COURSE NO. 103  
COURSE TITLE Introduction to East Asian History-China  
INSTRUCTOR Yu-sheng Lin

COURSE DESCRIPTION

History 103 is an introductory and yet comprehensive survey of political, social, economic, cultural and philosophical developments in Chinese history from the dawn of Chinese civilization circa 1500 B.C. to the end of the 19th century. History 336 (Social and Intellectual History of China, 1911-49) is a sequel to this course to be offered in the next semester. (its prerequisites will be changed from "sophomore standing" to "sophomore standing or History 103". Though a chronological sequence will be followed, the vast areas of subject matters that are covered in this course necessitates a topical approach to provide depth in understanding the basic patterns and themes of Chinese history. The course is concerned in particular with an elucidation of the essential features of Chinese civilization developed in the classical and traditional period (1500 B.C.—1840 A.D.) and their decline and fall, owing in part to the impact of the West, during the 19th century.

LECTURES

Two lectures per week, supplemented by discussion sessions. Considerable use is made of audio-visual materials in class. Lectures will parallel but not duplicate the contents of the assigned readings.

WRITTEN ASSIGNMENTS AND EXAMINATIONS

1. A mid-term in-class examination (50% identifications; 50% short essays)
2. A final in-class examination (50% identifications; 50% short essays)
3. Two 5-8 page typewritten (double-spaced) reports on some aspects of the assigned readings or on topics of the student's choice approved by the instructor.

GRADING SYSTEM

Report--40%; Exams--50%; Performance and attendance in Discussion Sections--10%.

REQUIRED READINGS

F. Wakenan, The Fall of Imperial China (The Free Press, 1975).
An off-set Reader to be purchased at Bob's Copy Center at the University Square.

RECOMMENDED TEXTS

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103 | Introduction to East Asian History-China | Yu-sheng Lin

General Course Outline*

1. Preliminary Remarks: General History of China as a Course in Liberal Education, the Geographic Setting, and the Chinese Language
2. Salient Features of Archaeological Finds and their Implications for Chinese History
3. The Development of the Sociopolitical Order in the Shang and Chou Dynasties (ca. 1751-256 B.C.)
4. The Religious Consciousness in Ancient China and the Birth of Chinese Philosophy
5. Confucius and Confucianism
6. Classical Taoism
8. The Economic Order of the Early Phase of Chinese Empire
9. The Period of Disunion and Challenges to the Imperial System (220-589): Invasions and Establishment of Non-Chinese Dynasties in North China, Emigration of the Chinese to Central and South China, the Rise of Neo-Taoism, and the Coming of Buddhism
10. The Reunification of the Chinese Empire (Sui and T'ang Dynasties) and the Vitality of Traditional Chinese Political System
11. The Achievements of Chinese Letters, the Apogee of Fine Arts, and their Taoist and Confucian Sources
12. The Transformation of Economy in the Late Imperial Era
13. The State and Society before the Western Impact
14. The Cultural and Intellectual Trends on the Eve of Western Intrusion
15. The Impact of Western Imperialism on China
16. The Last Stand on Chinese Conservatism: The Self-Strengthening Movement of the Ch'ing Dynasty
17. The Peasant Rebellions in 19th-Century China
18. The Reform Movement of 1898, the Rise of Chinese Nationalism, the Revolution of 1911, and the Disintegration of Traditional Political and Cultural Orders

*Reading assignments from the required readings will be announced in class two weeks before each discussion session.
TOPICS AND REQUIRED READINGS FOR BOOK REPORTS NO. 1


Required Readings:


Benjamin I. Schwartz, "Transcendence in Ancient China," Daedalus (Spring, 1975), pp. 57-68.


Suggested Readings:


2. The Formations and Nature of the Chou Feudal Society

Suggested Readings:


3. The Nature of Chinese Religion

Required Reading:

L. G. Thompson, Chinese Religion.
4. The Moral Idealism of Confucius and Mencius

Required Readings:


Suggested Readings:


5. The Metaphysical and Political Thought of Classical Confucianism

Required Reading:


Suggested Readings:

- James Legge, tr., *The Doctrine of the Man* and *The Great Learning in The Four Books* or *The Chinese Classics*.

6. Mohism

Required Reading:


Suggested Readings:

- Kung-Chuan Hsiao, chap. 4, 214-272.
7. Classical Taoism

Required Readings:

Wing-tsit Chan, *The Way of Lao Tzu*.


Kung-Chuan Hsiao, chap. 5, 273-318.

Suggested Readings:


8. The Founding of the Chinese Poetical Tradition: The Book of Songs (the Shih-ching) and The Songs of Ch'u (the Ch'u-tz'u)

Suggested Readings:

Arthur Waley, tr., *The Book of Songs*.

David Hawkes, tr., *Ch'u Tz'u: The Songs of the South* (Oxford University Press).


9. Hsuntzu, Legalism, and the Establishment of the Chinese Empire

Required Readings:


Kung-Chuan Hsiao, chaps. 6 and 7, pp. 319-424.

