J.D. Indiesia ch. 4.
Aveling, Harry (ed.) The Development of Indonesian Society
from the Coming of Islam to the Present Day.
Furnival, J.S. Colonial Policy and Practice: A Comparative Study of Burma and Netherlands India.

Chauduri, K.N. The Trading World of Asia and the English East India Company 1660-1760.

Ricklefs, M.C. A History of Modern Indonesia from 1300 to Present.

Week 14 (Dec. 2) Twilight of the Old World

Required
Steinberg, David Joel (ed.) In Search of Southeast Asia: A Modern History. see part I pp. 11-91.

Supplementary
Harvey, G.E. History of Burma ch. 7.
Lieberman, Victor B. Burmese Administrative Cycles. ch. 4-5.
Buttinger, Joseph. The Smaller Dragon. ch. 4.
Wyatt, David K., Thailand: A Short History pp. 139-160.

Week 15 (Dec. 9) Summary, Discussion and Questions

Required