In the 1930s and 1940s, Mao Tse-tung and other Chinese Communists organized tens of millions of Chinese peasants into what was certainly the most massive, and perhaps the greatest, revolution in world history. The Chinese revolution took the historically unique form of harnessing the forces of peasant revolt in the rural areas to surround and overwhelm the cities. The political result was the founding of the People's Republic of China in 1949. This course is an inquiry into the post-revolutionary history of Chinese Communism, from the formal establishment of the Communist state in 1949 to the current post-Maoist era of the "Four Modernizations". The inquiry will focus on the successes and failures of Chinese Communists in power, particularly with respect to their proclaimed aim of building a socialist society in the world's most populous land. In a broader sense, the course is concerned with the nature and social results of 20th-century socialist revolutions in general, addressing the question of whether it is possible to carry out a genuine socialist reorganization of state and society under conditions of economic scarcity. The question is relevant to the history of the Soviet Union and to a variety of contemporary Third World societies and revolutionary movements. The examination of the Chinese Communist historical experience hopefully will prove helpful for understanding the dilemmas which today confront other revolutionary movements and societies in various areas of the world.

No prior knowledge of Chinese history is presupposed. The first two weeks of the semester will be devoted to a survey of the history of Marxism and Communism in China from the time of the May Fourth Movement in 1919 to the victory of the Maoist revolution in 1949. This will serve as a review for those who have some familiarity with modern Chinese history, and, for those who do not, as a brief introduction to the history of the People’s Republic.

The course is divided into five parts. Part I, as noted above, will be a brief survey of the Chinese Communist Revolution (1919-1949). Part II will be concerned with the consolidation of Communist state power (1949-52) and the period of the First Five Year Plan (1953-57), focusing on the social and political consequences of Soviet-style industrialization. Part III will examine one of the great utopian episodes in world history, the Great Leap Forward campaign of 1958-60 and its aftermath. Part IV will reassess the Cultural Revolution and the end of the Maoist era (1966-1976). Part V will consider the deradicalization of the Chinese revolution in the years since the death of Mao Tse-tung in 1976 and the social implications of the economic reforms carried out.
by The Deng Xiaoping regime. In pursuing inquiries into these various eras, comparisons between Chinese history and the histories of other post-revolutionary societies (especially the Soviet Union) will be suggested in both lectures and readings.

In addition to the lectures and readings outlined below, there will be weekly one-hour discussion sections. Readings for the discussion sessions will be announced.

The main texts for the course are Carl Riskin, China’s Political Economy (Oxford, 1987) and Maurice Meisner, Mao’s China and After (The Free Press, 1986). These books are available for purchase in paperback editions at The University Bookstore and People’s Bookstore. The latter offers a discount.

For those with a special interest in theoretical and ideological questions, a discussion section with Meisner on the history of Marxism in China will meet at times to be determined.

Course Requirements:

1. A mid-semester exam to be written in-class on Thursday March 21.

2. A final take-home examination based on the lectures and readings listed below. You will be asked to write two short essays, selected from six questions or topics. The exam questions will be distributed on May 9 and your essays will be due on or before May 14.

3. A paper (about 10-12 typewritten pages) selected from one of the topics suggested on the appended pages. Other topics may be chosen in consultation with The TA. Papers are due on Thursday May 2.

4. Participation in discussion sessions on a reasonably regular basis.

Grading:

Mid-semester exam: 20%
Final take-home exam: 30%
Paper: 30%
Participation in discussion sessions: 20%
Lectures and Required Readings:

Part I: The Chinese Communist Revolution, 1919-1949 (Jan. 22 - Feb. 5)

Problems in the Study of Contemporary Chinese History
The Making of a Revolution Situation, China 1839-1919
The Introduction of Marxism and the Revolution of the 1920s
Maoism and Yenan Communism
Marxism, Leninism and Maoism
The Nature of the Chinese Communist Revolution
The Theory of "Peasant Nationalism": its implications for understanding post-1949 Chinese history
Bourgeois and Socialist Aspects of the Chinese Communist Revolution

Readings:

Maurice Meisner, Mao's China and After (The Free Press, 1986), chapters 1-4 (pp. 3-51)
Carl Riskin, China's Political Economy (Oxford, 1987), chapters 1 & 2 (pp. 1-37)

Part II: The New Regime and the First Five Year Plan, 1949-57 (Feb. 7 - Feb. 28)

Modernization, Revolution and Socialism
State and Class in the People’s Republic
Repression, Terror and the Korean War
The Bourgeois Phase: Land Reform and National Capitalism
The First Five Year Plan: Economic Results and Social Consequences
The Agricultural Collectivization Campaign of 1955-56 and the Post-Maoist Critique of Collectivization
The Era of the "Hundred Flowers" (1956-57)
The Anti-Rightist Witchhunt
Socialism and Democracy