Suggested Readings:


10. Chinese Thought on Natural Law

Suggested Reading:


11. The Transformations of Confucianism in the Han

Required Reading:


Kung-Chuan Hsiao, chaps. 8 and 9, pp. 427-548.

Suggested Reading:


12. Han Society

Required Reading:

Lien-sheng Yang, "Great Families of Eastern Han," in E-tu Zen Sun and John de Francis, trs., Chinese Social History (Washington, D.C., 1965), pp. 103-134. \( \text{BN 675 S8.} \)


Required Reading:

E. Balazs, Chinese Civilization and Bureaucracy, Chapter 13. \( \text{DS 721 B213.} \)


Required Readings:

E. Balazs, Chinese Civilization and Bureaucracy, Chapter 14.

Kung-Chuan Hsiao, chap. 11, pp. 602-667.

Suggested Readings:


1. The re-establishment of the Chinese Empire in the Sui and T'ang

*E. J. Reischauer and J. K. Fairbank, East Asia: The Great Tradition, pp. 153-182, CB 253 R4


Recommended Reading: A. F. Wright, ed., Perspectives on the T'ang

2. The Confucian Revival in the Sung and the Dilemma of the Confucian Scholar-Official

*Wm. T. de Bary et al., Sources of Chinese Tradition, Vol. 1, ch. XVI, NS 703 D4


3. Wang An-shih's Reforms

Recommended Reading: James T. C. Liu, Reform in Sung China, QJ 1502 L5

4. The Rise of Neo-Confucianism in the Sung

*Fung Yu-lan, A History of Chinese Philosophy, Vol II, Chapters 10, 11, 12, B126 F41

Recommended Reading: A. C. Graham, Two Chinese Philosophers, B128 C37 G7

5. The Chu Hsi Synthesis

*Fung, Ch. 13

6. Confucian Moral Idealism in the Ming

*Wm. T. de Bary, et al., Self and Society in Ming Thought, pp. 1-51, 145-247, DS 721 539

Recommended Reading: T'ui-yi-ming, Neo-Confucian Thought in Action: Wang Yang-ming's Youth (1472-1509)

Recommended Reading: C. O. Hucker, "The Tunglin Movement of the Late Ming Period," in J. K. Fairbank, ed., Chinese Thought and Institutions, pp. 132-62, DS 721 F26

Recommended Reading: Wm. T. de Bary, "Chinese Despotism and the Confucian Ideal: A Seventeenth-Century View," ibid., pp. 163-203
8. Ming-Ch'ing Society

*Ping-ti Ho, The Ladder of Success in Imperial China, Chapters 1, 3, 5, 7, HN 673 H58

9. The Reaction against Metaphysical Speculation in the Ch'ing and the School of "Evidential Investigation"

Recommended Readings: "Liang-Ch'i-ch'ao and Intellectual Trends in the Ch'ing Period, DS 721 L5483

10. Chinese Literary Sensibilities

*Ts'ao Hsueh-ch'in, The Story of the Stone, tr. David Hawkes

*C. T. Hsia, The Classic Chinese Novel, Ch. 7, PL 2415 H82

11. Intellectual Currents on the Eve of the Western Intrusion

Recommended Readings: Hao Chang, Liang Ch'i-ch'ao and Intellectual Transition in China, 1890-1907, pp. 1-34, DS 763 L67 C48

12. The T'ung-chih Restoration and Its Implications

Recommended Reading: Mary Wright, The Last Stand of Chinese Conservatism, DS 762 W7

13. The Emergence of the Chinese Intelligentsia and the Rise of Chinese Nationalism

*Benjamin I. Schwartz, In Search of Wealth and Power: Yen Fu and the West, JA 83 S37

*Benjamin I. Schwartz, "The Intelligentsia in Communist China" in Richard Pipes, ed. The Russian Intelligentsia, DK 32.7 P49 or in Daedalus, Summer, 1960, pp. 604-621. AP D122

Recommended Readings: Joseph Levenson, Confucian China and Its Modern Fate, DS 721 L538

Recommended Readings: Hao Chang, Liang Ch'i-ch'ao and Intellectual Transition in China, DS 763 L67 C48

14. Radical Revolt against Chinese Tradition in the May Fourth Period


15. Lu Hsun and the Ambivalence and Agony of His Iconoclastic Thought

*Lu Hsun (Chou Shu-jen) Selected Stories of Lu Hsun, PZ 3 C4583 Se; or Chou Shu-jen (Lu Hsun), Ah Q and Others, tr., Chi-chen Wang

Lin Yu-Sheng, The Crisis of Chinese Consciousness, Chap. 6
15. (cont'd)

**Recommended Readings:**


Harle Goodman, ed., *Modern Chinese Literature in the May Fourth Era*, Chaps. 4, 8, 9, 10

**16. The Rise of Chinese Marxism**

Maurice Wilken, *Li Ta-chao and the Origins of Chinese Marxism*, HX 387 LA8 44

For more general surveys, see the following:


(B) Frederic Wakeman, *The Fall of Imperial China*.

(C) James E. Sheridan, *China in Disintegration*. 