Readings:

Meisner, *Mao's China and After*, Chaps. 5-11 (pp. 55-203)
Riskin, *China's Political Economy*, Chaps. 3-5 (pp. 38-113)

Part III: Late Maoism: The Great Leap (March 5-14)

Maoist Utopianism and the Theory of Permanent Revolution
The Great Leap Forward Campaign, 1958-60
Economic and Political Consequences of the Great Leap
The Liuist Interlude: prelude to the Cultural Revolution, 1961-65

Readings:

Meisner, *Mao's China and After*, Chaps. 12-17 (pp. 204-306)
Riskin, *China's Political Economy*, Chaps. 6-7 (pp. 114-183)

**Tuesday March 19:** Review Session

**Thursday March 21:** Mid-semester Exam

March 23 - April 1 - Spring Recess

Part IV: The "Cultural Revolution Decade", 1966-76 (April 2 - April 16)

The Concept of "Cultural Revolution" in Marxism, Leninism and Maoism
Class Struggles and Political Conflicts, 1966-69
Results and Consequences of the Cultural Revolution
"Ultra-Leftism" and Deradicalization: The Rise and Fall of the "Gang of Four"
Maoism and Stalinism
Successes and Failures of the Maoist Era

Readings:

Meisner, *Mao's China and After*, Chaps. 18-21 (pp. 309-432)
Riskin, *China's Political Economy*, Chaps. 8-10 (pp. 184-256)

Part V: Post-Mao China (April 18-May 9)

The Third Plenum and the Ascendance of Deng Xiaoping
The Promise and the Fate of Socialist Democracy: the case of the Democracy Movement
The Post-Mao Critique of Mao and Maoism
The Ideology of Reform
The Decollectivization of Agriculture
The Reorganization of Industry and the Urban Working Class
The Meaning of "Political Reform"
Social Conservatism: the social consequences of the market
Chinese Marxism in the Post-Maoist Era
The Debate on Alienation
Sexual Inequality in Post-Revolution China
Bureaucratic Capitalism and Market Stalinism
The Beijing Massacre: socio-economic origins and political implications
The Future of Chinese Socialism
Readings:

Meisner, *Mao’s China and After*, Chaps. 22-23 (pp. 435-489)
Riskin, *China’s Political Economy*, Chaps. 11-15 (pp. 257-379)

**Suggested Paper Topics and Readings**

Essays should be reasonably concise (about 10 typewritten pages), well argued, and based on the critical reading of two of the listed books. Most of the books should be available on reserve in Helen C. White Library. Other topics can be chosen in consultation with the instructors.

1. **The Nature of the Chinese Communist Revolution; a nationalist or a social revolution?**
   
   Chalmers Johnson, *Peasant Nationalism and Communist Power*
   Mark Selden, *The Yenan Way in Revolutionary China*

2. **The Character of the Kuomintang Regime--and why it collapsed.**
   
   Lloyd Eastman, *The Abortive Revolution*
   Suzanne Pepper, *Civil War in China: The Political Struggle, 1945-49*
   Sterling Seagnore, *The Soong Dynasty*

3. **Maoism as a Variant of Marxism-Leninism.**
   
   Benjamin Schwartz, *Chinese Communism and the Rise of Mao*
   Stuart Schram, *The Political Thought of Mao Tse-tung*
   Maurice Meisner, *Marxism, Maoism, and Utopianism*
   John B. Starr, *Continuing The Revolution: The Political Thought of Mao Tse-tung*

4. **China and the Korean War.**
   
   Allen Whiting, *China Crosses the Yalu: The Decision to Enter the Korean War*

5. **The Nature of the Chinese Communist State.**
   
   Vivienne Shue, *The Reach of the State*
   Theda Skocpol, *States and Social Revolution*
   V. Nee and D. Mozingo (eds.), *State and Society in Contemporary China*
6. Industrialization during the Maoist Era.

   Stephen Andors, *China's Industrial Revolution*
   Barry Richman, *Industrial Society in Communist China*
   Thomas Rawski, *China's Transition to Industrialism*


   Dwight Perkins (ed.), *Rural Small-Scale Industry in the People's Republic of China*
   Jon Sigurdson, *Rural Industrialization in China*


   Emily Honig & Gail Hershatter, *Personal Voices: Chinese Women in the 1980s*
   Delia Davin, *Woman-Work: Women and the Party in Revolutionary China*
   Elisabeth Croll, *Feminism and Socialism in China*
   Marilyn Young (ed.), *Women in China*
   Kay Ann Johnson, *Woman, The Family and Peasant Revolution in China*
   Judith Stacey, *Patriarchy and Socialist Revolution in China*
   Phyliss Andors, *Unfinished Liberation of Chinese Women. 1949-80*
   Margery Wolb, *Revolution Postponed: Women in Contemporary China*

9. The Land Reform Campaigns.

   William Hinton, *Fangshen: A Documentary of Revolution in a Chinese Village*
   C.K. Yang, *A Chinese Village in Early Communist Transition*
   John Wong, *Land Reform in China: Institutional Transformation of Agriculture*

10. Agricultural Collectivization.

    Vivienne Shue, *Peasant China in Transition*
    William Hinton, *Shenfan* (1983), parts 1 and 2 (pp. 5-166)

11. Intellectuals in Communist China.

    Jerome Grieder, *Intellectuals and the State in Modern China*
    Merle Goldman, *China's Intellectuals: Advise and Dissent*
    James McGough (ed.), *Fei Hsiao-tung: The Dilemma of a Chinese Intellectual*
12. The Hundred Flowers Campaign.


Roderick MacFarquhar (ed.), *The Hundred Flowers Campaign and the Chinese Intellectuals*


Harry Harding, *Organizing China: The Problem of Bureaucracy*

Richard Kraus, *Class Conflict in Chinese Socialism*

14. The Chinese Army (PLA).

John Gittings, *The Role of the Chinese Army*

Ellis Joffe, *Party and Army: Professionalism and Political Control in the Chinese Officer Corps*

Livio Maitan, *Party, Army and Masses in China*

Ellis Joffe, *The Chinese Army After Mao*

15. The Cultural Revolution: Leaders and Masses.

Hung Yung Lee, *The Politics of the Chinese Cultural Revolution*

Byung-joon Ahn, *Chinese Politics and the Cultural Revolution*

Neale Hunter, *Shanghai Journal*

Gao Yuan, *Born Red: A Chronicle and the Cultural Revolution*

16. Results of the Cultural Revolution.

Charles Bettelheim, *Cultural Revolution and Industrial Organization in China*

Bill Brugger (ed.), *China: The Impact of the Cultural Revolution*

Anne Thurston, *Enemies of the People*


Klaus Mehnert, *Peking and the New Left: At Home and Abroad*

Hong Yung Lee, *The Politics of the Chinese Cultural Revolution*

Gao Yuan, *Born Red*

Stanley Rosen, *Red Guard Factionalism and the Cultural Revolution in Guangzhou (Canton)*
18. The Sino-Soviet Dispute.

John Gittings, *The World and China, 1922-1972*
Klaus Mehnert, *Peking and Moscow*
Donald Zagoria, *The Sino-Soviet Conflict, 1956-61*
Mark Mancall, *China at the Center*


Thomas P. Bernstein, *Up to the Mountains and Down to the Villages: The Transfer of Youth from Urban to Rural China*
Stanley Rosen, *The Role of Sent-Down Youth in the Chinese Cultural Revolution*

20. Education in the Maoist and Post-Maoist Eras.

Suzanne Pepper, "Chinese Education After Mao: Two Steps Forward, Two Steps Back and Begin Again?" *China Quarterly*, pp. 1-65
Suzanne Pepper, *China's Universities*

21. The Democracy Movement of 1978-81

James D. Seymour (ed.), *The Fifth Modernization: China's Human Rights Movement, 1978-79*
Chen Erjin, *China: Crossroads Socialism*
Roger Garside, *Coming Alive*, Chaps. 10-13
Gregor Benton (ed.), *Wild Lilies, Poisonous Weeds: Dissident Voices from People's China*


Bill Brugger and David Kelly, *Chinese Marxism in The Post-Mao Era*
Su Shaozhi, *Marxism in China*
Bill Brugger (ed.), *Chinese Marxism in Flux, 1978-84*

23. Rural China.

Anita Chan, R. Madsen, and J. Unger, *Chen Village*
Jan Myrdal, *Report from a Chinese Village* and *Return to a Chinese Village*
Fei Hsiao-tung, *Rural Development in China*
Jeon Oi, *State and Peasant in Contemporary China*


Haug Harding, *China's Second Revolution*
Orville Schell, *To Get Rich is Glorious*
William Hinton, *The Great Reversal*
Michael Chossudovsky, *Towards Capitalist Restoration?: Chinese Socialism After Mao*
Arif Dirlik & Maurice Meisner (eds.), *Marxism and The Chinese Experience*

25. Democratic Movements in the 1980s.

Andrew Nathan, *Chinese Democracy*
Orville Schell, *Discos and Democracy*